

HathiTrust Shared Print Program  
Report to PAN Meeting 6/23/2017

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# Key Attributes of the HathiTrust Shared Print Program

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- Secure retention commitments for print holdings that mirror book titles in the HathiTrust digital collection
- Maintain a lendable print collection distributed among HathiTrust member collections
- Reflect support by and provide benefits to all HathiTrust members (not a subset)
- Build on existing shared print and resource-sharing arrangements, avoid disturbing members' other affiliations

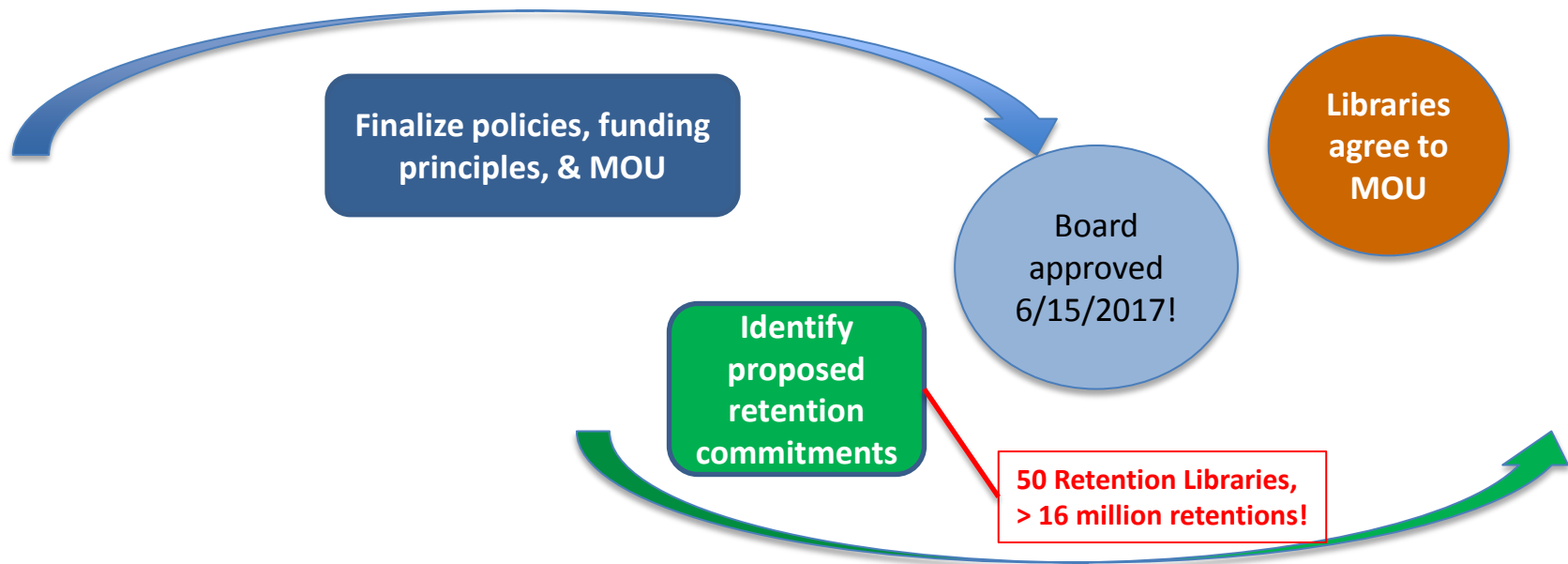


# Overview of HathiTrust Shared Print Phase 1

June – December 2016

January – June 2017

July – September 2017



The Phase 1 libraries are not expected to make binding retention commitments until they have had a chance to agree to the final policies and MOU.



## Major Policies

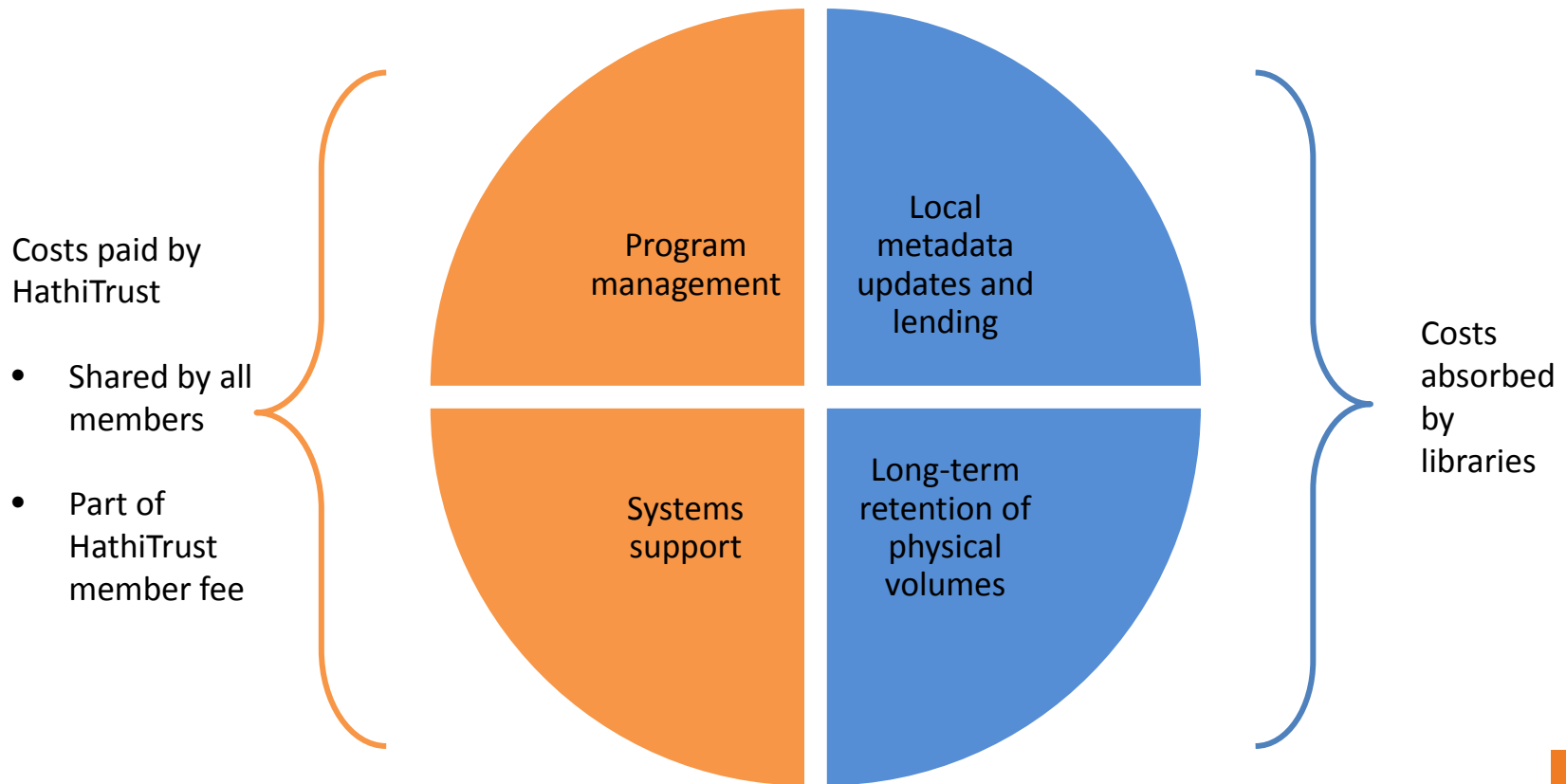
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- Scope: Monographs from circulating general collections that correspond to items in the HathiTrust Digital Library
- Retention Period: Fixed date 12/31/2042 (25 years from 2017), also applies to future commitments
- Environment: Storage facility preferred, campus shelving acceptable
- Validation: Verification of holdings and condition encouraged but not required
- Disclosure: Record retention commitments in HathiTrust registry; considering disclosure in OCLC but not currently required
- Access: Loan retained volumes to other HathiTrust members; may use local ILL policies including fees



# Funding Principles

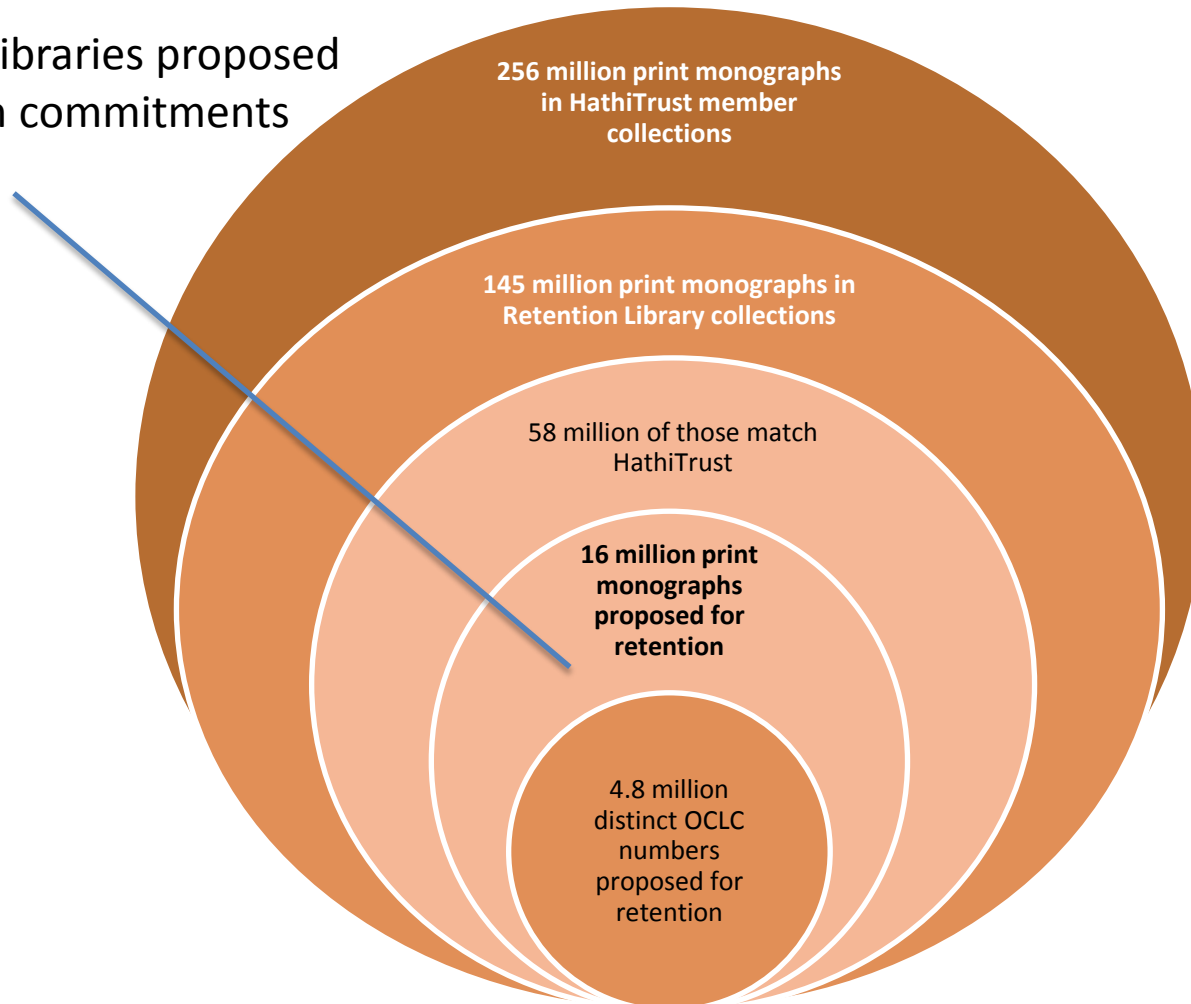
*Details will be defined through the established HathiTrust budget process*



# Proposed HathiTrust Shared Print Commitments As of May 2017

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50 Retention Libraries proposed  
over 16 million commitments



# HathiTrust Shared Print Retention Libraries

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Arizona State University  
Brandeis University  
Brown University  
Bryn Mawr College  
Claremont Colleges  
Colby College  
Columbia University  
Cornell University  
Duke University  
Emory University  
Georgia Tech University  
Getty Research Institute  
Harvard University  
Indiana University  
Iowa State University  
Johns Hopkins University  
Lafayette College  
Massachusetts Institute of Technology  
McGill University

New York Public Library  
Northwestern University  
Ohio State University  
Princeton University  
Swarthmore College  
Tufts University  
University of Alberta  
University of Calgary  
University of California, Merced  
University of California, San Diego  
University of California, Santa Cruz  
University of California Northern Regional Library Facility (NRLF)  
University of California Southern Regional Library Facility (SRLF)  
University of Chicago  
University of Delaware

University of Florida  
University of Illinois, Urbana-Champaign  
University of Iowa  
University of Michigan  
University of Minnesota  
University of Missouri  
University of Notre Dame  
University of Pennsylvania  
University of Queensland  
University of Texas at Austin  
University of Virginia  
University of Washington  
University of Wisconsin-Madison  
Washington University in St. Louis  
Yale University

## **50 libraries!**

- **47 in United States**
- **3 in Canada**
- **1 in Australia**

*Final list may change after execution of MOUs*



## Key Points about Phase 1 Retention Proposals

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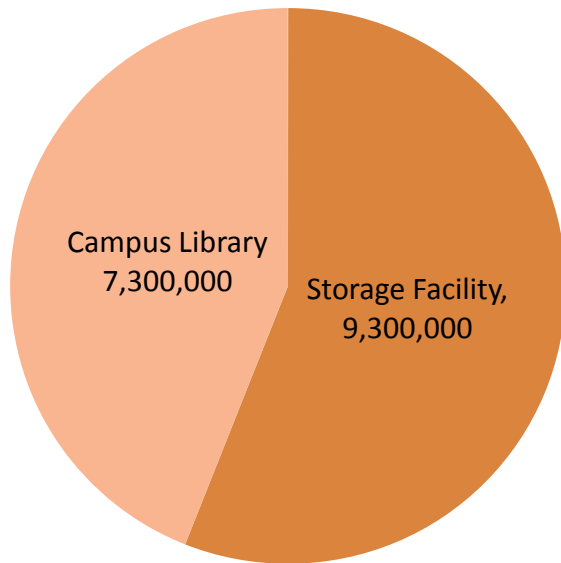
- Light-weight analysis and library-volunteered commitments based on existing print holdings submissions to HathiTrust
- Required: Lendable monographs that match HathiTrust
- Encouraged:
  - In a storage facility
  - Unique or rare holdings in HathiTrust
  - Already committed to another shared print program
- No efforts to predefine desired number of copies nor to prevent duplication
- No minimum commitment





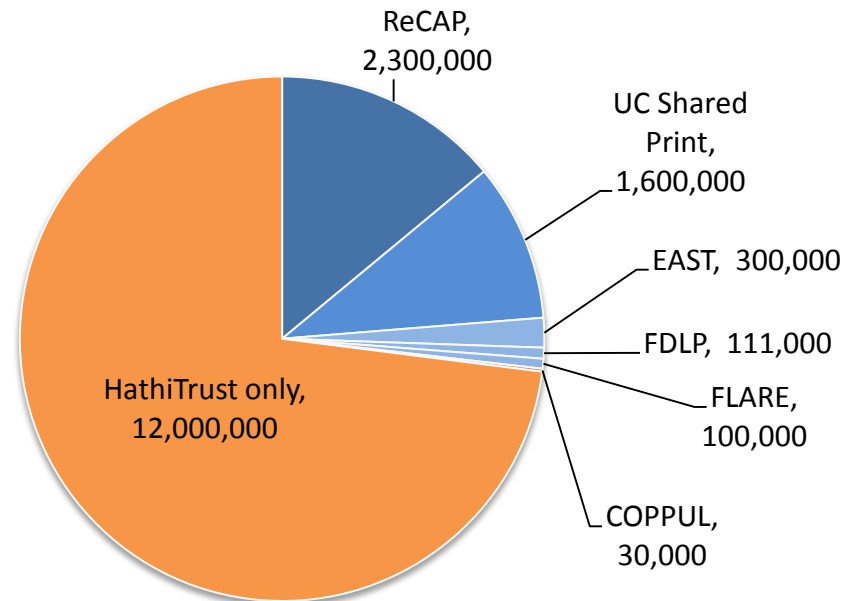
# Some Characteristics of HathiTrust Shared Print Proposals

## By Shelving Type



16.6 million proposed commitments (volumes)

## Committed to Other Shared Print Programs

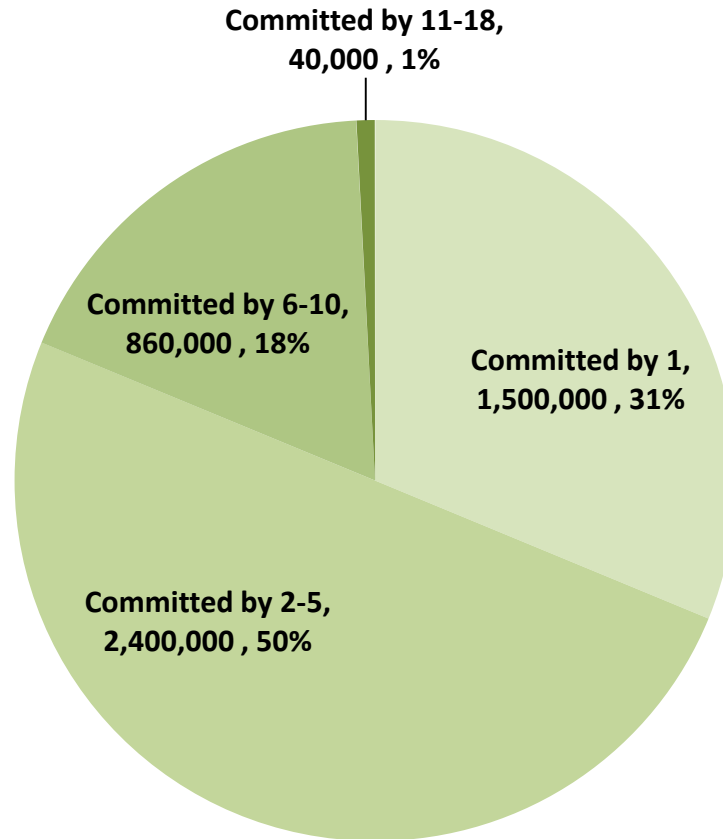


16.6 million proposed commitments (volumes)



# Redundancy across HathiTrust Shared Print Commitments

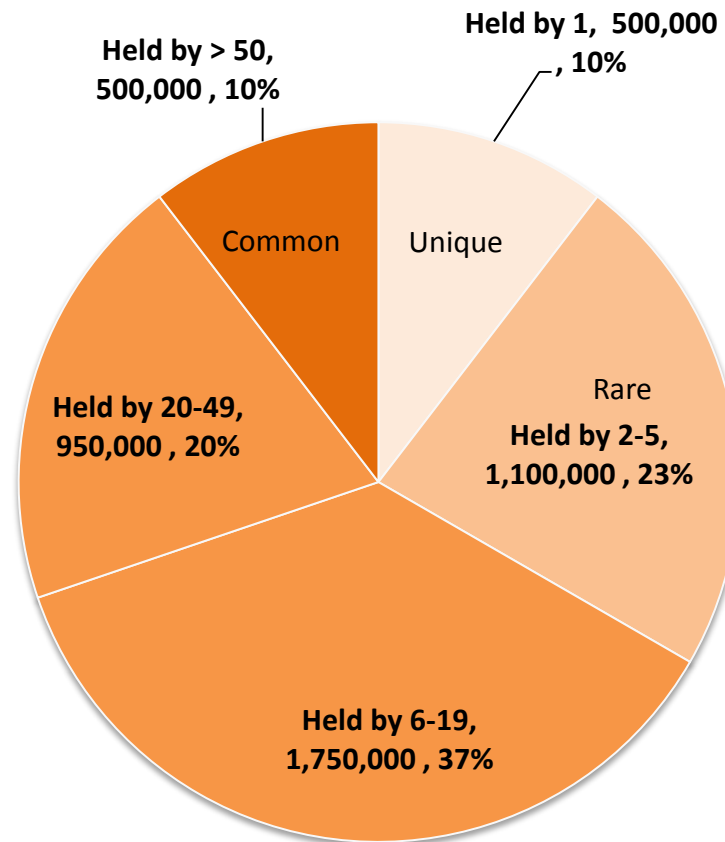
*No efforts to predefine desired number of copies nor to prevent duplication*



**Total 4.8 million titles/OCNs  
committed by any of 50  
Retention Libraries**



# Commitments for Rare vs Common Holdings in HathiTrust Member Collections



**4.8 million committed titles/OCNs  
held by 1 to 120 HathiTrust members**

***No comparison to external holdings or retention commitments***



## Next Steps for Implementation

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### Complete Phase 1 (2017)

Execute MOU with Retention Libraries

### Phase 2 (2018+)

Secure retention commitments for remaining 3 million HathiTrust digital monographs

Plan and adopt tools for additional collection analysis, collection management, discovery and resource-sharing

Coordinate with other efforts:

- New digital content in HathiTrust
- HathiTrust Federal Documents program
- Other shared print programs



Thank you

