Committee on Institutional Cooperation Shared Print Repository (CIC-SPR)

June 2016

Current Highlights

- In the last quarter 5,186 volumes have been shipped to Indiana for inclusion in the SPR, bringing the collection to roughly 170,000 volumes.
  - As of June 2016 the SPR is at 68% of the 250,000 volume target.
- Following a spring 2016 meeting, CIC Library Directors and Provosts expressed their support for continuing the SPR beyond the initial collection phase.
  - The directors are considering models for phase 2 of the project’s development, including potential host locations and additional highly digitized, highly duplicated serial content. The second collection development phase will formally begin in FY18.
- Participation in the project continues to grow.
  - Eight universities currently have contributed to the shared collection: Indiana, Illinois, Iowa, Maryland, Michigan, Ohio State, Purdue, and Wisconsin.
  - One additional university is preparing to contribute this summer.
- About 72% of titles on active source lists are currently retained in whole or in part.
- Concurrently, shared print members are looking into committing rare serials to local retention.
  - Alongside the formal shared storage project, some CIC libraries are collaborating to evaluate unique and scarcely held serials to commit for local retention. Although in early stages, this undertaking is expected to help regional and national efforts secure uncommonly held journals.
- The CIC Shared Print Repository is part of a national ecosystem of shared print retention.
  - Retention commitments have been documented in national registries, including OCLC/WorldCat and the Print Archives Preservation Registry (PAPR).
  - The CIC SPR program was featured in Rebecca Crist’s chapter, “Creating a Regional Serial Program,” in the new ALCTS monograph, Shared Collections: Collaborative Stewardship (edited by Dawn Hale).
Forthcoming Activity

- Continuing with Cambridge, Oxford, and IEEE
  - Indiana has already added their on-site holdings to the SPR
  - CRL analysis is already completed; we will begin talking to specific suppliers to fill content later this summer

- Filling other science society publishers’ title content
  - Title lists compiled for ACS, AGU, AIP, APS, IOP, ACM, and RSC
  - Content in process for ACS, RSC, and IOP

- Forging the path to 250,000
  - Completing available titles from existing lists will not fill the capacity
  - Assessing additional title list possibilities for approval

- Developing plans for the next phase of the project
  - Library Directors will review and determine actions to be taken for further development of collaborative storage options for highly digitized, widely duplicated serials in the CIC shared collection

WHERE TO FIND MORE INFO

Secured information, including a list of retained title holdings and known gaps, policies, and minutes is available to CIC SPR project managers on our secure intranet, CICme.

A list of completed titles, policies, and publicly shared information is available at the CIC’s website: www.cic.net/cli

For comments or questions, please contact:
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ABOUT THE CIC SHARED PRINT REPOSITORY

The CIC Shared Print Repository (SPR) is a collocated shared print storage program dedicated to preserving legacy print collections of the CIC member universities.

More than 170,000 volumes are currently retained for members’ print-specific needs. Housed in the Auxiliary Library Facility at Indiana University, the CIC SPR has capacity for 250,000 science backfile volumes, allowing members both reliable print access and greater flexibility in local library space allocation.

CIC Shared Print Repository Participating Institutions

- University of Illinois at Urbana-Champaign
- Indiana University
- University of Iowa
- University of Maryland
- University of Michigan
- Michigan State University
- University of Minnesota
- University of Nebraska–Lincoln
- Ohio State University
- Pennsylvania State University
- Purdue University
- Rutgers University
- University of Wisconsin–Madison
Central Iowa Collaborative Collections (CI-CCI) Update

July 2016

The Central Iowa Collaborative Collections Initiative (CI-CCI) recently celebrated its’ third birthday. Accomplishments this past year include:

- Completed the first joint ebook purchase (of 2016 Oxford Scholarship Online)
- Completed our first year of cooperative acquisitions using YBP’s GOBletween whereby each school would not purchase a copy of a book if two or more CI-CCI schools already own it (thereby leveraging acquisitions dollars).
- Established and reviewed metrics for measuring the effectiveness of YBP as the shared acquisitions vendor
- Collaborated with OCLC related to the registration of shared print retention commitments, and became one of a handful of schools beta testing their new registration service.
- Recently held our third annual summit at Grinnell College on June 13 and discussed the next steps for sustaining and growing the collaborative, including potentially expanding group purchasing, adding new members, and coordinating with the Iowa Academic Alliance.
PAN Forum Update

June 2016

The Council of Prairie and Pacific Libraries' Shared Print Archive Network (SPAN) is a distributed retrospective print repository program, operating in Western Canada. SPAN's main goals are to provide access to shared print archives, create opportunities for the reallocation of library space, and preserve the print record for its members in a cost-effective way.

Currently SPAN is involved in the following projects:

1. **Monograph project:**
   - The monograph project officially launched in fall 2015 with ten member libraries. OCLC’s Sustainable Collection Services (SCS) has been contracted to analyze the collective 8.5M records. Currently, our data has been returned from SCS, and we are in the middle of discussions surrounding possible retention models. The goal is to determine 3 draft models to test over the summer before selecting a final model in the fall.

2. **Statistics Canada documents:**
   - Drawing on the successful pilot project in Phase 3, we are now expanding our coverage to include the archiving of all of Statistics Canada print publications. We have secured an initial title list, and are working on holdings and ISSNs before sending out to participants shortly.

3. **Return to Print Journals**
   - The last component of Phase 4 will see a return to print journal archiving, although instead of determining the title list by comparing holdings of the participating libraries, we plan to survey collection librarians in our consortium and determine which publisher collections they would most like to see archived. This will follow the gov docs archiving project, in the fall.

It is also noteworthy to mention that SPAN is undergoing some significant staffing changes. Alison Griffin, SPAN’s manager of the past three years, has left to work at a COPPUL member library. Andrew Waller, COPPUL’s Executive Director, is also leaving this summer, and the hunt for a new director is currently underway. Any questions regarding the project in the short term can be directed to Andrew Waller, or Gwen Bird, who is on SPAN’s management committee.
Eastern Academic Scholars’ Trust
Update as of June, 2016

Submitted by Susan Stearns, Project Director, EAST

The Eastern Academic Scholars’ Trust is a collaboration of 48 academic and research libraries across the Northeast and extending as far south as Maryland who are developing a regional program to retain scholarly monographs [and eventually serials]. The program is supported by funding from both the Andrew W. Mellon Foundation and the Davis Educational Foundation and was formally launched in July, 2015.

EAST:
- Is a decentralized print retention program with titles stored in situ by member libraries. The retention commitment is for 15 years.
- Has a business model that supports both Retention Partners, who participated in the collection analysis and are making formal commitments to retain titles in their local collections, and Supporting Partners, who will provide financial support for EAST but are not willing to commit to retention.
- Members will provide access, via standard inter-library loan services, to retained titles to users at any other EAST member library. While not compulsory, we expect many EAST libraries will enter into reciprocal borrowing arrangements with others in EAST.
- Will develop and evolve a business model for sustainability, including opportunities for additional libraries in the region to join EAST in late FY17 or early FY18.
- Will collaborate with other regional and national shared print initiatives to coordinate work.

Staffing of EAST

In late 2014 the Boston Library Consortium [BLC], many of whose members had joined EAST, agreed to take over as administrative and fiscal agent for EAST. By the fall of 2015 the EAST staff - Anna Perricci, Project Manager; Sara Amato, Data Librarian; Lizanne Payne, Consultant – was in place. In April of 2016, Lizanne resigned to take a full time position at HathiTrust and was replaced by Matthew Revitt of the Maine Shared Collection Cooperative. Susan Stearns, Executive Director of the BLC, is the EAST Project Director.

Governance of EAST

An Executive Committee [EC], elected in the fall of 2015, provides governance and oversight of EAST. The EC includes representatives from public and private schools, from those with large and small collections, as well as librarians already involved in shared print through their local consortia.

Following their election, the EC appointed two working groups:
- A Monographs Working Group was established to help shape the collection analysis scenarios that determine the retention commitments as well as work with the EC to determine policy recommendations to be incorporated into the formal agreements across the membership.
A Validation Working Group was established to work closely with the EAST team as well as an outside statistical consultant to develop and test a two-part sample based validation study focused on inventory confirmation. These studies are important to ensuring confidence and trust in the retention commitments made across the EAST libraries.

Collection Analysis
By October 31, 2015, the 40 EAST libraries participating in the collection analysis with OCLC Sustainable Collection Services [SCS] had delivered their bibliographic, item and circulation usage information to SCS. In early March of 2016, the full EAST collective database was made available to the EAST members via the GreenGlass online tool and a subgroup of the Monograph Working Group began to use the group features built into GreenGlass to build and evaluate various retention models.

Highlights of the EAST collection data set are:

- 16,573,071 total title holdings representing 4,749,042 title sets
- 50% of the titles are held by only ONE library in EAST and 66% by only two
- Only 20% of the EAST titles are represented in HathiTrust
- 39% of the EAST titles have no aggregated circulation represented in the data set
- 68% of EAST titles have fewer than 100 holdings represented in WorldCat.

After three cycles of retention model building with review and feedback from the EAST community, the group recommended the following retention model for EAST:

- EAST will retain ALL titles holding that meet the following criteria as scarcely held in the region:
  - Fewer than 5 holdings held within EAST
  - Fewer than 40 holdings as reflected in WorldCat
  - Fewer than 5 holdings held in regional larger academic libraries
  - No holdings within the ConnectNY Retention Partners
  - Publication year prior to 2011
  - Not considered to be “ephemera” [a category of non-scholarly material flagged based on publisher]

- EAST will retain up to 5 holdings of titles that meet the following criteria of frequently used titles:
  - Total aggregate uses across the EAST libraries of more than 30
  - Publication year prior to 2011
  - Not considered to be “ephemera” per the above

- EAST will retain one title holding of any remaining title in the EAST collective database that does not meet the above criteria, is published prior to 2011 and is not considered “ephemera”. In this way EAST is making a clear commitment to retaining at least one copy of the scholarly content across the EAST Retention Partner libraries.

This retention model was approved by the EAST Executive Committee in its meeting on June 6th. We are now working with SCS to develop individual retention lists for the EAST retention partners who will review them over the summer with the goal of having final commitments in place by the fall of 2016.
Based on this model, EAST will be committing to retain just over 6,000,000 holdings representing approximately 36% of the Retention Partners’ circulating monograph collections.

**Validation Sample Study One**

Because of the size and dispersion of the EAST libraries’ collections, a complete validation of the libraries’ collections was clearly impossible, both in terms of time and money. Working with our statistical consultant and in consultation with the Validation Working Group, the EAST team designed a sample-based validation study across the 40 EAST collection analysis participant libraries that:

- Determines an “availability metric” for each of the libraries
- Provides insight into the condition of titles at the libraries.

Using a random sample of 6,000 titles [determined to be statistically valid assuming at least 90% availability at most libraries with a 1% margin of error] drawn from the SCS data, each library used a data collection tool designed by the EAST Data Librarian to validate the presence of titles on shelf and perform a cursory condition review of titles present. Each library was compensated for this work at a standard rate, paid for through grant funds. This first validation study commenced in February, 2016 and was completed in late April. The aggregated results are:

- 97% of monographs in the sample were accounted for: mean: 97%, median: 97.1%, high of 99.7% and low of 91%. [Note: “accounted for” includes those items previously determined to be in circulation based on an automated check of the libraries’ ILS’s.]
- 2.3% of titles were in circulation at the time of the study
- 90% of the titles were deemed to be in average or excellent condition with 10% marked as in poor condition.

The Policy subgroup of the Monograph Working Group will be asked to consider the implications of 10% of the volumes being assessed as being in ‘poor’ condition. The rules regarding repair, replacement or reallocation of titles that are not suitable for lending will be established as part of the operating policies to be developed over the Summer, 2016.

These results demonstrate satisfactory evidence of availability across EAST retention partner libraries and should provide the EAST libraries with useful information as they discuss plans for deselection locally.

**Validation Sample Study Two**

Building on the learnings of the first sample validation study, EAST will undertake a second phase of validation later in 2016. Details of the second validation study are still to be determined and will be informed by a further analysis of the data collected in the first study by the EAST statistical consultant.

**Policy Development**

In parallel to development of the EAST retention model and analysis of the results of the validation study, a second subgroup of the Monograph Working Group is developing the policies for EAST. As of May, 2016 this work is ongoing with recommendations to the Executive
Committee on policies covering the following topics:

- Selection of materials
- Ownership
- Location
- Retention
- Validation
- Access
- Fulfillment and delivery
- Deliver Mode
- Operating procedures
- Interlibrary Loan Code
- Disclosure
- Discovery.

This work will be followed by creation of a formal [but lightweight] Memorandum of Understanding which will be approved by the Executive Committee in the fall of 2016 allowing EAST member libraries to execute their MOU’s by year’s end.
June 20, 2016

Florida Academic Repository (FLARE) Print Archiving Network Update

The Florida Academic Repository (FLARE) is the statewide shared collection of low use print materials from academic libraries in Florida. By establishing this centrally housed collection, the Council of State University Libraries (CSUL) provides new options for sharing the costs and effort of long term retention of low use library materials. Under the FLARE memorandum of understanding, the materials designated under this agreement will be retained and made available as long as the need for them exists, thereby allowing participants to consider withdrawing duplicates of these items from their campus collections and to rely with confidence on access to the centrally retained copies. Eventually FLARE will be housed in an environmentally controlled, carefully inventoried and secure high density facility (HDF) located in Gainesville, operated by the George A. Smathers Libraries at the University of Florida (UF) on behalf of the participating libraries. Until construction is complete, FLARE will be housed in a leased Interim Library Facility (ILF) and in the UF Libraries Auxiliary Library Facility (ALF), both of which support appropriate environmental and security conditions. In addition to the libraries in the 12 State Universities and the University of Miami, partners in FLARE will include libraries of the Independent Colleges and Universities of Florida and the Florida College System.

FLARE News & Activities:

- We prepared an updated budget proposal to The State University System of Florida Board of Governors (BOG) for $18M for 2016-17 and $6.7M in 2017-18 for the Joint-use Library Storage Facility
  - Although funding was not approved by the Florida Legislature, we will be working with the BOG about possible alternate funding sources for the next fiscal year
- FLARE collection accessible ([http://union.discover.flvc.org/ux.jsp](http://union.discover.flvc.org/ux.jsp))
  - Approximately 2.2M volumes total in storage
  - 1.17 million items accessioned, fully processed, and requestable (YTD)
- Anticipated transfers from U. Florida (UF):
  - ~75,000 monographs from the Marston Science Library
  - ~22,000 volumes of journals from the Education Library
- Progress on existing transfers:
  - University of Miami and Barry University Law: 117,661 items, 82% cataloged and trayed
  - The Barry University Law transfer establishes a subject based collection of legal materials
- Government Documents cataloging project continues:
  - Center of Excellence for Hearings: 71,171 (54%) cataloged and trayed
  - Overall, 301,143 government documents are accessioned and requestable
- ILL (OCLC symbol: FLARE) and UBorrow (statewide unmediated borrowing program) requests
  - Total Circulation: 1,193 (.1%)
- Journal Retention and Needs Listing (JRNL) software
  - Print retention programs currently using JRNL:
    - FLARE, Association of Southeastern Research Libraries (ASERL)/Washington Research Library Consortium (WRLC), and the Western Regional Storage Trust (WEST)
    - Currently participating in Agriculture and Architecture subject-based journal collections through Scholar’s Trust
    - Additional ASERL/FLARE subject-based journal collections are planned for health and medicine, law, marine science and music
    - Currently, 19,580 unique journal titles loaded in JRNL
- Continuing to participate in discussions and planning with the Rosemont Group, a national collaboration of print retention programs
- Full text of policies addressing collection materials and environment, physical and intellectual access, and operating cost assessments is available at library.ufl.edu/flare

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Marketing Maine Shared Collections

Matthew Revitt, Maine Shared Collection Librarian continues to report on the work and services of Maine Shared Collections at library events across New England and at American Library Association conferences. A chapter on Maine Shared Collections was also recently included in the ALA monograph *Shared Collections Collaborative Stewardship.*

Collection Analysis Services

As of June 2016, Matthew and the contracted MSCC Systems Librarian Sara Amato have worked with 34 Maine libraries on analyzing their print monograph collections. MSCC provides libraries with spreadsheet reports that can be used to identify both retention and withdrawal candidates. The libraries are charged a one-off fee to cover the costs of Sara extracting holdings data from OCLC WorldCat, local ILS systems, and MaineCat and compiling the spreadsheets.

On average, 38% of the print monograph collections of the libraries the MSCC have worked with so far already have MSCC retention commitments at another library, which means substantial withdrawal opportunities.

One of the ongoing issues for the analysis is the quality of the holdings metadata which makes matching difficult. For one of the consortia MSCC has worked with, 40% of the records had issues with matching on the 001, be that either bad or non-existent data. MSCC representatives have spoken with the consortium regarding opportunities for system-wide data cleaning. It’s clear that the quality of records may well be a limiting factor as MSCC looks to extend the analysis further in the state.

Identifying Additional Retention Commitments

Generally, the titles identified as retention candidates during the collection analysis process are those where there are less than ten holdings in OCLC, isn’t an existing MSCC retention commitment, and content of scholarly value and/or Maine related. While at public libraries this mostly means local history and genealogical titles and fiction with a local setting, at academic institutions there have been large numbers of rare titles in the subject strength of these institutions: for example, on the Acadian Region at the University of Maine at Fort Kent and on environmental protection and land management at Unity College. On average, the number of titles identified as potential retention candidates account for less
than 1% of the library’s print monograph collection, so even at small libraries this is not seen as being too onerous of a burden. There’s also the opportunity to transfer items to another MSCC member for retention.

**Membership in Maine Shared Collections Cooperative**

MSCC membership has more than trebled over the past two years. Of the 34 libraries that the MSCC have worked with, 24 have so far formally joined the Cooperative, making 33 members across the state. The new members have collectively agreed to retain approximately 1,500 titles. Although the number of commitments is small, the analysis is highlighting titles both the local libraries and the state collection didn’t realize were rare as they are. Also, it’s significant in a state like Maine to work with these libraries who wouldn’t otherwise be included in such analysis, since they are not in OCLC, and lack the resources to contract with a commercial collection analysis vendor.

**Documenting Retention Commitments**

All new retention commitments are added to the relevant local Innovative and Koha ILS systems. Most new members are also checking to ensure the items they agree to retain are not missing or damaged: a note is added in the MARC 583 field to indicate this checking has been carried out. New members are also physically labelling retained items to indicate they have a retention commitment and therefore shouldn’t be pulled for withdrawal.

Most new MSCC libraries are not OCLC members. However, for those that are, OCLC Shared Print Symbols were requested and the commitments have been recorded in the Local Holding Records of titles committed to retain. Maine Shared Collections and OCLC representatives have discussed OCLC’s plans for changes to the shared print registration process and the affect this will have on MSCC.

Staff at MSCC libraries and Maine InfoNet worked on ensuring all MSCC retention commitments now flow from local catalogs to the central union catalog, MaineCat, and are displayed in the catalog’s OPAC.

**MSCC Involvement in EAST**

In March 2016, Maine Shared Collection Librarian Matthew Revitt was also appointed Shared Print Consultant for the Eastern Academic Scholars’ Trust (EAST).

Colby College is the only MSCC library that has joined EAST. Colby representatives sit on a number of the different EAST committees. The MSCC Collections Operations Committee will be working with Colby on the documenting and disclosure of EAST retention commitments alongside those already agreed as part of MSCC.

Although Maine Shared Collections Strategy retention commitments were used as a comparator group in EAST’s collection analysis the EAST Monograph Working Group decided that EAST couldn’t rely on commitments from MSCC without there first being a formal agreement between the two initiatives.

**Planning for Group Collection Analysis**
The MSCC Collections and Operations Committee have begun to prepare for the next group MSCC collection analysis in 2019. The analysis will include holdings from both the original grant libraries and new libraries that have joined since. The Committee met last in February 2016 and agreed that:

- Only print monograph titles published or added to collections between 2003 and 2008 will be in-scope.
- The usage threshold that triggers a retention commitment may be raised.
- Rarity in OCLC will again likely be included in the retention criteria.
- Going forward two holding commitments across the group may suffice.
- Relying on retention commitments from other shared print groups may be an issue without reciprocal borrowing agreements.

MSCC are considering a number of different collection analysis tools and support services to facilitate the group analysis.

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i “MSC Data Comparisons”, https://docs.google.com/spreadsheets/d/166pguY5D5GLSZH0XQzeYOOIujbRRVT7gS2j7Dn4PB4/pubhtml?gid=1086627895&single=true
ii “Members”, http://www.maineinfonet.org/mscs/about/partners/
iii Example of MaineCat retention commitment display, https://mainecat.maine.edu/record=b1039697~S0
OhioLINK Print Archiving Report

The Ohio Library and Information Network (OhioLINK) is a consortium of 120 academic institutions and the State Library of Ohio. Part of OhioLINK’s responsibilities is overseeing five regional depositories that support the print and media archiving of the collections of our 13 state supported universities. Three of these five depositories are shared facilities among multiple universities while two are supporting just a single university.

The directors of the 13 state supported universities make up the Regional Depositories Governing Council. This council serves as an oversight body of the five depositories and sets policies and approves guidelines for preserving print and media collections. The depositories are involved in an ongoing de-duplication effort to reduce the amount of duplication among the serial collections in the depositories. This includes participating in OCLC’s Shared Print Management Program as part of the de-duplication project. These efforts are discussed below.

De-Duplication Efforts in OhioLINK

OhioLINK has been working on a serials deduplication project in our 5 depositories for 6 years now. In the beginning, we performed a couple of small projects that helped us define our procedures and policies, than began a project deduping Elsevier titles. We chose Elsevier because we have an electronic back file in our Electronic Journal Center and many of those print back files are stored in depositories. We started deduping alphabetically by title but soon discovered that many of the Elsevier titles had few volumes in the depositories and the space savings was minimal. So, we decided to take a step back and research which titles had a large number of duplicate volumes in the depositories regardless of publisher. We identified 15 titles to start with and have completed 10 of those 15 so far. These are titles with thousands of volumes in 4 or more depositories. We have discovered that just deduping one title results in significant space savings. Our policy is to maintain one complete print run of each title in one or more depositories. Each volume is completely verified as complete and holdings information is added to the local Central Catalog and to OCLC as to completeness and retention commitment. This
information is also shared with our member libraries who can then decide whether to also
dedup local holdings.

**Long Term Strategy for Shared Collections**

The OhioLINK Library Advisory Council formed a task force in late 2015 to research and
propose a long term strategy for the consortium concerning shared collections. Originally
this task force was to focus on print, but as discussions ensued it was realized that a print
strategy could not be divorced from an electronic resource strategy. So, the task force
switched from a Shared Print Task Force to a Shared Collections Task Force.

OhioLINK has a long history of shared collections. They started sharing print collections
across the state through an automated patron initiated requesting system in 1994. This
was quickly followed by the purchasing of centrally shared electronic collections of both e-
journals and e-books. The depository system was built within this same time frame
specifically to provide off campus storage facilities so space in libraries could be freed up
for other services and collections. Those depositories are now nearly full.

This task force will look at the future of print in Ohio, how it is being impacted by a growing
collection of electronic resources, and make some predictions to how print should be
managed in this future as part of a whole of state-wide collections.

**Questions?**

If you have questions regarding this report, please contact Anita Cook, anita@ohiolink.edu.
ReCAP: Discovery to Delivery Shared Collection Project
June 2016

Overview
Ever since the inception of ReCAP in 2000, the partner institutions, Columbia University, the New York Public Library and Princeton University, recognized the potential of a shared collection from among their collective holdings. Then, from 2012 – 2013 with support from the Andrew W. Mellon Foundation, the partners undertook a planning project to formalize the principles and ways in which materials could be shared. Their overarching goal was to transform the nature of the partnership from management of a shared space at the ReCAP facility, located on Princeton University’s Forrestal Campus, to cooperative stewardship of a shared collection. The ReCAP Shared Collection, consisting of selected materials already held at the facility and additional materials partners deposit in the future, will be managed, retained and shared according to policies developed and agreed to during the planning process.

The second phase of the project, now underway and funded in part by the Mellon Foundation, with significant financial contributions from the partners, is focused on building and implementing a new technology platform with a system architecture that will address every use case required by the partners to support collections management and discovery to delivery functions for the ReCAP Shared Collection. Partner libraries declare which materials are eligible for sharing and assign a circulation rule – circulating, onsite/one-day reserve, or supervised use – to each item. Discovery and request will be handled through each library’s existing web-based discovery layer, circulation systems, and loan policies. The end goal is for patrons from each institution to seamlessly discover and request shared collection materials without mediation from the owning institution.

The Shared Collection Service Bus (SCSB) will connect the partners’ Integrated Library Systems (ILS) with ReCAP’s Inventory Management System (IMS). HTC Global, Inc. was selected through a competitive RFP process to create the system, and Marshall Breeding is serving as technology consultant to the project. The development and implementation of the SCSB and related APIs is estimated to take 26 months, with the initial full build of the Shared Collection database by fall 2016, user acceptance and testing in spring 2017, and full production rollout in fall 2017. All of the products developed in this project are being released under a no-cost, open source or Creative Commons license.
Direct benefits of the Shared Collection will include vastly expanded resources available to the patrons of each institution— we’ve estimated that each partner will have access to an additional 5-6 million new items, even after accounting for duplication; new opportunities for collaborative collection development activities; and demonstrated new technology and policy models for the national network of shared-print repositories. Partners will realize the first and second benefits by creating a single-copy archive, managed jointly, to which patrons of all three libraries will have unmediated access. This new unmediated access model will also reduce reliance on expensive interlibrary loan between partner institutions.

**Shared Collection Definitions and Policies**

As defined by the project partners during the planning process, the Shared Collection, one of three categories of materials held at the ReCAP facility, will consist of materials that the owning libraries commit to manage according to agreed shared collection policies including retention in perpetuity;
these materials are general collections, consisting primarily of print monographs and serials. The other two categories of materials held in the ReCAP facility will be the open collection (general collection materials eligible to be used by all partners but not subject to retention requirements, such as duplicates) and the private collection (special collection materials with access restricted to the owning institution). Each institution is responsible for the costs associated with maintaining its open and private collections.

Key policy considerations for the Shared Collection include:

- **Ownership**: Items in the ReCAP Shared Collection will remain the property of the original owning library.

- **Retention**: The owning library agrees to maintain Shared Collection materials in ReCAP for the duration of the ReCAP Consortium Agreement (i.e., indefinitely).

- **Duplication**: Duplicate items may not be added to the Shared Collection, but may be added to a ReCAP partner’s open or private collections.

- **Disclosure**: ReCAP libraries will report Shared Collection holdings (including retention commitments) to OCLC and other registries and will monitor and adopt, as appropriate, emerging national standards and guidelines related to shared print collections.

**Project Management**
As with the planning grant, NYPL is serving as the lead grant institution for the second phase of the project with Tony Ageh, NYPL’s Chief Digital Officer, and Denise Hibay, Associate Director for Collection Development, serving as co-Principal Investigators, and Jacob Nadal, Executive Director of ReCAP, serving as the Project Director. Institutional working groups include key representatives from Collection Development, Circulation and Technology at each ReCAP institution. Subsequent phases of the project will address the need for collection analytics tools and cooperative collection development, and for improved inventory management systems and digital asset management. Documentation of the project is openly available on the web at: https://www.gitbook.com/book/peris1/technical-guide-for-recap-middleware/.

For additional information, please contact Jake Nadal, jnadal@princeton.edu or Denise Hibay, denisehibay@nypl.org.
After discussion at its June Board meeting, SCELC is currently recruiting members for the first cohort of its monograph shared print agreement. PAN members will remember that SCELC and Jane Burke of ProQuest had reported at the January meeting about their partnership to create group analytics capacity in Intota Assessment. After considerable discussion of its goals for collection analysis, SCELC has since negotiated a new business arrangement for using SCS collection analysis and related consulting services. The final agreement awaits signatures and recruiting of shared print participant libraries, but SCELC feels that using SCS will lead to a strong understanding of the several contexts in which it might make retention decisions and also lay a sophisticated foundation of data on which to build the shared print partnership and engage other consortia. In order to implement the shared print agreement and explore other possible shared collections strategies, SCELC will be hiring Anya Arnold, formerly of OCLC and Orbis Cascade, as a part-time “program manager” in FY17.

Perhaps most important for SCELC and its members in the longer term are stepped-up efforts to create from current services and the shared print agreement a suite of collection services for members so that shared collections services become an integral feature of SCELC membership. Developing these service offerings is called for in the current strategic plan; possible components are being shaped by Board and member discussion and will most likely involve developing shared ebook collections based on the content of the shared print collection and other content appropriate to member needs.

Rik Burke, Executive Director (rburke@scelc.org)
Scholars Trust represents the shared print archives of the Association of Southeastern Research Libraries (ASERL), the Florida Academic Repository (FLARE), and the Washington Research Library Consortium (WRLC).

Scholars Trust libraries manage their journal retention commitments in the JRNL (Journal Retention and Needs Listing) software hosted by the University of Florida. For more information regarding JRNL please see the Scholars Trust program page at www.scholarstrust.org.

### Participating ASERL libraries

1. Auburn University
2. Clemson University
3. College of William & Mary
4. Duke University
5. East Carolina University
6. Emory University
7. Georgia Institute of Technology
8. Johns Hopkins University
9. Louisiana State University
10. Mississippi State University
11. National Agriculture Library (Program Affiliate)
12. North Carolina State University
13. Tulane University
14. University of Alabama
15. University of Florida
16. University of Georgia
17. University of Kentucky
18. University of Louisville
19. University of Memphis
20. University of Miami
21. University of Mississippi
22. University of North Carolina, Chapel Hill
23. University of North Carolina, Greensboro
24. University of South Carolina
25. University of Tennessee
26. University of Virginia
27. Virginia Commonwealth University
28. Virginia Polytechnic Institute & State University
29. Wake Forest University

### Participating FLARE libraries

In addition to the libraries in the 12 State Universities and the University of Miami, partners in FLARE include libraries of the Independent Colleges and Universities of Florida and the Florida College System.

### Participating WRLC libraries

1. American University
2. The Catholic University of America
3. Gallaudet University
4. George Mason University
5. George Washington University
6. Georgetown University
7. Howard University
8. Marymount University
9. The University of the District of Columbia

### Program Highlights -

- In February 2016, Scholars Trust implemented a new governance structure, with the Governing Council holding their first meeting in May 2016, and the Steering Committee meeting in April 2016.
- 38 libraries with retention commitments for approximately 19,500+ unique ISSNs, of which 4800+ are held by two or more locations.
- Scholars Trust continues to explore opportunities for collaboration with CIC Shared Print Repository (CIC-SPR), Florida Academic Libraries Repository (FLARE), and the Western Regional Storage Trust (WEST) to ensure the preservation and availability of print journal literature. The project team for this initiative will meet at ALA Annual in Orlando, 2016.
The Journal Retention and Needs Listing (JRNL) is a software tool that allows libraries to expose lists of print journals for which they have made retention commitments; and to express needs (or gaps) in their holdings. The software facilitates communication between library staff among participating institutions to identify holding commitments, gap filling opportunities as well as deselection decisions.

Highlights –

- Three Archiving Programs (FLARE, Scholars Trust [ASERL + WRLC] and WEST) use the JRNL database, including 61 institutions with 74 locations.
- JRNL contains 32,099 holdings records, of which 22,877 are unique ISSN/Titles. 72% of JRNL records represent a single retention commitment, 19% have a second commitment. Note - multiple commitments do not indicate redundancy in holdings, as institutions may not retain the same dates/volumes, and gaps may be present.

Records by program:
- FLARE – 17,087
- WEST – 6,230
- WRLC – 4,927
- ASERL / non-FLARE Libraries – 3,765

- Software Enhancements – Later this summer, the software will be upgraded to allow the use of the OCLC number to serve as the identifying element in the absence of an ISSN; this will allow retention commitments for approximately 470 additional titles to be recorded. The Facilities Profile documentation has also been updated to include additional elements that more fully describe the conditions under which materials are retained. Possible enhancements to the gap-filling functionality are currently under review.
- Local Holdings Records (LHRs) – Participating institutions have committed to create/maintain LHRs in their local catalog, including the use of the MARC 583 fields, for all retained titles by December 31, 2016.
- A publicly-accessible list of the titles retained under this agreement is maintained on the program website so others can easily access the information. www.scholarstrust.org.

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WEST @ 500,000 volumes
This year WEST has accomplished a major milestone: 500,000 volumes archived. A press release was distributed in June. WEST members will celebrate at the WEST Members Meeting at ALA.

The 500,000th volume is from the Forum Journal, a publication of the National Trust for Historic Preservation (Washington, D.C.). The volume was archived by Arizona State University Libraries. The full print archive held at ASU Libraries includes volumes contributed by several libraries in the region.

Members
The Western Regional Storage Trust (WEST) is a distributed, retrospective shared print journal repository program serving more than 70 academic and research libraries in the western region of the United States. WEST is in its final year as a grant-supported program and is actively transitioning to a fully member-supported program. WEST has implemented new full and supporting member types with differentiated services to provide benefits tailored to members’ needs. A full list of members and description of member types is available on our website.

Titles and Reports
- WEST titles can be viewed in the CRL PAPR Registry
- WEST members obtain additional collection comparison reports in the AGUA Information Center and fill gaps via the Journal Retention and Needs Listing

WEST Highlights

Collections
- 500,000 Volumes archived
- ~20,000 Journals archived

Community
- +70 Members
- >35 Archive Holders retain titles on behalf of all members
- 6 Archive Builders actively create archives of print only backfiles in storage facilities

Optimize Space
- 300,000 Assignable square feet occupied by duplicates; opportunity to deaccession shelf space of 2-3 libraries informed by retention commitments

Tools and Services
- Collections analysis supports routine decisions about “What to archive next?”
- Tools: AGUA, PAPR, JRNL support a continuum of collection management decisions
Current Activities

• WEST is completing its fifth archive cycle and preparing for two more archive cycles (years). In the next two years, WEST plans to archive approximately 180,000 more volumes or 6,500 journals.

• WEST has archived most digitally available, digitally preserved titles in Portico, CLOCKSS, and JSTOR and continues to archive print as titles become digitally available. More print only titles are on the horizon.

• WEST passed a resolution thanking Lizanne Payne for her service to the Trust. Lizanne served as WEST’s inaugural Project Manager and Shared Print Planning and Systems Consultant from 2010-2016 and has moved to HathiTrust to start up the HathiTrust Shared Print Monographs Program.

• WEST will conduct a second program assessment in 2016 to assess member views and to inform future planning.

• WEST continues to explore opportunities for enhancing the journal archiving program including:
  o Digitization of print only backfiles to provide better access to print journal literature.
  o Broader collaboration with other print journal archiving programs including CIC Shared Print Repository (CIC-SPR), Florida Academic Libraries Repository (FLARE), and ScholarsTrust.
  o Quality assurance services to ensure disclosure of retention commitments and confidence in Bronze archives (archives held in place.)

For more information
http://www.cdlib.org/services/west/

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