HathiTrust Shared Print Program
Report to PAN Meeting 6/23/2017

Lizanne Payne
Shared Print Program Officer
elpayne@hathitrust.org
Key Attributes of the HathiTrust Shared Print Program

• Secure retention commitments for print holdings that mirror book titles in the HathiTrust digital collection

• Maintain a lendable print collection distributed among HathiTrust member collections

• Reflect support by and provide benefits to all HathiTrust members (not a subset)

• Build on existing shared print and resource-sharing arrangements, avoid disturbing members’ other affiliations
Overview of HathiTrust Shared Print Phase 1

June – December 2016
- Finalize policies, funding principles, & MOU

January – June 2017
- Libraries agree to MOU
- Board approved 6/15/2017!
- Identify proposed retention commitments

July – September 2017
- 50 Retention Libraries, > 16 million retentions!

The Phase 1 libraries are not expected to make binding retention commitments until they have had a chance to agree to the final policies and MOU.
Major Policies

• Scope: Monographs from circulating general collections that correspond to items in the HathiTrust Digital Library

• Retention Period: Fixed date 12/31/2042 (25 years from 2017), also applies to future commitments

• Environment: Storage facility preferred, campus shelving acceptable

• Validation: Verification of holdings and condition encouraged but not required

• Disclosure: Record retention commitments in HathiTrust registry; considering disclosure in OCLC but not currently required

• Access: Loan retained volumes to other HathiTrust members; may use local ILL policies including fees
Funding Principles

*Details will be defined through the established HathiTrust budget process*

- **Program management**
- **Local metadata updates and lending**
- **Systems support**
- **Long-term retention of physical volumes**

Costs paid by HathiTrust:
- Shared by all members
- Part of HathiTrust member fee

Costs absorbed by libraries:
Proposed HathiTrust Shared Print Commitments
As of May 2017

50 Retention Libraries proposed over 16 million commitments

256 million print monographs in HathiTrust member collections

145 million print monographs in Retention Library collections

58 million of those match HathiTrust

16 million print monographs proposed for retention

4.8 million distinct OCLC numbers proposed for retention
# HathiTrust Shared Print Retention Libraries

<table>
<thead>
<tr>
<th>Arizona State University</th>
<th>New York Public Library</th>
<th>University of Florida</th>
</tr>
</thead>
<tbody>
<tr>
<td>Brandeis University</td>
<td>Northwestern University</td>
<td>University of Illinois, Urbana-Champaign</td>
</tr>
<tr>
<td>Brown University</td>
<td>Ohio State University</td>
<td>University of Iowa</td>
</tr>
<tr>
<td>Bryn Mawr College</td>
<td>Princeton University</td>
<td>University of Michigan</td>
</tr>
<tr>
<td>Claremont Colleges</td>
<td>Swarthmore College</td>
<td>University of Minnesota</td>
</tr>
<tr>
<td>Colby College</td>
<td>Tufts University</td>
<td>University of Missouri</td>
</tr>
<tr>
<td>Columbia University</td>
<td>University of Alberta</td>
<td>University of Notre Dame</td>
</tr>
<tr>
<td>Cornell University</td>
<td>University of Calgary</td>
<td>University of Pennsylvania</td>
</tr>
<tr>
<td>Duke University</td>
<td>University of California, Merced</td>
<td>University of Queensland</td>
</tr>
<tr>
<td>Emory University</td>
<td>University of California, San Diego</td>
<td>University of Texas at Austin</td>
</tr>
<tr>
<td>Georgia Tech University</td>
<td>University of California, Santa Cruz</td>
<td>University of Virginia</td>
</tr>
<tr>
<td>Getty Research Institute</td>
<td>University of California Northern Regional Library Facility (NRLF)</td>
<td>University of Washington</td>
</tr>
<tr>
<td>Harvard University</td>
<td>University of California Southern Regional Library Facility (SRLF)</td>
<td>University of Wisconsin-Madison</td>
</tr>
<tr>
<td>Indiana University</td>
<td>University of Chicago</td>
<td>Washington University in St. Louis</td>
</tr>
<tr>
<td>Iowa State University</td>
<td>University of Delaware</td>
<td>Yale University</td>
</tr>
<tr>
<td>Johns Hopkins University</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lafayette College</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Massachusetts Institute of Technology</td>
<td></td>
<td></td>
</tr>
<tr>
<td>McGill University</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

50 libraries!
- 47 in United States
- 3 in Canada
- 1 in Australia

*Final list may change after execution of MOUs*
Key Points about Phase 1 Retention Proposals

- Light-weight analysis and library-volunteered commitments based on existing print holdings submissions to HathiTrust

- Required: Lendable monographs that match HathiTrust

- Encouraged:
  - In a storage facility
  - Unique or rare holdings in HathiTrust
  - Already committed to another shared print program

- No efforts to predefine desired number of copies nor to prevent duplication

- No minimum commitment
Some Characteristics of HathiTrust Shared Print Proposals

By Shelving Type
- Campus Library: 7,300,000
- Storage Facility: 9,300,000

16.6 million proposed commitments (volumes)

Committed to Other Shared Print Programs
- HathiTrust only: 12,000,000
- ReCAP: 2,300,000
- UC Shared Print: 1,600,000
- EAST: 300,000
- FDLP: 111,000
- FLARE: 100,000
- COPPUL: 30,000

16.6 million proposed commitments (volumes)
Redundancy across HathiTrust Shared Print Commitments

No efforts to define desired number of copies nor to prevent duplication

- Committed by 1, 1,500,000, 31%
- Committed by 2-5, 2,400,000, 50%
- Committed by 6-10, 860,000, 18%
- Committed by 11-18, 40,000, 1%

Total 4.8 million titles/OCNs committed by any of 50 Retention Libraries
Commitments for Rare vs Common Holdings in HathiTrust Member Collections

- Held by > 50, 500,000, 10%
- Held by 20-49, 950,000, 20%
- Held by 6-19, 1,750,000, 37%
- Held by 2-5, 1,100,000, 23%
- Held by 1, 500,000, 10%

4.8 million committed titles/OCNs held by 1 to 120 HathiTrust members

No comparison to external holdings or retention commitments
## Next Steps for Implementation

<table>
<thead>
<tr>
<th>Complete Phase 1 (2017)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Execute MOU with Retention Libraries</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Phase 2 (2018+)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Secure retention commitments for remaining 3 million HathiTrust digital monographs</td>
</tr>
<tr>
<td>Plan and adopt tools for additional collection analysis, collection management, discovery and resource-sharing</td>
</tr>
<tr>
<td>Coordinate with other efforts:</td>
</tr>
<tr>
<td>• New digital content in HathiTrust</td>
</tr>
<tr>
<td>• HathiTrust Federal Documents program</td>
</tr>
<tr>
<td>• Other shared print programs</td>
</tr>
</tbody>
</table>
Thank you