HathiTrust Shared Print Program
Report to PAN Meeting 6/23/2017

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Key Attributes of the HathiTrust Shared Print Program

- Secure retention commitments for print holdings that <u>mirror book titles in the HathiTrust digital collection</u>

- Maintain a lendable print collection distributed among HathiTrust member collections

- Reflect support by and provide benefits to all HathiTrust members (not a subset)

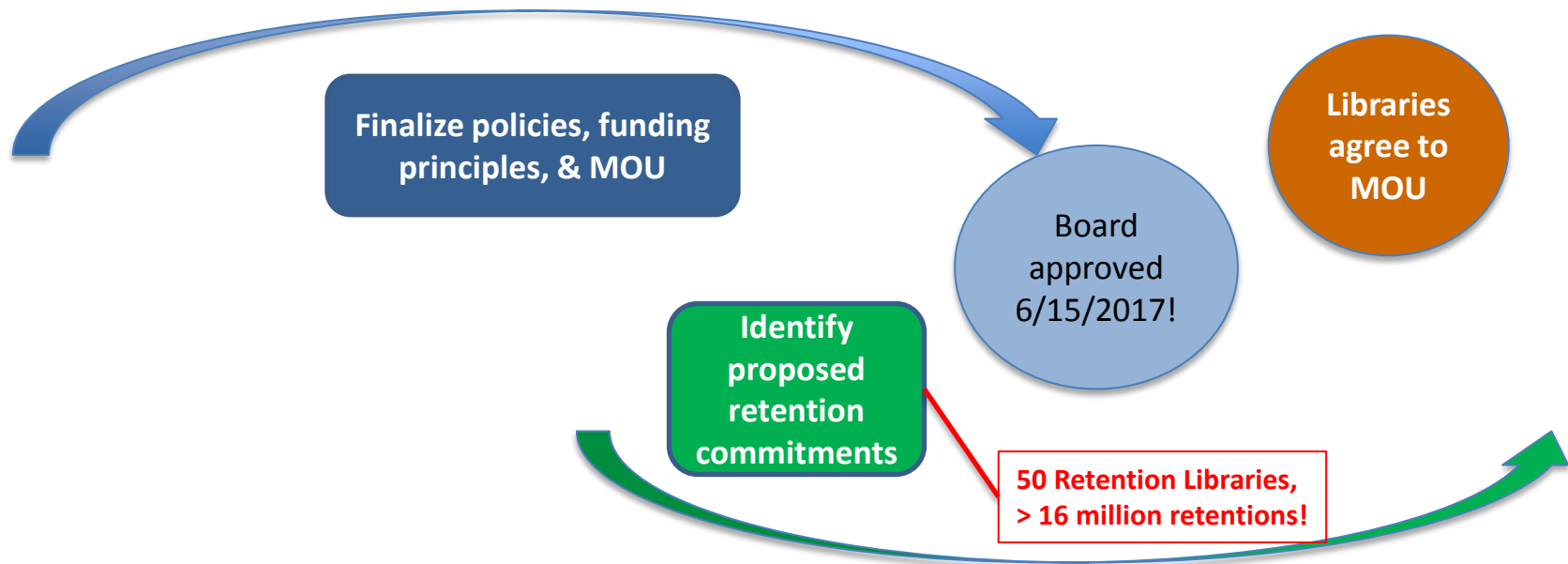- Build on existing shared print and resource-sharing arrangements, avoid disturbing members' other affiliations

Overview of
HathiTrust Shared Print Phase 1

June – December 2016	January – June 2017	July – September 2017

Finalize policies, funding principles, & MOU

Board approved 6/15/2017!

Libraries agree to MOU

Identify proposed retention commitments

50 Retention Libraries, > 16 million retentions!

The Phase 1 libraries are <u>not</u> expected to make binding retention commitments until they have had a chance to agree to the final policies and MOU.
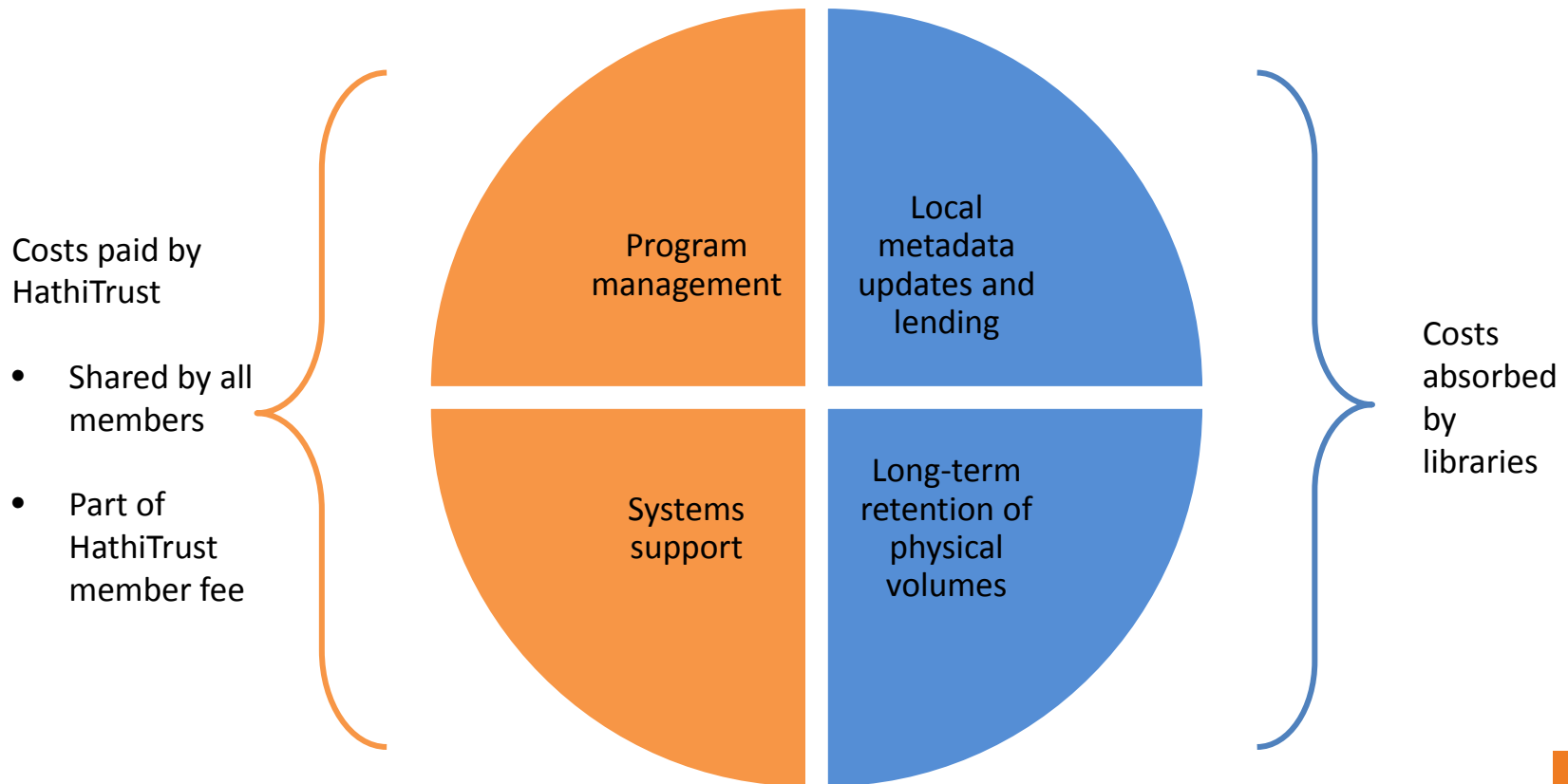
Major Policies

- Scope: Monographs from circulating general collections that correspond to items in the HathiTrust Digital Library

- Retention Period: Fixed date 12/31/2042 (25 years from 2017), also applies to future commitments

- Environment: Storage facility preferred, campus shelving acceptable

- Validation: Verification of holdings and condition encouraged but <u>not</u> required

- Disclosure: Record retention commitments in HathiTrust registry; considering disclosure in OCLC but not currently required

- Access: Loan retained volumes to other HathiTrust members; may use local ILL policies <u>including fees</u>
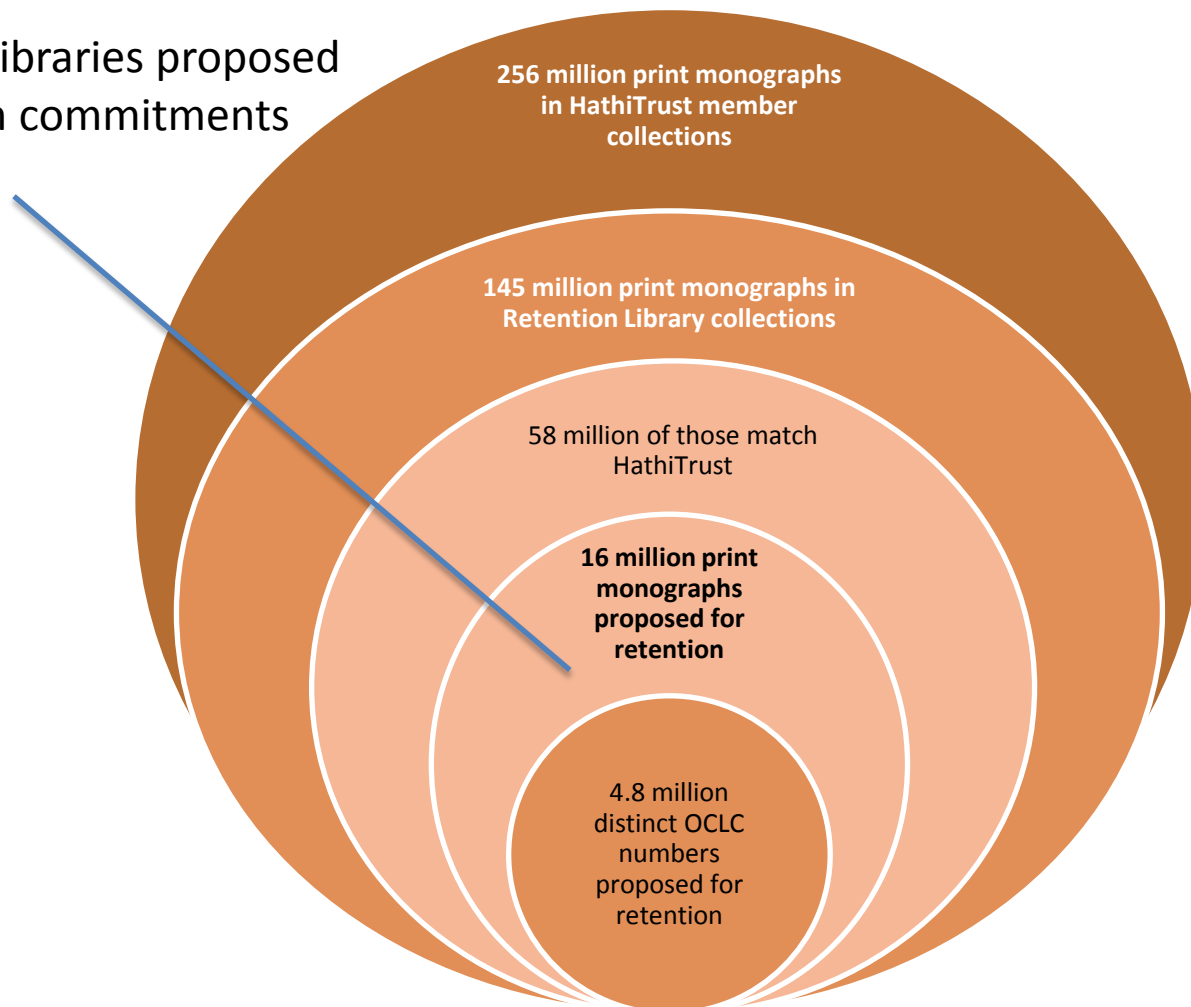
Funding Principles

Details will be defined through the established HathiTrust budget process

Costs paid by HathiTrust

- Shared by all members

- Part of HathiTrust member fee

Program management

Local metadata updates and lending

Systems support

Long-term retention of physical volumes

Costs absorbed by libraries

Proposed HathiTrust Shared Print Commitments As of May 2017

50 Retention Libraries proposed over 16 million commitments

256 million print monographs in HathiTrust member collections

145 million print monographs in Retention Library collections

58 million of those match HathiTrust

16 million print monographs proposed for retention

4.8 million distinct OCLC numbers proposed for retention

HathiTrust Shared Print Retention Libraries

Arizona State University
Brandeis University
Brown University
Bryn Mawr College
Claremont Colleges
Colby College
Columbia University
Cornell University
Duke University
Emory University
Georgia Tech University
Getty Research Institute
Harvard University
Indiana University
Iowa State University
Johns Hopkins University
Lafayette College
Massachusetts Institute of Technology
McGill University

New York Public Library
Northwestern University
Ohio State University
Princeton University
Swarthmore College
Syracuse University
Tufts University
University of Alberta
University of Calgary
University of California, Merced
University of California, San Diego
University of California, Santa Cruz
University of California Northern Regional Library Facility (NRLF)
University of California Southern Regional Library Facility (SRLF)
University of Chicago
University of Delaware

University of Florida
University of Illinois, Urbana-Champaign
University of Iowa
University of Michigan
University of Minnesota
University of Missouri
University of Notre Dame
University of Pennsylvania
University of Queensland
University of Texas at Austin
University of Virginia
University of Washington
University of Wisconsin-Madison
Washington University in St. Louis
Yale University

50 libraries!
- **47 in United States**
- **3 in Canada**
- **1 in Australia**

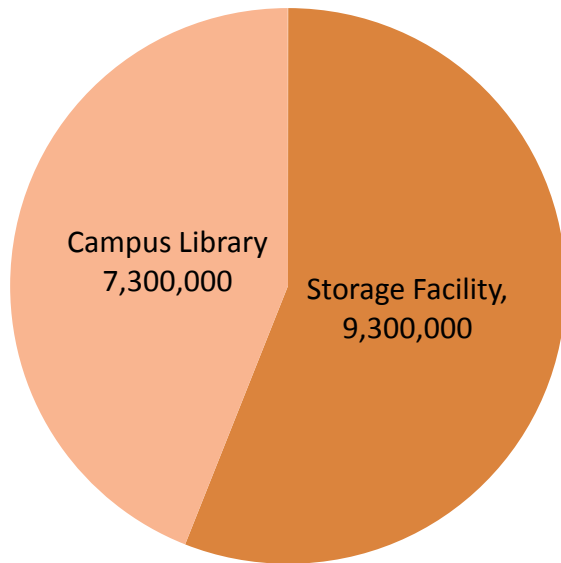*Final list may change after execution of MOUs*

Key Points about Phase 1 Retention Proposals

- Light-weight analysis and library-volunteered commitments based on existing print holdings submissions to HathiTrust

- Required: Lendable monographs that match HathiTrust

- Encouraged:
    - In a storage facility
    - Unique or rare holdings in HathiTrust
    - Already committed to another shared print program

- No efforts to predefine desired number of copies nor to prevent duplication
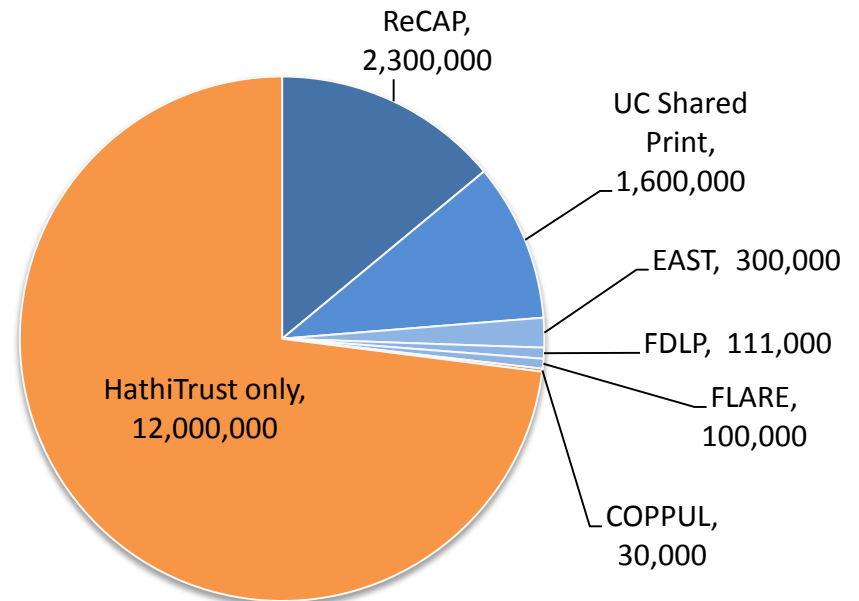
- No minimum commitment

Some Characteristics of HathiTrust Shared Print Proposals

By Shelving Type

Campus Library 7,300,000

Storage Facility, 9,300,000

16.6 million proposed commitments (volumes)

Committed to Other Shared Print Programs

ReCAP, 2,300,000

UC Shared Print, 1,600,000

EAST, 300,000

FDLP, 111,000

FLARE, 100,000
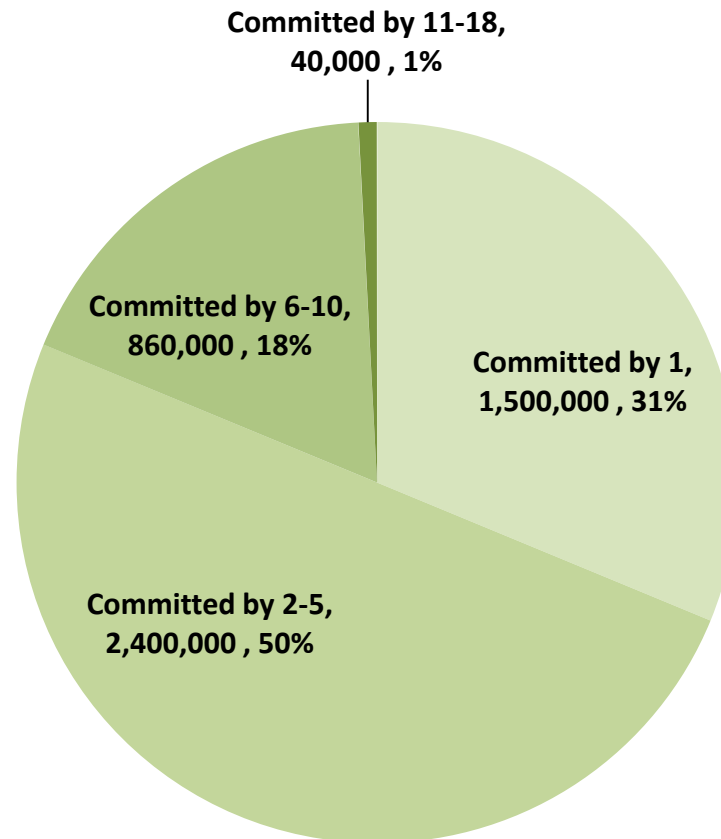
COPPUL, 30,000

HathiTrust only, 12,000,000

16.6 million proposed commitments (volumes)

Redundancy across
HathiTrust Shared Print Commitments

No efforts to predefine desired number of copies nor to prevent duplication

Committed by 11-18,
40,000 , 1%

Total 4.8 million titles/OCNs
committed by any of 50
Retention Libraries

Committed by 6-10,
860,000 , 18%

Committed by 1,
1,500,000 , 31%

Committed by 2-5,
2,400,000 , 50%

Commitments for Rare vs Common Holdings in HathiTrust Member Collections

Held by > 50, 500,000 , 10%

Held by 1, 500,000 , 10%

Common

Unique

Held by 20-49, 950,000 , 20%

Rare
Held by 2-5, 1,100,000 , 23%

Held by 6-19, 1,750,000 , 37%
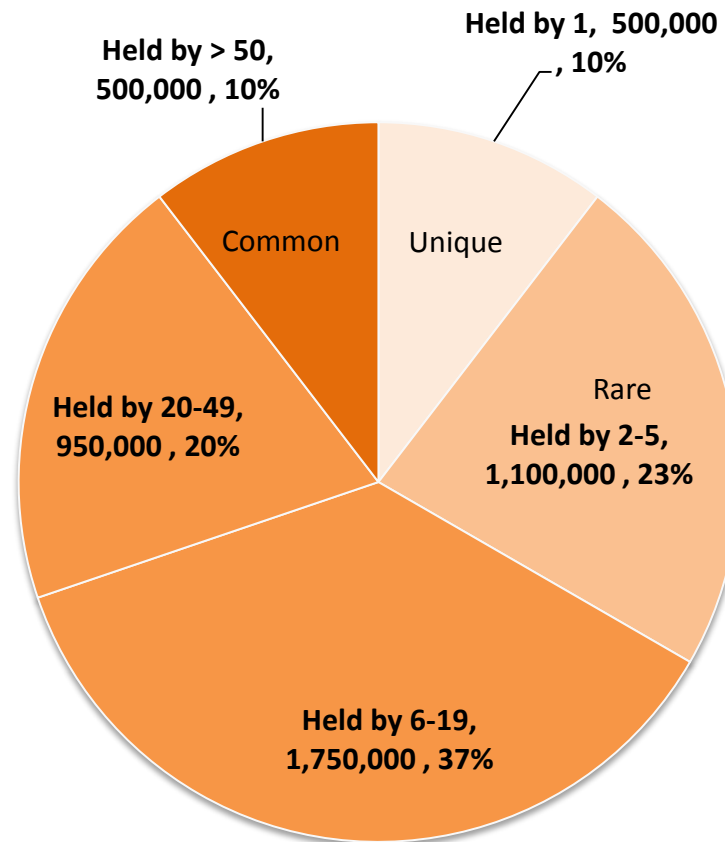
4.8 million committed titles/OCNs held by 1 to 120 HathiTrust members

No comparison to external holdings or retention commitments

Next Steps for Implementation

Complete Phase 1 (2017)
Execute MOU with Retention Libraries
Phase 2 (2018+)
Secure retention commitments for remaining 3 million HathiTrust digital monographs
Plan and adopt tools for additional collection analysis, collection management, discovery and resource-sharing
Coordinate with other efforts: • New digital content in HathiTrust • HathiTrust Federal Documents program • Other shared print programs

Thank you