



**THE CENTER FOR RESEARCH LIBRARIES
COUNCIL OF VOTING MEMBERS**

BUSINESS MEETING – APRIL 24, 2009

DRAFT

MINUTES

The annual meeting of the Council of Voting Members of the Center for Research Libraries came to order at 11:00 am on Friday, April 24, 2009 via Webinar with Alice Prochaska, Chair, Board of Directors presiding. Present were Councilors, guests, and staff as listed in Attachment A to these minutes.

CHAIR'S ANNUAL REPORT: Chair Alice Prochaska welcomed and thanked participants for attending the Center's first Webinar business meeting. Ms. Prochaska then introduced the Center's Board of Directors:

Nancy Allen, Dean of Libraries, University of Denver
Susan Brynteson, Vice Provost and May Morris Director of Libraries, University of Delaware
Lee Formwalt, Executive Director, Organization of American Historians
Fred Heath, Vice Provost, University of Texas at Austin
Peter Lange (chair, global resources committee), Provost, Duke University
Earl Lewis, Provost and Executive Vice President for Academic Affairs, Emory University
Edward Macias (vice-chair), Provost, Executive Director Academic Affairs, Washington University in St. Louis
Sarah Michalak, Associate Provost for Libraries and University Librarian, University of North Carolina at Chapel Hill
James Mullins, Dean of Library and Professor, Purdue University
Joyce Ogburn (chair, collections and services policy committee), University Librarian and Director, J. Willard Marriott Library, University of Utah
Charles Phelps, University Professor and Provost Emeritus, University of Rochester
Brian E.C. Schottlaender (secretary, and chair, membership committee), The Audrey Geisel University Librarian, University of California-San Diego
Rick Schwieterman (treasurer, and chair, budget & finance committee), Executive Vice President and Chief Financial Officer, OCLC Inc
David Shulenburg (immediate past chair), Vice President for Academic Affairs, APLU
Bernard Reilly, President, CRL.

Ms. Prochaska reported that the chair's report is published in its full form in the Center's Annual Report, adding that the Center is celebrating a banner year in terms of achievements and growth.

APPROVAL OF THE MINUTES OF 2008 MEETING. Secretary Brian Schottlaender submitted the Minutes of the April 18, 2008 annual meeting of the Council of Voting Members for approval. There being no corrections,

The Council of Voting Members Meeting Minutes of April 18, 2008 were approved as distributed [MOVED: James Mullins, Purdue University; SECONDED: Joyce Ogburn, University of Utah; VOTED: unanimously.]

SECRETARY'S REPORT ON MEMBERSHIP: Mr. Schottlaender presented the membership Status Report which indicated that the total membership for fiscal year 2009 was 251 with the enrollment of eight new Voting Members. Mr. Schottlaender reported that five of the eight new institutions enrolled through the New Voting Members Incentive Program (University of New Mexico, Newberry Library, Skidmore College, Colby College, and Hope College) and that three (School of the Art Institute of Chicago, Benedictine University, and Illinois College) enrolled on a pro-rated basis at the minimum cost share. Mr. Schottlaender stated that the net increase in FY 2009 membership revenue from these new members was \$46,583.

Mr. Schottlaender presented a chart summarizing the membership additions from FY 2002-2009 which indicated that from the start of the Center's Incentive Program there has been a dramatic up-tick in membership, which he considers to be a resounding affirmative vote for the program.

Mr. Schottlaender stated that for FY 2010 the Center has enrolled one new member, Wayne State University and at the end of calendar year 2008, the deadline for notification of membership non-renewal for the upcoming fiscal year, five institutions submitted non-renewals and all other 246 members were retained for FY 2010.

Mr. Schottlaender also highlighted the Center's membership development and promotion activities for fiscal year 2009. Mr. Schottlaender reported that the Center's Membership Committee had its first meeting for FY 2009 and identified two themes to pursue in the coming year: (1) promote CRL membership continuity and (2) begin a discussion on developing approaches for recruiting regional and national consortia and state higher education systems into the Center's membership.

Sarah Pritchard, Northwestern University, asked the secretary to characterize the institutions that chose not to renew. For example, were they long-standing members, members who came in more recently, large one or small ones? Did they discontinue for budget reasons? Mr. Schottlaender affirmed that with one or two exceptions they were all relatively long-standing, medium-sized and primarily public institutions, and that all cited budgetary reasons for discontinuing.

TREASURER'S REPORT: Treasurer Rick Schwieterman presented the "Audited Financial Results" for the year ending June 30, 2008 which was published in the Center's Annual Report, stating that Washington, Pittman & McKeever, LLC conducted the audit. Mr. Schwieterman noted a clean opinion was issued; that the membership cost share revenues were \$5,101,936; the change in net assets, \$23,741; the investments reserve, \$1,582,750; the loan payable, \$1,634,211; and the debt service ratio, 222.31%. Mr. Schwieterman noted that the Center's financial position was very solid.

Mr. Schwieterman presented the proposed budget for FY 2010, and briefly reviewed the operating revenue, expenses, and key investments. Mr. Schwieterman reported that the projected budget is extremely lean; the membership cost share revenue is \$5,345,819; due to the severe economic downturn, CRL will not increase the factor (0.52%) used to calculate member cost shares this year; the depreciation expense is \$462,000. The budget proposed reflects a determination to increase the return on CRL member investment by continuing to make new collections available. This strategy would result in a negative change in net assets for operating funds (\$211,870) in FY 2010, which is greater than in years past, but which still permits CRL to cover 50% of its depreciation and is within the terms of its loan covenant. (The working capital reserve would be \$103,250; and the debt service ratio would be 126.21%, well above the required 110%.)

Mr. Schwieterman reported that CRL's Human Resources and Compensation Committee recommended a 1.5% merit pool for salary increases, which the Board has deferred until late in the second quarter of FY 2010 when the impact of the economic crisis on CRL FY 2011 membership will be better known.

Mr. Schwieterman noted that while we are quite concerned about the state of the economy, maintaining CRL library materials expenditures at a consistent level is particularly important at a time of

austerity, to enable libraries to multiply the impact of their investment in collections. This is the rationale behind the slight increase (4.5%) in the materials budget proposed for FY 2010.

Then,

The Council of Voting Members approved the budget for Fiscal Year 2009 as presented with a 0.52% member cost-share rate. MOVED: Mr. Schwieterman; SECONDED: Edward Macias, Washington University; VOTED: unanimously.]

ELECTION OF DIRECTORS. Alice Prochaska, Chair Nominating Committee, presented the proposed slate of nominees for election as recommended by the Nominating Committee to the Board of Directors for the three years beginning April 24, 2009. The Chair declared that nominations from the floor were in order. There was no additional nomination from the floor.

Ms. Prochaska asked if there were any comments or questions from the floor on the composition of the Board that the Nominating Committee should take under advisement in the future. Jennifer Younger, Notre Dame, commended the Nominating Committee on including people from a range of organizations, not just from within the Association of Research Libraries, and from a range of positions in terms of university administrators, library deans, and vice-presidents. Younger asserted that enlisting Board members from organizations like the Council on Library and Information Resources (CLIR) that share the mission of the Center, is very healthy for the Center.

Then,

The Council of Voting Members approved the following slate of nominees to be elected to the Board of Directors for three-year terms beginning at the close of this meeting: Edward Macias, Provost, Executive Vice Chancellor for Academic Affairs, Washington University in St. Louis; Earl Lewis, Provost and Executive Vice President for Academic Affairs, Emory University; James Mullins, Dean of Libraries & Professor, Purdue University; Leslie Weir, University Librarian, University of Ottawa; Charles Henry, President, Council on Library and Information Resources; and Paul Courant, University Librarian and Dean of Libraries, University of Michigan. [MOVED: Alice Prochaska; SECONDED: Sherrie Schmidt, Arizona State University; VOTED: unanimously.]

Ms. Prochaska reported that at a meeting the previous day the CRL Board elected two new officers; a Chair, Fred Heath, Vice Provost, University of Texas at Austin; and a Treasurer, Sarah Michalak, Associate Provost for Libraries and University Librarian, University of North Carolina at Chapel Hill. Ms. Prochaska acknowledged and thanked the following outgoing Board members for their outstanding service to the Center: Susan Brynteson, Vice Provost and Mary Morris Director of Libraries, University of Delaware; David Shulenburg, Vice President for Academic Affairs, APLU; and Rick Schwieterman, Executive Vice President and Chief Financial Officer, OCLC.

PRESIDENT'S REPORT: Bernard Reilly, President, reported on the activities and accomplishments of the Center for Research Libraries for the past year, and outlined the agenda for the coming fiscal year. (Copy of written report is attached.)

COUNCIL OF VOTING MEMBERS
ANNUAL MEETING
ATTENDANCE LIST
(April 24, 2009)

<u>Name</u>	<u>Institution</u>
Juana Young	University of Arkansas
Sherrie Schmidt	Arizona State University
Carla Stoffle	University of Arizona
Ada-Marie Atkins Nechka	University of Calgary
Chuck Eckman	University of California - Berkeley
Tom Leonard	University of California - Berkeley
Helen Henry	University of California - Davis
Sharon Farb	University of California - Los Angeles
*Brian E. C. Schottlaender	University of California - San Diego
Ginny Steel	University of California - Santa Cruz
Joel Cohen	Canisius College
Yvonne Jefferson	Center for Research Libraries
Bernard Reilly	Center for Research Libraries
Melissa Trevvett	Center for Research Libraries
Russ Bomhof	Center for Research Libraries
Patricia Xia	Center for Research Libraries
Don Dyer	Center for Research Libraries
James Simon	Center for Research Libraries
Morgan Elmore	Center for Research Libraries
Prasad Pamidimukkala	Center for Research Libraries
Mary Wilke	Center for Research Libraries
Raymond Sallay	Center for Research Libraries
Lawrence McCrank	Chicago State University
Judith Nadler	University of Chicago
Victoria Montavon	University of Cincinnati
Cathy Reed	College of William and Mary
James Williams	University of Colorado-Boulder
Barbara List	Columbia University
Emily Hutton-Hughes	Colgate University
John Saylor	Cornell University
Charles Henry	Council on Library Information Resources
Jeffrey Horrell	Dartmouth College
*Susan Brynteson	University of Delaware
*Nancy Allen	University of Denver
Deborah Jakubs	Duke University
Winston Atkins	Duke University
Xuemao Wang	Emory University

Christine Giannoni	The Field Museum Library
William Miller	Florida Atlantic University
Douglas Hasty	Florida International University
Judith Russell	University of Florida
Nan Seamans	Georgia State University
William White	Grambling State University
Richard Fyffe	Grinnell College
Dan Hazen	Harvard University
Kelly Jacobsma	Hope College
Thomas Teper	University of Illinois - Urbana Champaign
Martin Gallas	Illinois College
Edward Shreeves	University of Iowa
Julie Bobay	Indiana University
Patricia Steele	Indiana University
Jane Schillie	Kansas State University
Mary Beth Thomson	University of Kentucky
Fred Barnhart	Loyola University Chicago
Robert Seal	Loyola University Chicago
Karen Adams	University of Manitoba
Scott Mandernack	Marquette University
Jay Kirk	Marquette University
Jay Schafer	University of Massachusetts
Anne Pottier	McMaster University
Aaron Shrimplin	Miami University
Steven Sowards	Michigan State University
Wendy Lougee	University of Minnesota
Michelle Emanuel	University of Mississippi
Jason Dewland	University of Mississippi
Jim Cogswell	University of Missouri
Mireille Janeau	University of Montreal
Martha Bedard	University of New Mexico
*Sarah Michalak	University of North Carolina at Chapel Hill
Sarah Pritchard	Northwestern University
Jennifer Younger	University of Notre Dame
*Rick Schwieterman	OCLC
Tom Sanville	Ohio Link
Scott Seaman	Ohio University
Jan Maxwell	Ohio University
Sul Lee	University of Oklahoma
Donald Gilstrap	University of Oklahoma
Karyle Butcher	Oregon State University
Laurel Kristick	Oregon State University
Mark Watson	University of Oregon
Deborah Carver	University of Oregon
Leslie Weir	University of Ottawa
Christine Avery	Pennsylvania State University
William Brockman	Pennsylvania State University
Carton Rogers	University of Pennsylvania
Martha Brogan	University of Pennsylvania
Karin Trainer	Princeton University

***James Mullins**

Jackie Druery
Jane Philipps
Katie Clark

***Charles Phelps**

Marianne Gaunt
Robert Sewell
Scott Mackenzie
Susan Zappen
Mary Horton
Gary Geer

William Garrison
Molly Royse
Carmelita Pickett

*** Fred Heath**

Stefanie Wittenbach
Robin Healey
Robert F. Clarke
Lance Query

***Joyce Ogburn**

Rick Anderson
Richard AmRhein
Flo Wilson
Debra Bucher
Marine Swanson
Carol Hunter
Shirley Baker

***Edward Macias**

Timothy Jewell
Jane Forgay
Joyce Garnett
Karen Marshall
Sharon Brown
Gwendolyn Ebbett

***Alice Prochaska**

Purdue University

Queen's University
Queen's University
University of Rochester

University of Rochester

Rutgers University
Rutgers University
Simon Fraser University
Skidmore College

University of South Carolina
University of South Carolina

University of South Florida

University of Tennessee

Texas A&M University

University of Texas at Austin

University of Texas at San Antonio

University of Toronto

Trent University

Tulane University

University of Utah

University of Utah

Valparaiso University

Vanderbilt University

Vassar College

University of Victoria

University of Virginia

Washington University in St. Louis

Washington University in St. Louis

University of Washington

University of Waterloo

University of Western Ontario

University of Western Ontario

Wilfrid Laurier University

University of Windsor

Yale University

* Member of CRL Board of Directors

President's Report to the Council of Voting Members



4/24/2009

Bernard F. Reilly, Jr.

President's Report to the Council of Voting Members

APRIL 24, 2009

This year **Wayne State University** became the Center for Research Libraries' 250th member library. In the University's application for CRL membership library dean Sandra Yee stated, "We believe that joining the Center, even in these very difficult economic times, is the right thing to do for our faculty and students."

At a time when libraries face enormous economic hardship and uncertainty, it is important to remember that CRL was originally conceived as the response of ten Midwestern universities to the challenges of **the Great Depression**, and was eventually created during the severe economic downturn that followed World War II. In 1949, without support from government agencies or funders, the community mobilized and pooled its resources to create a shared capability for building and maintaining collections that has grown exponentially ever since.

CRL, **now in its seventh decade**, is an umbrella under which many communities of interest come together to pursue common ends. With CRL support six Area Microform Projects identify and preserve at-risk archives, newspapers, and collections that chronicle events and developments in particular world regions. Similarly, the CRL Global Resources projects promote access to digital and tangible materials that support international language and area studies.

WHERE WE ARE

In 2009 CRL will build upon some formidable recent accomplishments. In the past year CRL:

- Completed production of catalog records for 800,000 foreign dissertations and theses, which are now available in WorldCat and CRL's online catalog, the result of a four-year effort supported by the **Andrew W. Mellon Foundation** and made possible by a reconfiguration of CRL's technical services operations.
- Posted 1,864,000 pages of digitized CRL documents, journals, dissertations, newspapers, and monographs, which can now be accessed by researchers through WorldCat and CRL's online catalog.

- Made available online over 300,000 pages of Latin American and Caribbean newspapers in the **World Newspaper Archive** collection, the product of collaboration between CRL and NewsBank Inc.

In 2009 CRL is also taking steps to increase members' return on their CRL investment in a number of ways:

- 1) Helping libraries contain travel costs by providing CRL services and forums online, including today's annual Council meeting and quarterly collection webinars. Collection development workshops are also scheduled at the ALA Conference in Chicago in July and the Charleston Conference in October, for all CRL members who attend.
- 2) Keeping the rate we use to calculate member cost shares fixed, affording some relief to libraries whose acquisitions spending has been reduced.
- 3) Increasing CRL digitization of materials requested on loan, thereby facilitating access while reducing the cost of member handling and return of CRL materials.
- 4) Holding CRL expenditures flat, freezing new hires except to replace direct service positions or to fill grant-funded positions; and freezing salaries until Board review in January 2010.
- 5) Drawing modestly upon CRL's reserve and its equity in the collections facility, to maintain the current level of collection spending and increase collection digitization.

The CRL community faces challenges that are more fundamental and far-reaching than the scarcity of resources brought about by the present economic downturn.

University libraries today are expected to provide scholars an expanding universe of source materials at a time when the resources available for building and managing collections are dwindling. The costs of digital resources are forcing many libraries to make difficult choices and forego access to materials previously considered indispensable. Moreover, in serving scholarly needs librarians face a bewildering array of electronic content and collections, and digital archiving services, with few metrics to guide their investment in those goods and services.

At the same time, most libraries continue to bear the considerable costs of acquiring, managing, and providing access to print resources. Storage of serial literature in particular burdens libraries and universities with significant capital, operating, energy, and opportunity costs. The continued growth of such collections has become unsustainable for a growing number of institutions.

These challenges call for fundamental changes in the way libraries build and manage collections. The present crisis may even create the conditions under which those changes are possible. Through shared, strategic effort and investment Canadian and U.S universities should be able to reduce the costs of managing extraneous, redundant physical collections, and invest more in electronic scholarly resources and digital services.

CRL is taking steps to promote cooperation on this front. This year, with support from the Andrew W. Mellon Foundation we began to make fundamental changes in CRL

operations. We are concentrating our preservation and collecting efforts on primary source materials for research, and expanding services that help the CRL community secure persistent electronic access to those types of materials. Since 1949 a shared repository of primary source materials in paper and microform, CRL in its seventh decade will more actively support libraries in investing wisely and strategically in physical and digital collections.

THE YEAR AHEAD

To enable its community to accelerate the transition from print to digital CRL is taking action on three fronts:

- 1) Archiving critical print materials
- 2) Expanding digital access to primary sources
- 3) Promoting confidence in, and support for, digital preservation

1. Archiving critical print materials

CRL will support cooperative print archiving initiatives in the U.S. and Canada by working with consortia and other appropriate organizations to share information, best practices, and resources. The goal is to inform decision-making and action by CRL libraries on the management of legacy print holdings. In this effort CRL will give priority to consortia and systems to which its members belong. CRL's archiving Web pages will be a locus for this information.

2. Expanding electronic access to focused, primary source collections

In tandem with print archiving, CRL will actively promote reliable, persistent and affordable digital access to serial publications for its members. CRL now scans materials from its own collections for scholarly use on request, and has formed partnerships with Readex and ProQuest to systematically digitize and archive print materials on a collection-wide basis. The *World Newspaper Archive* (Readex) and *American Periodicals from the Center for Research Libraries* (ProQuest) are vehicles for electronic delivery of serial materials from CRL and member collections.

3. Promoting digital preservation and access

At the same time CRL is increasing its critical analysis and assessment of digital repositories and digital collections of published serial content of interest to the community. CRL assessments will measure the trustworthiness of repositories and the costs, content and performance of digital collections, so that CRL libraries can make prudent and informed decisions on investing in digital collections and adequately providing for corresponding local collections (such as the books scanned by Google and print copies of e-journals). In 2009 CRL will undertake in-depth assessments of three major digital repositories: **Portico**, **HathiTrust**, and **Scholars Portal**.

CRL will report on assessments of digital collections of interest to its community in its

collection webinars and in venues like *The Charleston Advisor* and workshops held in conjunction with the Charleston Conference and annual meetings of the American Library Association. We will broaden and expand these venues in the coming year.

GIVING CREDIT

CRL's achievements this year would not have been possible without the support and participation of CRL libraries. Not only do CRL libraries contribute funding to subsidize this work. The expertise, energy and talents of their collection development, subject, technical, and reference specialists actually shape CRL's collections and resources. This support was augmented this year by major funding from the **Andrew W. Mellon Foundation**, the **John D. and Catherine T. MacArthur Foundation**, and the **National Science Foundation**.

The last two years have been particularly important for the Center. From the work of the Board and CRL management an ambitious blueprint for CRL's future has emerged. During these pivotal two years the Center's efforts were ably and steadily guided by **Alice Prochaska**, the Chair of the Center's Board. The CRL community owes Alice a debt of gratitude for her generous and inspired leadership of this important enterprise.

Bernard F. Reilly, Jr.
President
CRL Global Resources