STEWARDSHIP

Annual Report Fiscal Year 2019: (July 1, 2018-June 30, 2019)





The Center for Research Libraries (CRL)

is a member-governed, nonprofit consortium of universities, colleges, and independent research libraries.

MISSION

The Center for Research Libraries supports advanced research and teaching in the humanities, sciences, and social sciences by ensuring the survival and availability of primary source materials vital to those activities.

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Message from the Chair

tewardship is an appropriate theme for Scris FY 2019 Annual Report. At the start of CRL's 8th decade, during a time of ongoing transition for the academic library community and for CRL itself, defining how we can continue to make stewardship a core value is paramount. Discussions at CRL's Global Resources Forum in May noted that we are at an inflection point regarding threats to the preservation and integrity of social knowledge for future generations of scholars. Keynote speaker Alondra Nelson of Columbia University, as SSRC president and member of CRL's Board, encouraged academic institutions to "think beyond institutional and disciplinary silos." The forum fostered a community conversation about how CRL libraries might collectively invest in infrastructure to protect access to primary sources in a rapidly changing knowledge economy.

CRL's stewardship efforts took multiple directions in 2018–19. The conclusion of the initial phase of the Global Collections Initiative pointed the way toward future partnerships and initiatives that can expand online access to historical sources while also exploring the best way to ensure the integrity of born digital and web-based documentation. At the same time, CRL's leadership role in supporting various shared print initiatives for serials continued, through cross-collection analysis for Scholars Trust and ReCAP, as well as exploration with OCLC of options for registering retention commitments.

CRL's Board of Directors made a significant commitment to ongoing stewardship with the appointment in May 2019 of Greg

Eow, formerly Associate Director for Collections, MIT Libraries, as President. Greg brings a deep knowledge of libraries and collections along with many ideas about ways CRL can evolve in the coming years to maintain its centrality as part of the academic and research library landscape. Greg was selected by a Board-appointed search committee made up of Carolyn Allen (University of Arkansas), Gwen Bird (Simon Fraser University), John Culshaw (University of Iowa), Terri Fishel (Macalester College), Ksenya Kiebuzinski (University of Toronto), Greg Raschke (North Carolina State), Ann Thornton (Columbia), Xuemao Wang (University of Cincinnati), Patricia Xia (CRL), and myself as chair. We are delighted to welcome Greg and hope you will have the opportunity to meet him in the coming year. I would also like to thank former president Bernard Reilly for his leadership and dedication to CRL and its mission over the past 18 years. The CRL member community is indebted to him for stewarding CRL through very challenging times.

On behalf of the entire board, we look forward to working with you to ensure that CRL continues to play a central role as a steward of research resources in the years to come.

Virginia Steel

University Librarian

University of California, Los Angeles

Viegnia Stuf



President's Report

2018—19 was a milestone year for CRL, marking the 70th anniversary of its founding. Established in 1949 by ten member libraries in the Midwestern United States, today CRL represents a partnership among over 200 voting member institutions across the United States and Canada, plus global affiliates from Europe, the Middle East, and Hong Kong.

I take heart in the fact that for seven decades CRL has proven to be a successful model for community building, shared infrastructure, and collective action to promote the values of research libraries. As CRL begins our eighth decade, we look forward to applying principles of trustworthy stewardship in new contexts, while sustaining core values. To prepare for the challenges to come, CRL's accomplishments and progress on key initiatives over the past year included:

- Significantly increased open access worldwide to historical primary source content, including the ingestion of nearly 1.2 million digitized pages of historical Latin American newspapers produced in collaboration with Readex.
- Launched the Global Press Archive, an innovative partnership with EastView Information Services to visualize and plan sustainable digitization and open access for world newspaper collections held by Stanford and other CRL members, leading a funding effort that secured initial commitments of \$4 million.
- Brought online the Mexican Intelligence
 Digital Archives, a crowd-sourced,
 public access digital archive of historical
 documents from Mexican intelligence
 agencies, covering the period c.1940
 to c.1985. Collaborated with scholars

- and archivists from Northwestern University, El Colegio de México, and Articulo19 to make these valuable materials accessible.
- Hosted the 2019 Global Resources Forum "Credible Sources: Libraries and the Integrity of Knowledge," bringing data researchers, librarians, university administrators, and archivists together to explore critical issues raised in the widely discussed 2018 SSRC report *To Secure Knowledge*.

Despite deep changes in research libraries, and at CRL, there are continuities of which I am confident and which I take to heart. I am confident that the first principles of academic librarianship—advocacy for responsible stewardship, defense of intellectual freedom, celebration of diversity, promotion of social responsibility and the social good—not only remain relevant, but are essential guides as we work to shape new ecosystems of knowledge generation and sharing. I have confidence in the continued power of community, and believe that research libraries, working together at scale and through partnerships with those who share our mission and values, can achieve great things.

I am pleased with CRL's progress in facilitating action to address pressing problems facing research libraries. I look forward to our shared work, and the decades to come.

Greg EowPresident

By the Numbers—the CRL Community in FY19

Supporting scholarship



\$2 *million* invested to build *shared collections*



5.7 *million* pages added online to enrich research, including est. 3.7 million pages *Open Access*



469 titles acquired on demand for researchers



31,771 new holdings in *PAPR*, supporting community-owned infrastructure for shared print

Engaging the community



136 institutions attended CRL forums or webinars



107 libraries voted on *purchase proposals*, building collective collections



147 libraries took up 125 CRL negotiated eResource offers



\$4.6 *million* estimated total *savings* by CRL libraries participating in *CRL eResource offers*

Acquisitions from 79 countries in FY19:





India (1,037 titles)

England (941 titles)

Germany (743 titles)

United States (230 titles)

France (194 titles)

Pakistan (166 titles)

Bangladesh (158 titles)

Nepal (80 titles)

Unknown or undetermined (72 titles)

Thailand (67 titles)

re de la vente, ils s'entretenoient u'un de la compagnie addressant iment & propement, avec une et, je vous prie, Pere Abraham, le pays ne sera e-il pas entierementes? comment serons-nous jamais us conseilleriez vous sur cela? — k répondit: » Ji vous coulez avec erai en bres, car un mot suffit au suri s. Tous se réunirent pour le pressur de avent seit un cercle autour de

"Through CRL, individual library investments in projects like the Global Press Archive are multiplied at scale. Millions of pages of digitized newspaper content will now be available to researchers."

—Mark Watson,
Interim Dean,
University of Oregon
Libraries (CRL
members since 1974)

Building and Sustaining Collections as Community

CRL collects, stewards, and shares diverse source materials to empower knowledge creation for the benefit of all. This is the power of community.

BUILDING DIVERSE COLLECTIONS

In FY19, CRL enriched the depth and diversity of its collective collections by adding:

- 469 titles in response to demand requests from researchers at CRL member institutions—including difficult to acquire dissertations from institutions outside North America, copies of archival materials, and retrospective newspapers to extend CRL holdings
- Five new primary source collections essential for scholarship, yet too costly or specialized for most individual collections—with a list price value of \$218,070 (proposed for purchase and supported by votes from 107 libraries)
- Four collections funded through "Shared Purchase," including a reprint set of rare Chinese medical texts held outside the Chinese mainland
- More than 75 long runs of contemporary newspapers enriching CRL's collection from various world regions, acquired and preserved through initiatives of the Area Materials and Global Resources programs

EXPANDING ONLINE RESOURCES

Open access was a key driver for online collection building in FY19. An innovative hybrid partnership with East View Information Services introduced the Global Press Archive (GPA), for which a robust Charter Alliance of 74 CRL and NERL libraries raised an impressive \$4.5 million dollars to launch an initiative ultimately aiming to deliver up to 4.5 million pages of newspapers from world regions, including 3 million pages openly accessible. The principal content source for the GPA is Stanford University's Hoover Institution, a major corpus of twentieth-century newspapers, supplemented by rich holdings from CRL's own collection and other contributing institutions.

CRL also pro-actively ingested files to serve up as open access content from digital collections developed previously in a partnership with Readex, starting with nearly 1.2 million pages of historical Latin American news content. CRL continued to pursue other partnerships to expand the wealth of online resources available for scholarship, administering grants to five university libraries for conversion of historical U.S. agricultural serials through Project Ceres, and supporting the work of the 51 library members of TRAIL, who converted over 200,000 pages of retrospective U.S. technical reports.

DELIVERING TARGETED ACCESS SERVICES

Demand scanning has become a keystone of CRL's access services support, yielding over 365,000 pages of materials scanned from the collection in response to scholars' requests for access. At the same time, 5,015 borrowing requests were filled by loan, an increase of 11%, over FY18, resulting in 21,665 items sent. The five top borrowing libraries overall were Harvard University, University of Pittsburgh, McGill University, University of Michigan, and the University of Virginia.

Member use of the Linda Hall Library of Science, Engineering and Technology continued to confirm the value of shared collection partnerships. The partnership fulfilled 15,029 article requests from print journals in the Linda Hall collection, augmented 6,548 requests through CRL using RapidILL. The five top users of the Linda Hall service were: the University of Pittsburgh, McGill University, University of Virginia, Northwestern University, and Princeton University. Additionally, the partnership initiated a third round of strategic digitization of serials from the shared collections, with a focus on trade journals related to automobile engineering and development.

UPGRADING DIGITAL INFRASTRUCTURE

CRL has invested significantly in upgrading its digital infrastructure, providing increased functionality in the DDSNext platform (DDSNext.crl.edu). In FY19, title-level metadata from over 28,000 titles hosted on legacy CRL systems was incorporated into the DDSNext interface to improve overall discoverability. CRL now hosts over 19.3 million pages from nearly 420,000 items on its platforms, with more than 65% of the content available as open access. The open access material most frequently visited continues to be the Brazilian Government Documents digital collection, from which more than 600,000 pages of documentation issued between 1821–1993 provides a vital source for contemporary research, particularly for scholars in Brazil. The aggregated digital content generated from CRL collections along with related materials from collaborating libraries—hosted by CRL or by partners—now totals over 46 million pages.

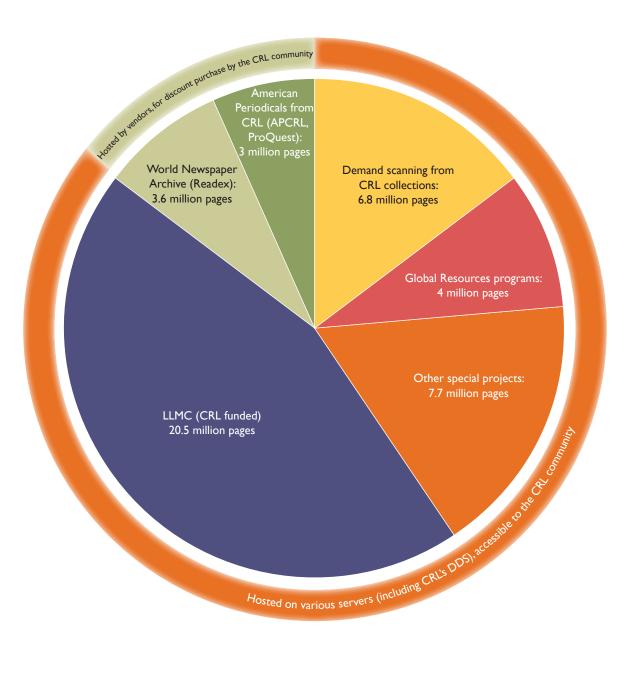
CRL also further refined elements of a globalCollections platform intended as an interactive space for creating community consensus to prioritize digital access for primary source collections from various global regions. The platform was tested in FY19 by members of the Global Resources programs to support their proposal review process, preparing for broader implementation in FY20.

"These titles are routinely requested by faculty and students and fewer and fewer institutions in the U.S. are maintaining the historical archive, making access a challenge."

—Nomination comments by a CRL member for CRL's Purchase Proposal acquisition of *Le Canard enchaîné* and *Charlie Hebdo* in 2019

CRL Digital Collections at a Glance

45.6 Million Pages Total at Close of FY19



FY19 Selections for Cooperative Acquisitions: Purchase Proposal Program

Each year voting member libraries nominate and vote for CRL to acquire shared collections of primary source materials essential for scholarship yet too costly or specialized for individual institutions to acquire, making them available on loan. Titles approved in FY19:

Al-Ahram (Cairo, Egypt)
1876–1879; Jul–Dec 1900; 1915–1917;
1921–1931; 1934–1938. Microform, piece
number unknown. Est. \$20,000. (quote from
the LC Field Office in Cairo)

Al-Ahram, the second oldest newspaper title in Egypt, is a significant daily publication throughout the Arab world. This acquisition will complete CRL holdings through the twentieth century.

The BBC Summary of World Broadcasts
Year 1: 4,552 fiche \$45,620; Year 2: 3,277
fiche \$32,770

This collection features transcripts from the British Broadcasting Corporation (BBC) monitoring of foreign radio broadcasts from 1947–1997, including brief summaries of major news items and broadcast trends. Year I acquisitions: "Monitoring Reports," "Far East/Asia," and "Middle East, Africa & Latin America." Year 2 acquisitions: "Africa, Latin America, Caribbean," "Eastern & Central Europe," and "USSR & former USSR."

The Creation of Modern Saudi Arabia, c. 1914–1939 India Office Political and Secret Files

778 fiche; \$12,980

The archives of the Political and Secret Department of Britain's India Office are a key source for the history of the Saudi state. The collection of confidential printed reports, maps, memoranda, handbooks, and policy files describe the expanding political administration, documenting regional relations and the emergence of the Kingdom of Saudi Arabia itself.

French Satirical Press: Le Canard enchaîné + Charlie Hebdo

Le Canard enchaîné: 1989–2018, microfilm, \$30,500; Charlie Hebdo, 1992–2018, microfilm \$16,200 (Total \$46,700)

Le Canard enchaîné is a satirical weekly newspaper founded in 1915 during World War I. ("Canard" or "duck" is French slang for "newspaper".) It features investigative journalism and leaks from sources inside the French government, and the worlds of French business and politics. This will help fill in CRL's holdings.

Charlie Hebdo is a weekly French satirical magazine featuring cartoons, reports, controversial debates, and strongly non-

conformist satire, providing a critical view of French and European current events.

Newspapers from Iran

Unknown numbers of CD-ROM /DVDs. Est. \$60,000 for purchase over two years

These will provide reporting and opinions about Iranian politics, economy, and international relations for the time periods covered. Political views ranging from liberal reformist and moderate right, to Islamist left wing, are represented through this selection.

Nine titles to be purchased:

- Shuma = Shoma: 1996-2010
- 9 day: 2010-2017
- Etemaad daily. دامتعا ممانزور: 2001 to present
- Iran daily. ەمانزور: 1993 to present
- Salam daily: 1990-1999
- Jomhourieslami. اىروە: 1988 to present
- Shargh daily. قرمها: 2004 to present
- Resalat daily. السهمانور 1988 to present
- Kayhan daily. نامى ممانور 1988 to present

Total Estimated List Price: \$218,070

Project Ceres Proposals Funded for 2019–20

Supporting ongoing preservation and access to important source materials on U.S. agricultural history and practice, these projects contribute to an understanding of the country's economic, social, and technological development over time. With completion expected by August 2020, content is hosted on local institutional repositories and accessible through CRL's catalog. Preservation of the print originals is documented through PAPR, CRL's Print Archives Preservation Registry.

Colorado State University, Preservation of Colorado Agriculture Literature,

Colorado County Agent Annual Reports, Third Phase

Cornell University, The Rural New Yorker
Phase II

Michigan State University, Michigan Tradesman

Montana State University, Montana Agricultural Related Microfilm Digitization Project

South Dakota State University, Digitization of South Dakota State University's

Agricultural Publications: Cooperative Extension

University of Maryland, Preserving the History of Maryland Agriculture and Rural Life: Microfilm to Digital

University of Arkansas, Digitization of Annual Report: Arkansas Agricultural Experiment Station

University of Nebraska, Preserving Nebraska's Agricultural History II: Circulars of the Nebraska Agricultural Experiment Station

Chủ Nhiệm r TRẨN-ĐINH-THÂN

Báo quán : 40 Ng uyễn an Ninh SG DT : 91,352

T. Tho Ky T.S VU. THANH-LE

hay chông bất cứ ứng-cử - viên nào Một Chánh phũ Đân cữ mạnh, sẻ quyết định tương lai đất nước Việt Nam

viện sắp tới tại VN Ông Bunker cho soát tính cách hợp thức và tuyên

cũa THIỆN LÝ KÍNH

Pháo kích và tiềm

Trong mày tháng nay, tại nhiều nơi. VC đã pháo kích vio các vị trí an toin của VN vi Đồng Minh. Điều đáng chủ ý là họ không dùng đại bác loni nặng để pháo kích mà therag dung bich kich pho

THE IMPACT OF CRL: Vietnamese Newspapers **Essential for Berkeley Dissertation**

Then University of California, Berkeley, history PhD student Ryan Nelson searched WorldCat for South Vietnamese newspapers as sources for his dissertation he found that "again and again I saw that CRL had titles I wanted."

Instead of focusing on events of the Vietnam War, Nelson has concentrated on the country's social history during the overlooked interregnum period, November 1963 to September 1967. His thesis will include chapters on gender, organized crime, politics, education, the economy, and music.



Above: One of several newspapers used in research for a thesis on Vietnam. Miền Nam, July 17, 1967. CRL collections.

Left: Ryan Nelson, PhD student at the University of California, Berkeley.

"Few institutions in the United States have as impressive and comprehensive a collection of South Vietnamese newspapers as CRL," Nelson indicates. "I have made use of dozens of titles published between 1963 and 1967." He notes that "Featured articles in Dân Tộc's debut edition added depth and context to my chapter on critical public attitudes towards former President Ngô Đình Diệm's highly autocratic regime. Items in *Tiếng Gọi Miền Tây* demonstrated the important role grassroots organizing played in establishing Can Tho University in 1966, which 'top-down' archival documents I found in Vietnam failed to recognize."

CRL's collection of Vietnamese newspapers owes much to an extensive microfilming project sponsored in the early 1990s by SEAM (Southeast Asia Materials Project). Nelson also consulted some titles digitized recently in response to researcher requests. Returning to the U.S. after research at Vietnam's National Archive, he was relieved to have convenient access to CRL's collections: "I am now able to put the archival documents I've found in conversation with bottom-up content from the press."



"We are thrilled to have access to the South Asia Open Archives collection on JSTOR. Students working on independent research projects are sure to be excited about the range of primary source materials, in both English and South Asian languages."

—Debra Bucher, Head of Collections & Discovery, Vassar College Libraries (CRL members since 2008)

Opening Up Critical Source Materials

PROGRAMS AND PARTNERSHIPS

The Area Materials and Global Resources programs working under the CRL umbrella were extraordinarily productive this year, preserving and making available materials from 26 different countries, including Ethiopia, Kenya, Cambodia, Syria, Brazil, Mozambique, Armenia, Azerbijan, Russia, and India. The work of SAOA (South Asia Open Archives) gained great momentum, converting over 500,000 pages of historical and contemporary materials sourced from 26 libraries in the U.S. and South Asia, in preparation for an open access platform launch in partnership with JSTOR in the fall of 2019. Additionally, CRL's Global Resources Partnership on Law and Government, working through LLMC-Digital, brought online for the first time many primary legal sources documenting the historical jurisprudence and culture of Africa, Latin America, Eastern Europe, and other world regions. The partnership generated in total nearly two million pages of newly converted online materials, including legal codes, official gazettes, and U.S. state legislative documents.

FY 2019 marked the culmination of the initial three-year phase of the Global Collections Initiative (GCI) supported by The Andrew W. Mellon Foundation. The project has engaged institutions in North America, the United Kingdom, Germany, and Latin America in an effort to create a critical mass of digital primary source documentation and data from the Global South, initially focusing on Latin America. The GCI strategy for expanding access to digital collections is multi-faceted, combining conversion of historical documentation with coordinated investment in existing databases, as well as exploration of archiving solutions for born-digital and web-based content. A report commissioned for the GCI on the challenges of web archiving was summarized in the Winter 2019 issue of *Focus on Global Resources*: "Preserving Web Resources for Research: Latin America as a Microcosm." During the year CRL enlisted a number of scholars, librarians, policymakers, funders, and other stakeholders to evaluate the overall efforts of the GCI, in order to inform the next round of strategic planning.

SUPPORTING ENDANGERED ARCHIVES

One of the findings of the GCI evaluation was the critical need to support and build upon the work of scholars and in-region institutions that identify, protect, and/or provide stewardship of important bodies of evidence. To that end CRL helped to bring online the Mexican Intelligence Digital Archives (MIDAS) an open access database of documents drawn from the archives of Mexico's two principal security services: the Dirección Federal de Seguridad (DFS) and the Dirección General de Investigaciones Políticas y Sociales (DGIPS). The documents were gathered and annotated by a network of scholars and researchers organized by Professor Paul Gillingham at Northwestern University, and including El Colegio de México and the Mexican non-governmental organization Artículo 19. The digital archive will eventually consist of up to 300,000 documents dating from the 1940s to the 1980s, including handwritten and typescript reports by agency personnel and their agents identifying political activists, labor officials, and other organizers in Mexico.

Also under the auspices of the GCI, CRL supported two additional archival efforts based in Latin America: hosting of documentation on Mexican state repression during the "Dirty Wars" (1950-80), organized by Articulo 19; and digitization of archival material on women active in twentieth-century Brazilian political, social, and cultural movements, deposited with the School of Social Sciences at Fundação Getúlio Vargas (FGV).

"CRL has made unique and important research possible at Concordia by providing online access to a rare and valuable microfilm collection of Rwandan oral history."

"We are true fans."

—Jared Wiercinski, Associate University Librarian, Research & Graduate Studies, and Guylaine Beaudry, Vice-Provost, Digital Strategy and University Librarian, Concordia University (Montreal), CRL members since 2018

Materials Preserved by CRL-Supported Collaborative Programs

Africa

CAMP microfilmed the following

newspapers:

Ethiopia

Capital (1998-2015)

Kenya

Business Daily (2007-2013)

Malawi

The Nation (2016)

Mali

Les Echos (2013-2016)

Républicain (2014-2016)

Mauritius

Action (1962-1967)

Mozambique

O País (2003-2015)

Latin America

LAMP microfilmed (or digitized where

noted) the following:

Brazil

Fundo Abdias Nascimento

Dominican Republic

Listín Diario (1923-1930)—digitized

La Bolsa (1860-1915)—digitized

El Republicano (1825-1855)—digitized

The Middle East

MEMP microfilmed the following

newspapers:

Algeria

El-Moudjahid (1994, 1999)

Morocco

al-Ittiḥād al-Ishtirākī (1987-1996)

Libération (1977-1981)

Syria

Difaf (2010-2015)

South Asia

SAMP digitized the following:

India

Annual report on the lunatic asylums in the

Madras Presidency (1891-1908)

Aprasiddha akshayavānī, arthāt, Akhākrta kāvyo saṅgrahakāra Bhagavānajī Mahārāja

(1932)

Cittar kalañciyam (1934–1935)

Cōtița kalāniti (1923–1927)

Intiya cōtiţam (1944)

Irantām Ulakattamiļ Mānāţu Citta Maruttuva

karuttarańku (1968)

Janōþakāri. (1936)

Jhopāļyāvaracī gītā Dattātraya Ananta Āþaṭe

(1928)

Kalpataru āryavityā vimarcanai (1922)

Māṇiṭa inpam (1938-1955)

Māruti (1947-1957)

Maruttuvan (1928-1929)

Mātajōtiţam (1950-1989)

Nanti (1970)

Report on the administration of the jails of

the Madras Presidency (1874-1932)

Snāna tarmam (1926-1927)

Statistical returns of the lunatic asylums in the

Madras Presidency (1909-1920)

Sundarī subodha (1904–1923)

Strī bodh (1858-1899, 1914-1941)

Svadesa (1919-1929)

Swadesamitran (1887–1977)

Tamil nāţu āyuļvēta mātāntira (1931)

Vaittiya malar (1952)

Nepal

Gorkhāþatra (1965-1977)

Rāshtra pukāra (1970-1995)

Rūpa-rekhā (1960-1978)

Pakistan

Akhtar kī nāzuk khayālī mā'rūf bah mukamal majmūʻah-yi qavvālī (191-?)

Southeast Asia

SEAM microfilmed the following newspapers:

Cambodia

Brýttipátr tamnýn sakallok (1991)

Dībhnâknār sārbartamān Khmaer (1993-1995)

Ţamnyn knun brades nin qantarajati (1991– 1993)

Ţaṃṇỳṅ paccuppannabhāb (1991–1993)

Indonesia

Bintang Timur (1954-1958)

Bulletin Kantor Berita PAB (1972-1976)

Cenderawasih Pos (2015–2016)

Radar Bogor (2011)

Thailand

The Siam prabheth (1897-1906)

Slavic and Eastern Europe and its diaspora

SEEMP microfilmed (or digitized where noted) the following newspapers:

Armenia

Ankakhut'yun (1988, 1992-1993)

Aragast (1991, 1992-1994) Azatamart (1991–1993)

Azerbaijan

Ădăbii i at găzeti (1991–1992)

Azadlyg (1992-1994)

Azarbaycan (1992, 1994)

Azarbajchan (1992-1993)

Aydinlik (1994)

Baki (1994)

Belarus

Belorusskii Predprinimatel' (1991–1992)

Belorusskii Rynok (1992-1993)

Estonia

Äripäev (1992-1996)

Kazakhstan

Ana tili (1994)

Birlesu (1991)

Latvia

Abele (1992)

Akhmatovskii Vestnik (1990)

Biznes I Baltiia (1991-1995)

Lithuania

Amžius (1992)

Atgimimas (1988-1993)

Apzvalga (1992)

Russia

Akademiia Kryminalista (1992)

Aktsioner (1990-1993)

Al'ternativa (1990, 1992-1993)

Antrakt (1991-1993)

Aspekt (1992)

Anichkov Most (1991–1993)

Allo! Literaturnaia gazeta (1991-1993)

Andreevskii Flag (1992)

Armia I Flot (1992)

Biznes Reklama (1995)

Bines-Shans (1992)

Ukraine

Arkhivy Sekretnykh Sluzhb (1992)

Birzha (1991, 1993)

United States

Ameryka (1919, 1922, 1925-1929)—digitized

United States

TRAIL digitized technical reports from:

U.S. Nuclear Regulatory Commission

U.S. Dept. of Energy, Grand Junction Area

U.S. Air Force, Office of Scientific Research

U.S. Environmental Protection Agency,

Historical Legal Documentation Preserved Through the CRL-LLMC Partnership

CRL partners with LLMC (formerly the Law Library Microform Consortium) to identify, preserve, and provide digital access to important, at-risk, primary legal and government publications from the U.S. and other countries. These include national legislative, constitutional, and executive documents, as well as codes, treaties, trials, and arbitrations. LLMC also contains a growing number of documents from regional jurisdictions (counties, provinces, etc.). To date the CRL-LLMC partnership has contributed over 27,000 digitized volumes (20 million pages) of historical legal publications to the LLMC-Digital database of 77 million pages—all available to researchers at CRL libraries through www.llmc.com

In FY19 LLMC concentrated on adding Latin American documents to the collection, in addition to many other world areas. Selected titles include:

Argentina—Legislative

1934 Leyes nacionales clasificadas, 18 v.
1943–55 Leyes y decretos nacionales
1976 Ley de contrato de trabajo
1977 Leyes del trabajo

Argentina—Executive

1887-1911 Registro nacional

Brazil—Legislative

1891 Collecção das leis do Brasil

Chile—Legislative

1810-1951 Boletan de leyes

Costa Rica—Legislative

1924-40 Coleccion de leyes y decretos

Cuba—Legislative

- 1959 Leyes penales de la revolución, 2 ed.
- 1960 Aplicación de la ley de procedimiento laboral

- 1974 Ley del secreto estatal
- 1982 Los delitos en especie, 2 v.

Cuba—Codes

1975 Código civil

1978 Código de Familia

Cuba—Executive

1825-35 Arancales generales

Ghana—Legislative

1960-74 Ghana Gazette

India—Judicial

1948–67 India Law Reports Punjab Series

Mexico—Legislative

- 1905 Leyes federales sobre el regimen monetario
- 1911 Ley sobre extranjería y naturalizacion
- 1926 Ley del petroleo y su regulamento
- 1931 Proyecto de ley federal del trabajo
- 1948 Ley federal sobre el derecho de
- 1957 Nueva ley federal sobre el derecho de autor
- 1975 Ley de seguro social, 20 ed

Mexico—Codes

- 1880 Código de procedimientos civiles, (2nd ed.)
- 1957 Código agrario
- 1974 Código sanitario, II ed.
- 1974 Código fiscal de la Federacion, 20 ed.
- 1976 Código de comercio y leyes complementarias, 31 ed.
- 1981 Código de comercio, 39 ed.

Nicaragua—Constitutional

- 1950 Constitution of 1950, 1960 Rev. ed. (Eng.)
- 1974 Constitution of the Republic of Nicaragua

Nicaragua—Legislative

1863 Leyes Emitidas por el Congreso

Peru—Legislative

- 1945 Legislación electoral
- 1947 Digesto de legislación sobre turismo
- 1947 Control de cambios
- 1962 Ley organica de educacion publica
- 1967 Derechos y beneficios sociales del obrero. I ed.
- 1982 Ley general de cooperativeas no.

Peru—Codes

- 1960 Codigo del trabajo y el sindicalismo
- 1975 Codigo de procedimientos civiles,

Romania—Legislative

1926–36, 1957 Colectiune de legi si regulamente

Tanzania

1927-49, 1963-64 Tanganyika Gazette

Uruguay—Legislative

- 1921 Leyes, decretos y reglamentos del registro del estado civil
- 1930 Ley de jubilaciones
- 1931 Leyes de registro civico nacional
- 1954 Régimen de estabilizacion agrarian mínima
- 1957 Régimen de cuota individual
- 1960 Ley impresa a la renta

Uruguay—Codes

- 1934 Código del nino
- 1943 Código de minería
- 1970 Código de procedimento civil
- 1974 Código de aeronáutico
- 1977 Código de comercio



CIRCULACION PAGADA 87,425 DIARIA ... Dominical. 93.169 ENERO-MARZO 1977

72 Págs.

Año XLI

Nº. 13.882

San Salvador, Lunes 4 de Julio de 1977.

N. VIERA ALTAMIRANO Editor-Director

HAY CISMA E ESIA Y GUBIERN

THE IMPACT OF CRL: Northwestern Grad Student Examines Latin Ameria's Declining **Catholicism**

or his research on causes of the breakdown of the Catholic order in late twentieth-century Latin America, Emilio Lehoucq, a sociology PhD student at Northwestern University, relied heavily on the major newspapers of El Salvador and Colombia borrowed from CRL collections. He comprehensively analyzed issues of El Diario de Hoy and El Tiempo from 1970-1973, "a critical moment for religious change," and sampled content from El Diaro up to 2006.



Above: The El Salvador publication, El Diaro De Hoy, July 4, 1977, from CRL collections.

Left: Emilio Lehoucq, PhD student at Northwestern University.

Lehoucq proposes a "negative feedback loop of church activism and state repression" in countries where the

Catholic Church vigorously embraced liberation theology, resulting in a weakening of the central Episcopates and a corresponding rise in importance of an Evangelical movement. He received a grant from the university to revise an initial working paper, which he plans to submit for publication in 2020.

The research for this paper was initiated by a previous project on religious influence in politics. "While finishing my master's degree in law, I researched the conservative legal mobilization against LGBT rights in Colombia. I realized the rising importance of Evangelicals in Latin American society," says Lehoucq.

Michelle Guittar, Northwestern's Latin American Studies librarian, first tipped off Lehoucq to CRL's rich collection of Latin American newspapers. As a second-year graduate student, he explains: "Without the CRL materials, I wouldn't have been able to use primary documents for my paper. I would have had to rely on secondary sources [without] travel to El Salvador and Colombia to view church archives. These resources have allowed me to add a richer and more detailed source of information, gaining knowledge of events that made me improve and actually change my argument."



"Collaboration is more important than ever. No single library can provide everything. We need to work proactively to preserve today's communications emails, web pages, broadcasts, etc.—for tomorrow. CRL's leadership in our efforts to license online news sources has great potential to shape digital preservation efforts."

—Nancy Godleski,
Associate University
Librarian for Collections
& Core Services, Jean &
Alexander Heard Libraries,
Vanderbilt University
(CRL members since
1975)

Leveraging Collective Action

SUPPORTING SHARED PRINT INFRASTRUCTURE DEVELOPMENT

CRL staff members have continued to play a leadership role advocating for metadata standards and providing support for the collection analysis necessary to build a broadly shared infrastructure for robust print archiving initiatives. Through grant funding from The Andrew W. Mellon Foundation CRL supported cross-collection analysis of print serials held by ReCAP institutions (Princeton, Columbia University, and New York Public Library). CRL also supported cross-collection analysis among the libraries of Scholars Trust (an agreement between ASERL and WRLC member libraries).

Additionally, CRL continued to work with OCLC to enable registration of print serial retention commitments in Worldcat, while expanding functionality of CRL's Print Archives Preservation Registry (PAPR) to support local retention decisions. CRL's data assessment work to date has revealed the single most challenging barrier to record harmonization: the lack of persistent identifiers, raising the question of how to develop a national strategy to retrospectively assign ISSN's to historical publications.

LEVERAGING COLLECTIVE INFLUENCE

CRL continued to pro-actively negotiate terms with publishers and aggregators for local institutions to purchase or subscribe to primary source databases, concentrating on collections of financial, news, demographic, and geographic data. In FY19 147 CRL member institutions took up over 500 product offerings from vendors including Adam Matthew, Cengage Gale, Global Financial Data, the HistoryMakers (offering sole distribution), International Monetary Fund, PrivCo, ProQuest, Readex, and Statista. Academic site license offers were obtained for the first time with the Frankfurter Allgemeine Aeitung and The Washington Post, adding to the licenses pioneered by CRL with The New York Times and the Wall Street Journal. Institutions taking up offers realized a collective savings of over \$4.6 million, estimated from reported discounts on list pricing.

CRL's interactive eDesiderata platform continued to be a hub for database reviews, terms of offers, and member comments to inform local investments, now providing over 500 entries for online database products plus provider profiles.

FOSTERING DIALOG AND INQUIRY

To engage discussion and support informed investment in collections by libraries in its community, CRL offers a variety of programming, publications, and social media activity, targeting areas of timely inquiry.

Quarterly update webinars reported on the status of various CRL initiatives in 2019, including the proposal for the Global Press Archive (July 25, 2018); a report on gaps in JSTOR print archives in North America (November 14, 2018); a roundup of discussions from the PAN Forum on print archiving (February 13, 2019); and an update on successes and challenges in newspaper site licensing efforts (April 17, 2019). Recordings of most CRL webinars are available on CRL's YouTube channel.

Forums hosted by CRL included the third annual eDesiderata Forum, a webcast discussion that in October 2018 focused on "Investing in Open Primary Sources." Speakers from ICPSR, the Biodiversity Heritage Library, the Medical Heritage Library, Project Ceres, and a panel of CRL and NERL members examined how U.S. and Canadian libraries might leverage their collective influence to minimize or eliminate barriers to Open Access for primary source databases,

achieving greater returns on investment. In May 2019 CRL hosted a Global Resources Forum following the annual "Council of Members" meeting in Chicago, on "Credible Sources: Libraries and the Integrity of Knowledge." Responding to the 2018 report from the Social Science Research Council, keynote speaker Alondra Nelson of Columbia University and CRL's Board of Directors noted that to offset the private sector's growing ascendancy in research matters "all of us will be required to think beyond current institutional and disciplinary silos." Speakers examined the new research landscape, suggesting areas of action for academic and independent research libraries.

As a supplement to CRL's periodic *Connect* enewsletter, the second annual issue of *Scholars Edition* was published in February 2019, designed for sharing information with researchers on significant recent acquisitions and digital initiatives. The 2019 CRL Primary Source Awards cited South African cultural mapping research by a Michigan State University PhD student and a regional newspaper digitization project from the University of North Texas Libraries, as reported in the spring 2019 issue of *FOCUS on Global Resources*. CRL continued to share information on all initiatives on social media outlets Twitter and Facebook.

"One of my favorite events."

"Few other library organizations deal with these bigger questions in a holistic way."

"Good food for thought about what libraries could be doing going forward."

—Member comments on evaluation survey from "Credible Sources: Libraries and the Integrity of Knowledge," CRL Global Resources Forum, May 2019.



THE IMPACT OF CRL: Early Women's Publications from India: a Valuable Source for Scholars

Thanks to CRL-affiliated groups, valuable materials for the study of gender, women's roles, and early social reform efforts in India are now available to scholars worldwide. In 2019 two rare Gujarati women's journals from the collection of the BJ Institute of Learning Research in Ahmedabad were digitized under the auspices of SAMP (South Asia Materials Project) and hosted by CRL. Complementing this resource, SAOA (South Asia Open Archives) digitized and made publicly available a significant body of late nineteenth and early twentieth-century Indian serial publications by and for women, as part of its curated collections launched on JSTOR in late 2019 and also available online from CRL.

The Indian Ladies Magazine, April– June 1916, women's publication digitized by SAOA.

Strī bodh (1857–1944) was the first monthly magazine in the Gujarati language for and by women. Not only does it shed light on social reform efforts, particularly women's education, it also provides a glimpse of an emerging middle class often tied to the modern textile industry. Sundari subodh (1904–1921) also focused on social reform issues affecting women (such as remarriage and property rights) and dedicated space to women authors. These titles suggest the Gujarati language's significant role in shaping the distinct linguistic identity politically important in the Indian independence movement. "When we learned that these were likely the last existing copies of the publications, we knew it was vital to preserve them," explained Lisa Trivedi, Professor of History at Hamilton College. The titles were identified by Trivedi and Abigail McGowan of the University of Vermont, and the project was proposed to SAMP by Laura Ring, Librarian for Southern Asia and Anthropology at the University of Chicago. "So little has been studied about women's social reform in Gujarat in comparison to Bengal, the United Provinces, or Madras. We hope that this project will draw scholars to the history of women in Western India," says Trivedi.

Among the more than 500,000 pages initially digitized under SAOA's sponsorship, titles specifically for women included YWCA publications from 1899 (*Our Indian Magazine*), 1900 (*The Young Women of India*), and 1916 (*The Young Women of India and Ceylon*). The YWCA had a strong presence in nineteenth-century India, with a number of local branches predating establishment of the national organization in 1896.

Taken together, these newly digitized publications can shed a light on the social lives and aspirations of women in modern India as well as the relationship of gender issues to emerging nationalism.

Board of Directors, Officers, and Committees, FY19

Serving at Council Meeting May 2019

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University of Wisconsin

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President

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Executive Assistant to the President and Head of

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Vice President of Collections and Services

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(as of January 2020)

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Bethany Bates

Head, Stack Management

Virginia Kerr

Head, Communications

Patrick J. Lummen

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Head, Access Initiatives and Special Projects

Coordinator

Mary Wilke

Head, Member Development and Engagement

Amy Wood

Head, Technical Services

Patricia Xia

Director, Information Systems

SENIOR ADVISOR

Ann Shumelda Okerson

CRL Advisor on Electronic Resources Strategy

Member Institutions, FY19

listed by the year they first joined CRL

1949 - Founding Members

University of Chicago
University of Illinois at Urbana-Champaign
Illinois Institute of Technology
Indiana University
University of Iowa
University of Kansas
Michigan State University
University of Minnesota
Northwestern University

1950

University of Cincinnati University of Notre Dame University of Wisconsin

Purdue University

1953

Ohio State University

1957

Marquette University

1959

University of Kentucky

1962

University of Missouri

1963

University of Toronto

1967

University of British Columbia
University of California, Los Angeles
Cornell University
Harvard University
Iowa State University
Loyola University of Chicago
University of Pittsburgh
Princeton University
University of Rochester
University of Utah

1968

Arizona State University
Kent State University
Washington University in St. Louis
Western Michigan University

1969

University of California, Santa Barbara Carleton University Northern Illinois University Ohio University

1970

Florida Atlantic University
University of Illinois at Chicago
University of Michigan
Rutgers, The State University of New Jersey
Temple University
Texas A & M University

1971

Columbia University
University of Tennessee

1972

University of Arkansas

1973

Binghamton University
Chicago State University
University of Denver
Florida State University
University of Houston
Kansas State University
University of Massachusetts, Boston
McGill University
University of New Mexico
New York Public Library
University of Pennsylvania
Yale University

1974

University of Florida Lake Forest College University of Oregon

1975

Vanderbilt University

1976

University of Akron
University of Colorado
University of Delaware
University of Georgia
United States Environmental
Protection Agency

1977

University of Arizona
Arkansas State University
DePaul University
University of North Carolina
University of South Carolina
Stony Brook University
University of Texas at Austin
Tulane University
University of Virginia

1978

Colby College
University of Massachusetts, Amherst
Middlebury College
University of Oklahoma
University of Vermont
University of Washington

1979

University of California, Berkeley University of California, Davis University of California, Irvine University of California, San Diego University of California, Santa Cruz

1980

Carnegie Mellon University Florida International University Miami University of Ohio

1983

Brigham Young University National Humanities Center North Carolina State University York University

1985

Oregon State University Valparaiso University University of Western Ontario

1987

Case Western Reserve University

1989

College of William & Mary University of Southern California

1990

University of Alabama

1991

University of Maryland, College Park Virginia Tech

1993

University of Alberta Bowling Green State University University of Dayton Duke University

1994

The Claremont Colleges Emory University George Mason University

1996

Kenyon College New York University University of Ottawa

1998

University of Calgary
Paul H. Nitze School of Advanced
International Studies at Johns Hopkins
University

1999

Ashland University
Oberlin College
Pennsylvania State University
Texas Tech University
University of Texas at San Antonio

2000

University of Victoria

2005

Carleton College Colorado College Georgia State University Grinnell College Knox College Monmouth College

2006

University of Connecticut University of Manitoba University of South Florida

2008

Brock University

Canisius College
Colgate University
Dartmouth College
Lakehead University
Le Moyne College
Queen's University
Rhodes State College
University of Saskatchewan
Siena College
Simon Fraser University
Saint Lawrence University
Trent University
Vassar College
Wilfrid Laurier University

2009

Adler School of Professional Psychology Hope College The Newberry Library The School of the Art Institute of Chicago

2010

Illinois Wesleyan University Millikin University

2011

Carroll College University of Central Florida University of North Florida Olivet Nazarene University University of the South Williams College

2012

Baruch College–CUNY
Baylor University
University of California, Merced
Graduate Center–CUNY
Mississippi State University
Norwich University
West Virginia University

2013

Bard College
Boston College
Carthage College
Kennesaw State University
University of Nebraska–Lincoln
Northeastern University
Union College

2014

University of Lethbridge
Luther College
Mount Holyoke
Occidental College
University of San Francisco
Saint Olaf
Smith College
Stanford University
Trinity University

2015

Amherst College
Hampshire College
High Point University
Illinois College of Optometry
University of Miami
National Agricultural Library
Pepperdine University
Rollins College
University of San Diego

2016

Furman University
Hamilton University
Macalester College
The New College of Florida
North Dakota State University
United States Military Academy
Virginia Commonwealth University
University of West Florida

2017

University of Alabama at Birmingham Beloit College Brandeis University University of North Texas

2018

Lafayette College Wofford College

2019

Ball State University
Butler University
Concordia University
Kalamazoo College
Lehigh University
University of North Carolina at Greensboro
SUNY Geneseo
University of Texas at Dallas
Thomas Jefferson Foundation

GLOBAL AFFILIATES

2006

University of Hong Kong

2015

Max Planck Institute for Human Development

2016

American University of Beirut

2019

East Asia Department, Berlin State Library (Staatsbibliothek zu Berlin)

AFFILIATE MEMBERS

1981

Association of Research Libraries (ARL)

1983

Online Computer Library Center (OCLC)

Members of Global Resources Programs as of June 30, 2019

CAMP (Cooperative Africana **Materials Project)**

Afrika-Studiecentrum (Leiden, The Netherlands)

Ben-Gurion University of the Negev (Israel) Bodleian Library of Commonwealth &

African Studies at Rhodes House

Boston University

University of California, Berkeley

University of California, Los Angeles

University of Cambridge, African Studies

Center

University of Chicago Columbia University

Cornell University

Dartmouth College

Duke University

University of Edinburgh

Emory University

University of Florida

Harvard University

University of Illinois at Urbana-Champaign

Indiana University

University of Iowa

University of Kansas

Library of Congress

School of Oriental and African Studies,

University of London

University of Michigan

Michigan State University

University of Minnesota

New York Public Library

New York University

Nordic Africa Institute

University of North Carolina

Northwestern University

University of Notre Dame

Ohio University

Ohio State University

University of Pennsylvania

Princeton University

Rutgers University

Southern Methodist University

Stanford University

Syracuse University

Temple University

University of Toronto

Vanderbilt University

University of Virginia

University of Wisconsin-Madison

Yale University

African Affiliates

University of Cape Town

Mountains of the Moon University

CIFNAL (Collaborative Initiative for French Language Collections)

University of Alberta

Brandeis University

Brigham Young University

University of British Columbia

Brown University

University of California, Berkeley

University of California, Irvine

University of California, Los Angeles

University of California, San Diego

University of California, Santa Cruz

University of Chicago

Colgate University

University of Colorado, Boulder

Columbia University

Cornell University

Dartmouth College

University of Denver

Duke University

Ecole Nationale Superieure des Sciences de

l'Information et des Bibliotheques

University of Florida

George Mason University

Harvard University

University of Illinois at Urbana-Champaign

Indiana University

University of Iowa

John Carter Brown Library

Johns Hopkins University

University of Kansas

University of Manitoba

University of Maryland, College Park

University of Michigan

University of Minnesota

New York Public Library

New York University

Newberry Library

University of North Carolina

Northwestern University

University of Notre Dame

University of Ottawa

University of Pennsylvania

Pennsylvania State University

Princeton University

Purdue University

Queens University

Reed College

Smith College

Stanford University

University of Texas, Austin

University of Toronto

Tulane University

University of Utah

Vanderbilt University

University of Vermont

University of Virginia

University of Washington

University of Wisconsin

Yale University

York University

GNARP (German-North American **Resources Partnership)**

University of Alabama

University of Alberta

Brigham Young University

Brown University

University of California, Berkeley

University of California, Irvine

University of California, Los Angeles

University of California, San Diego

University of California, Santa Cruz University of Chicago

University of Cincinnati

University of Colorado, Boulder

Cornell University

Dartmouth College

University of Delaware **Duke University**

University of Florida

Georgetown University Harvard University

University of Illinois at Urbana-Champaign

Indiana University

University of Iowa

Johns Hopkins University

Library of Congress

University of Manitoba

University of Maryland, College Park

University of Michigan

Michigan State University

Middlebury College

University of Minnesota

New York University University of North Carolina

Northwestern University

University of Notre Dame

Pennsylvania State University University of Pennsylvania Princeton University Rice University Rutgers University Stanford University University of Toronto University of Utah Vanderbilt University Washington University University of Washington University of Waterloo University of Wisconsin

Yale University

German Partners

Bayerische Staatsbibliothek Goethe-Institut, New York Humboldt-Universität zu Berlin Niedersächsische Staats- und Unversitätsbibliothek Göttingen Unversitätsbibliothek Tübingen

Universitäts- und Landesbibliothek Sachsen-Anhalt, Halle

LAMP (Latin American Materials Project)

Brigham Young University **Brown University** University of California, Berkeley University of California, Irvine University of California, Los Angeles University of California, San Diego University of California, Santa Barbara University of California, Santa Cruz University of Chicago

Columbia University University of Connecticut Cornell University Dartmouth College **Duke University Emory University** University of Florida Harvard University

University of Illinois at Urbana-Champaign

Indiana University University of Iowa University of Kansas Library of Congress

University of Massachusetts-Amherst

University of Miami

University of Michigan Michigan State University University of Minnesota University of New Mexico New Mexico State University New York Public Library New York University University of North Carolina Northwestern University University of Notre Dame Ohio State University University of Pennsylvania University of Pittsburgh Princeton University Rice University **Rutgers University**

University of Southern California Stanford University University of Texas University of Toronto Tulane University Vanderbilt University University of Virginia University of Wisconsin

Yale University

LARRP (Latin Americanist Research Resources Project)

University of Arizona Arizona State University Boston College Brigham Young University University of California, Berkeley University of California, Los Angeles University of California, San Diego University of California, Santa Barbara Columbia University

University of Connecticut Cornell University Dartmouth College **Duke University Emory University** University of Florida

Florida International University

Harvard University

University of Illinois at Urbana-Champaign

Indiana University University of Kansas Library of Congress

University of Massachusetts, Amherst

University of Miami

University of Michigan Michigan State University University of Minnesota University of New Mexico New York Public Library New York University University of North Carolina University of Notre Dame

Ohio University Ohio State University University of Pennsylvania University of Pittsburgh Princeton University Rice University Rutgers University University of South Florida

University of Southern California

Syracuse University University of Texas University of Toronto Tulane University Vanderbilt University University of Wisconsin Yale University

Latin American Affiliates

Biblioteca Inca

Centro de Investigaciones Regionales de

Mesoamerica

Instituto de Estudios Peruanos

Universidad de Puerto Rico, Rio Piedras

Universidade de San Andres

Universidade Federal do Rio Grande do Sul University of the West Indies/St. Augustine

MEMP (Middle East Materials Project)

American University in Cairo American University of Beirut

University of Arizona Brigham Young University **Brown University**

University of California, Berkeley University of California, Los Angeles University of California, Santa Barbara

University of Chicago Columbia University Cornell University **Duke University** Harvard University

University of Illinois at Urbana-Champaign

Indiana University University of Iowa Library of Congress McGill University University of Michigan Michigan State University University of Minnesota New York Public Library New York University University of North Carolina Northwestern University University of Notre Dame Ohio State University

Princeton University University of Southern California

University of Pennsylvania

Stanford University University of Texas University of Toronto University of Washington Washington University University of Wisconsin Yale University

SAMP (South Asia Materials Project)

University of British Columbia **Brown University** University of California, Berkeley University of California, Los Angeles Centre for Studies in Social Sciences.

Calcutta University of Chicago Columbia University Cornell University **Duke University Emory University** Harvard University

University of Hawaii

University of Illinois at Urbana-Champaign

Indiana University University of Iowa Kansas State University Library of Congress Madan Puraskar Pustakalaya University of Michigan

Michigan State University University of Minnesota University of Missouri

Mushfiq Khwaja Library and Research

Centre

University of Namur New York Public Library New York University University of North Carolina North Carolina State University University of Notre Dame Ohio State University University of Pennsylvania

Princeton University

Roja Muthiah Research Library

Rutgers University Stanford University Syracuse University University of Texas University of Toronto University of Virginia University of Washington Washington University University of Wisconsin Yale University

South Asia Open Archives (SAOA)

University of California, Berkeley Centre for Studies in Social Sciences.

Calcutta University of Chicago Columbia University Cornell University **Duke University Emory University** Harvard University

University of Illinois at Urbana-Champaign

Indiana University

Madan Puraskar Pustakalaya University of Michigan Michigan State University University of Minnesota

Mushfiq Khwaja Library and Research

Centre

New York Public Library New York University University of Pennsylvania Princeton University

Roja Muthiah Research Library

Stanford University

University of Texas at Austin

University of Virginia University of Washington University of Wisconsin

Yale University

SEAM (Southeast Asia Materials Project)

Arizona State University University of California, Berkeley University of California, Irvine University of California, Los Angeles

University of Chicago Columbia University Cornell University **Duke University** Harvard University University of Hawaii Indiana University

Leiden University Library of Congress

School of Oriental and African Studies,

University of London University of Michigan Michigan State University University of North Carolina Northern Illinois University University of Notre Dame

Ohio University University of Oregon Princeton University Stanford University University of Toronto University of Washington University of Wisconsin Yale University

SEEMP (Slavic and East European Materials Project)

University of Arizona Arizona State University University of California, Berkeley University of California, Los Angeles University of Chicago Columbia University

Cornell University **Duke University** University of Florida Harvard University

University of Illinois at Urbana-Champaign

Indiana University University of Iowa University of Kansas Library of Congress University of Michigan University of Minnesota New York Public Library New York University University of North Carolina

University of Notre Dame Ohio State University University of Pittsburgh Princeton University

Stanford University
University of Texas
University of Toronto
University of Washington
University of Wisconsin
Yale University

Virginia Tech
University of Washington
Washington State University
University of Wisconsin
University of Wyoming
Yale University

TRAIL (Technical Report Archive & Image Library)

University of Arizona Arizona State University University of Arkansas Baylor University

Brigham Young University
California Institute of Technology

Camornia institute of reclinology

University of California, Berkeley

University of California, Los Angeles

University of California, San Diego University of Cincinnati

Colorado School of Mines

University of Colorado, Boulder

Colorado State University

Georgia Institute of Technology

U.S. Government Publishing Office

Harvard University

University of Houston

University of Illinois at Urbana-Champaign

Indiana University

University of Iowa

Iowa State University

Johns Hopkins University

Kansas State University

Massachusetts Institute of Technology

University of Massachusetts, Amherst

University of Michigan

University of Nevada, Las Vegas

University of New Mexico

University of North Texas

Northwestern University

University of Notre Dame

Oklahoma State University

Oregon State University

University of Pennsylvania

Pennsylvania State University

Princeton University

Purdue University

Rice University

Stanford University

Syracuse University

Texas A & M University

University of Texas, Austin

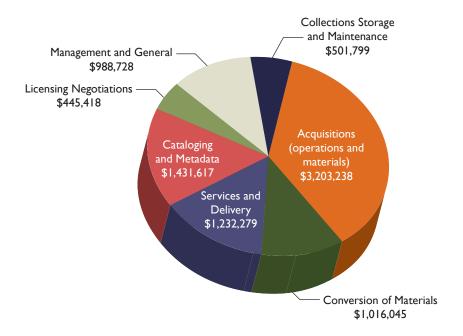
University of Texas at San Antonio

Texas Tech University

Utah State University

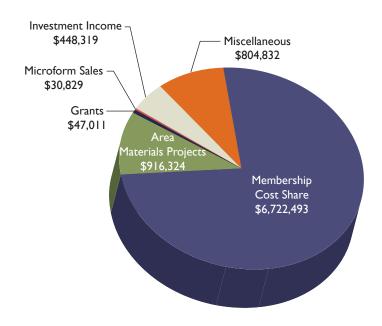
CRL Expenditures on Collections, Services, and General Operations FY19

Total = \$8,819,124



CRL Revenue FY19

Total = \$8,969,808



CENTER FOR RESEARCH LIBRARIES

FINANCIAL STATEMENTS

June 30, 2019

CENTER FOR RESEARCH LIBRARIES

FINANCIAL STATEMENTS WITH SUPPLEMENTARY INFORMATION

June 30, 2019 and 2018

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REPORT OF INDEPENDENT AUDITORS

To the Board of Directors of Center for Research Libraries

Report on the Financial Statements

We have audited the accompanying financial statements of Center for Research Libraries (the Center), which comprise the statements of financial position as of June 30, 2019 and 2018, and the related statements of activities, functional expenses and cash flows for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Center's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Center's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by the Center's management, as well as evaluating the overall presentation of the financial statements

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to in the first paragraph present fairly, in all material respects, the financial position of Center for Research Libraries as of June 30, 2019 and 2018, and the changes in its net assets and its cash flows for the years then ended, in accordance with accounting principles generally accepted in the United States of America.

Emphasis of Matter

As discussed in Note 2, during the year ended June 30, 2019, the Center implemented new accounting guidance that updates the understandability of net asset classifications, deficiencies in information about liquidity and availability of resources, and the lack of consistency in the type of information provided about expenses and investment return. Our opinion is not modified with respect to this matter.

Other Matter

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying supplementary information on pages 20 and 21 is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

Westchester, Illinois

Legacy Professionals LLP

December 4, 2019

CENTER FOR RESEARCH LIBRARIES

STATEMENTS OF FINANCIAL POSITION

June 30, 2019 and 2018

	<u>2019</u>	<u>2018</u>
Assets		
Cash and cash equivalents	\$ 4,175,176	\$ 11,846,245
Accounts receivable	73,037	512,151
Grants receivable	297,913	281,235
Prepaid expenses	165,805	120,586
Deferred financing costs	51,996	60,724
Investments	9,363,303	8,954,636
Property and equipment - net	1,903,004	2,255,904
Total assets	\$ 16,030,234	\$ 24,031,481
Liabilities and Net Assets		
Liabilities		
Accounts payable and accrued expenses	\$ 988,216	\$ 768,233
Due to database vendors	2,300,424	11,160,316
Deferred revenue	3,295,687	2,610,700
Loan payable	569,854	679,978
Total liabilities	7,154,181	15,219,227
NET ASSETS		
Net assets without member restrictions		
Operating	3,286,680	2,806,814
Area Materials Projects	3,745,251	3,827,657
Net investment in property and equipment	1,333,150	1,575,926
Total	8,365,081	8,210,397
Net assets with member restrictions	510,972	601,857
Total net assets	8,876,053	8,812,254
Total liabilities and net assets	\$ 16,030,234	\$ 24,031,481

See accompanying notes to financial statements.

CENTER FOR RESEARCH LIBRARIES

STATEMENTS OF ACTIVITIES

Years Ended June 30, 2019 and 2018

		2019			2018	
	Without Member	With Member		Without Member	With Member	
	Restrictions	Restrictions	<u>Total</u>	Restrictions	Restrictions	<u>Total</u>
REVENUE AND OTHER SUPPORT		,				
Membership cost share	\$ 6,722,493	•	\$ 6,722,493	\$ 6,632,744	•	\$ 6,632,744
Area Materials Projects	916,324	1	916,324	989,785	1	989,785
Grants	47,011	603,646	650,657	27,996	253,239	281,235
Cataloging revenue	1,400		1,400	2,800	1	2,800
Newspaper microfilm sales	28,613		28,613	24,197	1	24,197
Microform sales and subscriptions	2,216		2,216	3,547		3,547
Investment income - net	448,319	•	448,319	418,085		418,085
Other income	112,901	•	112,901	126,686		126,686
Net assets released from restrictions	690,531	(690,531)	1	253,793	(253,793)	1
Total revenue and other support	8,969,808	(86,885)	8,882,923	8,479,633	(554)	8,479,079
Expenses						
Program	6,648,512	•	6,648,512	6,061,601	1	6,061,601
Management and general	811,782	•	811,782	836,603		836,603
Fundraising	176,946		176,946	170,870	'	170,870
Total expenses	7,637,240	1	7,637,240	7,069,074	•	7,069,074
CHANGE IN NET ASSETS BEFORE COLLECTION ITEMS PURCHASED AND NOT CAPITALIZED	1.332.568	(86.885)	1.245.683	1,410.559	(554)	1,410,005
COLLECTION TEEMS BUDGHASED AND NOT CAPITALIZED	1 181 884		1 181 884	1 103 081		1 103 081
	1,101,10		1,101,1	100,001,1		1,100,001,1
Change in net assets	150,684	(86,885)	63,799	307,478	(554)	306,924
NET ASSETS Beginning of year	8,210,397	601,857	8,812,254	7,891,596	613,734	8,505,330
Released for capitalized equipment	4,000	(4,000)	1	11,323	(11,323)	1
End of year	\$ 8,365,081	\$ 510,972	\$ 8,876,053	\$ 8,210,397	\$ 601,857	\$ 8,812,254
See accompanying notes to financial statements.						

CENTER FOR RESEARCH LIBRARIES

STATEMENT OF FUNCTIONAL EXPENSES AND COLLECTION EXPENDITURES

YEAR ENDED JUNE 30, 2019

					Program Expense	nse				Supporting Services	Services	
	Collections					Area						
	Storage and Maintenance	Acquisitions	Conversion of Materials	Service and Delivery	Cataloging and Metadata	Materials Projects	Licensing Negotiations	Grants	Total <u>Program</u>	Management and General	Fundraising	Total
Support services	€	-	-	€			•	€		€	€	
Cataloging fees		S	·	·	\$ 22,206				\$ 22,206		·	\$ 22,206
Innovative	616,7	05,152							105,00			105,00
Microform costs	8,876	11,296	28,241	32,276					80,689			80,689
Other support	75,114	175,266	1	250,380	'	247,115	1	37,000	784,875	'	1	784,875
	86,309	249,694	28,241	282,656	22,206	247,115	1	37,000	953,221			953,221
Personnel and operations												
Board and Council expense	•	٠	•	•		,	•	516	516	51,426	•	51,942
Business expense	451	1,512	923	1,371	1,592	4,770	,	1,533	12,152	28,811	278	41,241
Consumable supplies	5,115	16,089	3,532	969'9	2,602	110	,	. '	34,144	6,105	69	40,318
Indirect expenses		,	1	,	'	21,094	,	15,880	36,974	,	,	36,974
Insurance	4,939	16,245	9,536	14,066	18,404	•	٠	٠	63,190	17,411	3,366	83,967
Member relations	•	٠	•	•		,	•	,	•	16,076	•	16,076
Memberships	869	19,002	•	٠	985	•	•	٠	20,685	12,058	•	32,743
Other outside support	6,079	19,960	11,702	17,265	22,542	54,701	49,478	71,735	253,462	5,368	4,121	262,951
Personnel	243,906	802,291	470,944	694,704	909,267	187,175	395,940	525,196	4,229,423	606,787	166,251	5,002,461
Postage, printing and delivery	20,206	45,346	18,136	28,275	866'8	1,817	٠	٠	122,778	7,591	379	130,748
Special events		•	•	•		•		35,474	35,474	12,173		47,647
Telecommunications	3,080	10,095	2,682	4,289	1,552	235	•	11,672	33,605	3,088	55	36,748
Travel	224	6,101	٠	1	316	24,107	1	31,848	62,596	4,913	1	67,509
	284,698	936,641	517,455	766,666	966,258	294,009	445,418	693,854	4,904,999	771,807	174,519	5,851,325
Plant and other												
Equipment leases	544	1,220	488	761	242	426	,	1	3,681	166	10	3,857
Service contracts	22,174	49,754	19,895	31,018	9,852	1	,	7,185	139,878	6,777	411	147,066
Repairs	2,280	5,116	2,046	3,189	1,013	•	,	,	13,644	269	42	14,383
Utilities	34,148	76,621	30,638	47,768	15,173	•	1	1	204,348	10,437	634	215,419
	59,146	132,711	53,067	82,736	26,280	426	-	7,185	361,551	18,077	1,097	380,725
Total operating expenses	430,153	1,319,046	598,763	1,132,058	1,014,744	541,550	445,418	738,039	6,219,771	789,884	175,616	7,185,271
Interest expense	4,339	9,735	3,893	690'9	1,928	٠		٠	25,964	1,326	82	27,372
Depreciation	67,307	151,023	60,389	94,152	29,906	٠	1	٠	402,777	20,572	1,248	424,597
Total expenses before collections	501,799	1,479,804	663,045	1,232,279	1,046,578	541,550	445,418	738,039	6,648,512	811,782	176,946	7,637,240
Collection expenditures - not capitalized												
Materials	٠	864,879				289,043		٠	1,153,922			1,153,922
Preservation	•	24,145	1	•	,	1	,	1	24,145	,	,	24,145
Reference works	•	3,817	•	•	•	•			3,817	•		3,817
		892,841	•	•	1	289,043	1	1	1,181,884	٠	•	1,181,884
Total	\$ 501,799	\$ 2,372,645	\$ 663,045	\$ 1,232,279	\$ 1,046,578	\$ 830,593	\$ 445,418	\$ 738,039	\$ 7,830,396	\$ 811,782	\$ 176,946	\$ 8,819,124

See accompanying notes to financial statements. - $5 \cdot$

CENTER FOR RESEARCH LIBRARIES

STATEMENT OF FUNCTIONAL EXPENSES AND COLLECTION EXPENDITURES

YEAR ENDED JUNE 30, 2018

					Program Expense	ıse				Supporting Services	Services	
	Collections Storage and Maintenance	Acquisitions	Conversion of Materials	Service and Delivery	Cataloging and Metadata	Area Materials <u>Projects</u>	Licensing Negotiations	Grants	Total <u>Program</u>	Management and General	Fundraising	Total
Support services												
Cataloging fees	- -	· \$	· \$	· \$	\$ 24,784	- \$	- \$	· •	\$ 24,784	- \$	- \$	\$ 24,784
Innovative	2,614	59,532	•	•	,	,	,	•	62,146		•	62,146
Microform costs	7,578	9,644	24,111	27,556	,	33,340	٠	•	102,229	•	•	102,229
Other support	74,920	174,813	•	249,733	1	155,808	1	1	655,274	•	1	655,274
	85,112	243,989	24,111	277,289	24,784	189,148	٠	٠	844,433	•	٠	844,433
Personnel and operations												
Board and Council expense				•			•	16,625	16,625	49,696		66,321
Business expense	261	921	609	853	931	24,879	,	3,072	31,526	32,622	159	64,307
Consumable supplies	6,209	17,386	3,708	8,019	3,087	421	,	•	38,830	7,261	70	46,161
Indirect expenses	•	•	•	•	,	2,692	•	•	2,692	(2,000)	,	692
Insurance	4,833	15,898	9,332	13,766	18,011	,	•	,	61,840	17,039	3,294	82,173
Member relations	•	•	•	1	,		,		,	2,605	•	2,605
Memberships	751	17,105	•	•	754	,	•	•	18,610	11,428		30,038
Other outside support	3,868	12,384	7,134	10,548	13,352	47,784	27,839	38,300	161,209	38,375	2,422	202,006
Personnel	237,634	781,657	458,833	676,839	885,556	179,683	388,114	201,031	3,809,347	614,052	161,976	4,585,375
Postage and delivery	19,073	42,797	17,113	26,681	8,475	2,568	•	,	116,707	5,830	354	122,891
Special events	•		•		•	14,900	•		14,900	5,030		19,930
Telecommunications	4,034	9,936	3,639	5,862	1,924	,	,	9,722	35,117	1,843	74	37,034
Travel	573	13,059	1	1	575	68,930	'	13,038	96,175	11,269	1	107,444
	277,236	911,143	500,368	742,568	932,665	341,857	415,953	281,788	4,403,578	795,050	168,349	5,366,977
Plant and other												
Equipment leases	831	1,866	746	1,163	369	,	•	,	4,975	254	15	5,244
Service contracts	22,429	50,327	20,124	31,375	996'6	,		•	134,221	6,855	416	141,492
Repairs	3,625	8,134	3,252	5,071	1,611	,	•	,	21,693	1,108	29	22,868
Utilities	34,927	78,370	31,338	48,858	15,519	1	1	1	209,012	10,675	648	220,335
	61,812	138,697	55,460	86,467	27,465	1	1	1	369,901	18,892	1,146	389,939
Total operating expenses	424,160	1,293,829	579,939	1,106,324	984,914	531,005	415,953	281,788	5,617,912	813,942	169,495	6,601,349
Interest expense	5,079	11,396	4,557	7,104	2,257	,	•	•	30,393	1,552	94	32,039
Depreciation	69,065	154,967	61,966	96,611	30,687	1	٠	1	413,296	21,109	1,281	435,686
Total expenses before collections	498,304	1,460,192	646,462	1,210,039	1,017,858	531,005	415,953	281,788	6,061,601	836,603	170,870	7,069,074
Collection expenditures - not capitalized												
Materials		815,056	1	•	1	273,467	•		1,088,523		,	1,088,523
Preservation	•	14,558	•	•	•	•	•	•	14,558	•		14,558
	•	829,614	1	٠	1	273,467	٠	1	1,103,081	•		1,103,081
Total	\$ 498,304	\$ 2,289,806	\$ 646,462	\$ 1,210,039	\$ 1,017,858	\$ 804,472	\$ 415,953	\$ 281,788	\$ 7,164,682	\$ 836,603	\$ 170,870	\$ 8,172,155

See accompanying notes to financial statements.

STATEMENTS OF CASH FLOWS

YEARS ENDED JUNE 30, 2019 AND 2018

		<u>2019</u>		<u>2018</u>
CASH FLOWS FROM OPERATING ACTIVITIES				
Member cost share and non-member fees received	\$	48,156	\$	15,303,563
Grants and contributions received		633,979		9,047
Interest and dividends received		242,377		176,229
Cash paid to suppliers and employees		(7,001,780)		(6,721,652)
Interest paid		(27,371)		(32,039)
Net cash provided by (used in) operating activities		(6,104,639)		8,735,148
CASH FLOWS FROM INVESTING ACTIVITIES				
Purchase of investments		(642,725)		(158,328)
Sale of investments		440,000		-
Purchase of collections		(1,181,884)		(1,103,081)
Purchase of property and equipment		(71,697)		(268,254)
Net cash (used in) investing activities		(1,456,306)		(1,529,663)
Cash flows from financing activities				
Principal payments on loan		(110,124)	_	(105,455)
NET INCREASE (DECREASE) IN CASH AND CASH EQUIVALENTS		(7,671,069)		7,100,030
CASH AND CASH EQUIVALENTS				
Beginning of year		11,846,245		4,746,215
End of year	\$	4,175,176	\$	11,846,245
RECONCILIATION OF CHANGE IN NET ASSETS TO NET				
CASH PROVIDED BY OPERATING ACTIVITIES				
Change in net assets	\$	63,799	\$	306,924
Adjustments to reconcile change in net assets to net cash	Ψ	00,700	Ψ	300,321
provided by operating activities				
Collections purchased		1,181,884		1,103,081
Depreciation		424,597		435,686
Realized and unrealized (gain) on investments		(205,942)		(241,856)
Amortization of deferred financing cost		8,728		8,728
Changes in operating assets and liabilities				
Accounts receivable		439,114		(223,267)
Grants receivable		(16,678)		(272,188)
Prepaid expenses		(45,219)		127,732
Accounts payable and accrued expenses		219,983		(256,763)
Due to database vendors		(8,859,892)		9,468,753
Deferred revenue		684,987		(1,721,682)
NET CASH PROVIDED BY (USED IN) OPERATING ACTIVITIES	\$	(6,104,639)	\$	8,735,148

See accompanying notes to financial statements.

NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2019 AND 2018

NOTE 1. NATURE OF ACTIVITIES

Center for Research Libraries (the Center) is a membership consortium of institutions with significant academic and research libraries. The Center's mission is to foster and advance scholarly and scientific research through cost-effective, cooperative programs that provide reliable access through traditional and electronic means to unique and unusual collections of library materials in all appropriate formats, international in scope and comprehensive in disciplines.

A majority of the Center's revenue is derived from membership cost share assessments. Other revenue and support consist of grants and sales of materials reproduced.

The following provides a brief description of the Center's program services:

Collections Storage and Maintenance - The Center maintains active and inactive collections consisting of an estimated five million volumes or equivalents in its repository facility. These collections are in paper, microform and electronic media. Activities associated with storage and physical maintenance of the Center's film and paper collections include sorting, shelving, labeling, housing, and binding conservation work. Also included are the costs of maintaining, monitoring and improving the portion of the building dedicated to collections storage, including climate control and other functions.

Acquisitions - This program consists of activities supporting the acquisition and processing of collection materials, including surveying of members on collection needs, selection and subscription costs, ordering, transporting and receiving materials.

Conversion of Materials - The Center preserves unique and at-risk cultural and historical materials through microfilming and digital conversion. Materials are organized, analyzed, collated and shipped to and from service providers by the Center and partner organizations. Film and digital copies are produced and distributed.

Service and Delivery - The Center delivers an estimated 33 million pages of collection materials to member institutions and other clients each year. Activities include receiving and processing requests for the Center's collection materials from member libraries and clients, retrieval and preparation of materials for delivery, shipping, fulfillment of electronic document delivery requests, receipt and re-shelving of returned materials and fulfillment and processing of member purchase requests for individual items.

NOTE 1. NATURE OF ACTIVITIES (CONTINUED)

Cataloging and Metadata - This program involves production and processing of preliminary and final catalog records, finding aids for the Center's collection materials and metadata for electronic resources including those produced and/or acquired by the Center.

Area Materials Projects (AMPS) - This program involves specific support for the Area Materials Projects and other self-funded area studies projects undertaken by the Center for partner organizations. Activities include identification and procurement of materials for preservation, microfilming and digitization as well as conversion and cataloging of those materials

Licensing - The Center identifies electronic databases and datasets of potential interest to member institutions; negotiates with their publisher and vendors terms for the subscription and purchase of those databases on behalf of the Center members; and provides to appropriate personnel at member libraries information on the value, limitations, and terms of access for those electronic resources. In some instances, the Center also invoices member libraries for their purchase/subscription to the electronic resources, and disburses funds due to the publishers/vendors.

Grants - The Center receives grants to fund preservation, cataloging, union lists, acquisitions and other activities, either independently or as a partner in cooperative projects. These grants are normally from private philanthropies or from the U.S. federal government.

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting - The Center's financial statements have been prepared on the accrual basis of accounting in accordance with generally accepted accounting principles.

New Accounting Pronouncements - In August 2016, the Financial Accounting Standards Board issued Accounting Standards Update (ASU) No. 2016-14, Presentation of Financial Statements for Not-for-Profit Entities, which was effective for the Center's financial statements for the year ended June 30, 2019. The ASU addresses the complexity and understandability of net asset classification, deficiencies in information about liquidity and availability of resources, and the lack of consistency in the type of information provided about expenses and investment return. The ASU has been applied retrospectively to all periods presented and the presentation in these financial statements has been adjusted accordingly.

Basis of Presentation - In order to conform with provisions of generally accepted accounting principles, the Center, as a not-for-profit entity, is required to report information regarding its financial position and activities in two classes of net assets: without member restriction and with member restriction.

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Basis of Presentation (continued)

Net Assets without Member Restrictions - Net assets that are not subject to member-imposed restrictions and available to finance the general operations of the Center. The only limits on the use of net assets without member restriction are the broad limits resulting from the nature of the Center, the environment in which it operates and the purposes specified in its articles of incorporation. Net assets without member restrictions are reflective of revenues and expenses associated with the principal operating activities of the Center are not subject to member-imposed stipulations. Net assets without member restrictions may include board-designated amounts, which represent assets set aside by the Board of Directors and management over which the board retains control.

Net Assets with Member Restrictions - Net assets subject to member or grantor imposed restrictions. Some member-imposed restrictions are temporary in nature, such as those that will be met by the passage of time or other events specified by the member. Other member-imposed restrictions are perpetual in nature, where member stipulates that resources be maintained in perpetuity. Member-imposed restrictions are released when a restriction expires, that is, when the stipulated time has elapsed, when the stipulated purpose for which the resource was restricted has been fulfilled, or both.

Cash and Cash Equivalents - The Center considers all liquid investments, including amounts invested in money market instruments, with a maturity of three months or less when purchased to be cash equivalents. The Center maintains its cash and cash equivalents on deposit with various financial institutions and investment companies, which at times may exceed federally insured limits.

Accounts and Grants Receivable - Accounts and grants receivable are stated at the amounts that the Center expects to collect from outstanding balances. Bad debts, which are typically minimal, are written off as incurred.

Deferred Financing Costs - The costs incurred in obtaining the Center's loan have been deferred and are being amortized on a straight-line basis over the term of the loan.

Investments - The investments of the Center are reported at fair value. The fair value of a financial instrument is the amount that would be received to sell that asset (or paid to transfer a liability) in an orderly transaction between market participants at the measurement date (the exit price). Purchases and sales of the investments are reflected on a trade-date basis. Dividend income is recorded on the ex-dividend date. Interest income is recorded on the accrual basis

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Property and Equipment - Property and equipment with a cost in excess of \$1,000 and a useful life greater than one year are recorded at cost and depreciated using the straight-line method over the estimated useful lives of the assets. Estimated lives range from three to ten years for furniture and equipment and thirty years for building and improvements. Upon sale or retirement, the cost and related accumulated depreciation are eliminated from the respective accounts and the resulting gain or loss is included in the statements of activities. Property and equipment are reviewed for impairment when events or changes in circumstances indicate that the carrying amount of the assets may not be recoverable. There were no impairment charges for the years ended June 30, 2019 and 2018.

Collections - The Center has an extensive collection of library materials, which is held for research purposes. The materials were acquired through purchases and contributions since the Center's inception. The Center has adopted a policy of not capitalizing collection expenditures in its financial statements.

Purchases of collection items are recorded as decreases in net assets without member restrictions in the year in which the items are acquired and a release of net assets with member restrictions if acquired with such funds. Contributed collection items are not reflected in the financial statements. Proceeds from disposals or insurance recoveries are reflected as increases in the appropriate net asset class.

The collections are subject to a policy that requires proceeds from their sales to be used to acquire other collection items. No collection items were disposed of through sales during the years ended June 30, 2019 and 2018.

Due to Database Vendors - The Center acts as a conduit on behalf of members by collecting and then remitting database vendor subscription premiums on their behalf. Amounts held at year end that had not yet been remitted are shown as a liability on the statements of financial position.

Grants and Contributions - Grants and contributions received are recorded as without member restrictions or with member restrictions, depending on the existence and nature of any member-imposed restrictions on the use of the funds. Contributions reported as with restrictions are released to net assets without restrictions when the member restriction expires, that is, when a stipulated time restriction ends or a purpose restriction is accomplished, and are reported in the statements of activities as net assets released from restrictions.

Revenue Recognition - Membership cost share assessments are recognized as revenue during the membership year. Dues received in advance of the membership year are accounted for as deferred revenue at year end. Revenue from cost reimbursement type grants is recognized as grant related costs are incurred. Grant funds received in advance are accounted for as deferred revenue. AMPS funds received for projects during the year are recognized as revenue without member restrictions. Those AMPS funds received in advance of the project year are accounted for as deferred revenue.

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Functional Expenses - The cost of providing the various programs and supporting services have been summarized on a functional basis in the statement of activities. The statement of functional expenses presents the natural classification detail of expenses by function. Expenses which are directly associated with a particular program or supporting service are allocated directly to that functional category. Certain costs have been allocated among the program and supporting services benefited. Therefore, expenses require allocation on a reasonable basis that is consistently applied. The allocated expenses are allocated on the basis of time spent, physical space occupied and programs benefited.

Income Taxes - The Center is exempt from federal income taxes under Section 501(c)(3) of the Internal Revenue Code. Accordingly, there is no provision for income taxes recorded in the accompanying financial statements.

Accounting principles generally accepted in the United States of America require the Center to evaluate its tax positions and recognize tax liabilities if it has taken an uncertain position that more likely than not would not be sustained upon examination by tax authorities. The Center is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

Management Estimates and Assumptions - The preparation of financial statements in conformity with accounting principles generally accepted in the United States requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results could differ from those estimates.

Reclassifications - Certain reclassifications have been made to the prior year amounts to conform to the current year presentation.

Subsequent Events - Subsequent events have been evaluated through December 4, 2019, which is the date the financial statements were available to be issued.

NOTE 3. LIQUIDITY AND AVAILABILITY OF RESOURCES

The Center manages its liquidity and reserves following three guiding principles: operating within a prudent range of financial soundness and stability, maintaining adequate liquid assets to fund near-term operating needs, and maintaining sufficient reserves to provide reasonable assurance that long term obligations will be discharged.

NOTE 3. LIQUIDITY AND AVAILABILITY OF RESOURCES (CONTINUED)

Financial assets available for general expenditures within one year at June 30, 2019 and 2018 comprise the following:

	<u>2019</u>	<u>2018</u>
Financial assets at year end:		
Cash and cash equivalents	\$ 4,175,176	\$ 11,846,245
Accounts receivable	73,037	512,151
Grants receivable	297,913	281,235
Investments	9,363,303	8,954,636
Total financial assets	13,909,429	21,594,267
Member/external-imposed restrictions		
Purpose restricted by members/donors	(510,972)	(601,857)
Cash held for database vendors	(2,300,424)	(11,160,316)
Net financial assets after donor/external		
imposed restrictions	11,098,033	9,832,094
Internal designations		
Investments held for area materials projects	(3,745,251)	(3,827,657)
Financial assets available to meet cash needs		
for general expenditures within one year	\$ 7,352,782	\$ 6,004,437

NOTE 4. INVESTMENTS

The composition of investments at June 30, 2019 and 2018 is as follows:

	<u>2019</u>	<u>2018</u>
Mutual funds:		
Equity	\$ 3,012,959	\$ 2,915,423
Fixed income	2,060,160	1,838,498
Money market funds	 4,290,184	 4,200,715
Total	\$ 9,363,303	\$ 8,954,636

Investment income for the years ended June 30, 2019 and 2018 is as follows:

	<u>2019</u>		<u>2018</u>
Interest and dividends	\$ 242,377	\$	176,229
Realized and unrealized gains	 205,942	_	241,856
Total	\$ 448,319	\$	418,085

NOTE 5. FAIR VALUE MEASUREMENTS

The Fair Value Measurements and Disclosures Topic of the FASB Accounting Standards Codification established a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy are described below:

Basis of Fair Value Measurement

- Level 1 Unadjusted quoted prices in active markets that are accessible at the measurement date for identical, unrestricted assets or liabilities
- Level 2 Quoted prices in markets that are not considered to be active or financial instruments for which all significant inputs are observable, either directly or indirectly
- Level 3 Prices or valuations that require inputs that are both significant to the fair value measurement and unobservable

The following tables set forth, by level within the fair value hierarchy, the Center's investment assets at fair value as of June 30, 2019 and 2018. As required, assets and liabilities are classified in their entirety based on the lowest level of input that is significant to the fair value measurement.

			Fair Value M	[easu	rements at 06/	′30/19 U	sing
		Qı	uoted Prices				
			in Active	S	Significant		
		N	Markets for		Other	Sign	ificant
			Identical		Observable	Unob	servable
			Assets		Inputs	In	puts
	 Total		(Level 1)	_	(Level 2)	(Le	vel 3)
Mutual funds:							
Equity	\$ 3,012,959	\$	3,012,959	\$	-	\$	-
Fixed income	2,060,160		2,060,160		-		-
Money market funds	4,290,184				4,290,184		
Total	\$ 9,363,303	\$	5,073,119	\$	4,290,184	\$	-

NOTE 5. FAIR VALUE MEASUREMENTS (CONTINUED)

			Fair Value M	leasu	rements at 06	/30/18 U	Jsing
		Q	uoted Prices				
			in Active	5	Significant		
		N	Markets for		Other	Sigr	nificant
			Identical	(Observable	Unob	servable
			Assets		Inputs	In	puts
	 Total		(Level 1)		(Level 2)	(Le	evel 3)
Mutual funds:							
Equity	\$ 2,915,423	\$	2,915,423	\$	-	\$	-
Fixed income	1,838,498		1,838,498		-		-
Money market funds	 4,200,715				4,200,715		
Total	\$ 8,954,636	\$	4,753,921	\$	4,200,715	\$	-

Level 1 Measurements

The fair values of the mutual funds are determined by reference to the funds' underlying assets, which are principally marketable equity and fixed income securities. Shares held in mutual funds are traded in active markets on national securities exchanges and are valued at the net asset value as of the last business day of each period presented.

Level 2 Measurements

The money market funds are valued at cost, which approximates their fair value.

NOTE 6. PREPAID EXPENSES

Prepaid expenses consisted of the following as of June 30, 2019 and 2018:

	<u>2019</u>	<u>2018</u>
Prepaid library materials	\$ 92,959	\$ 12,674
Prepaid - other	72,846	107,912
Total prepaid expenses	\$ 165,805	\$ 120,586

In the course of cataloging its dissertations collection, the Center incurs usage fees to access the Online Computer Library Center, Inc. (OCLC) database. The Center is able to offset these fees from credits received from OCLC for the reciprocal use of the Center. The Center had earned cumulative net credits of \$3,769 and \$2,625 as of June 30, 2019 and 2018, respectively.

NOTE 7. PROPERTY AND EQUIPMENT

Property and equipment consisted of the following as of June 30, 2019 and 2018:

	<u>2019</u>	<u>2018</u>
Land	\$ 128,446	\$ 128,446
Building and improvements	12,122,452	12,065,930
Furniture and equipment	2,297,352	2,282,177
	14,548,250	14,476,553
Less accumulated depreciation	(12,645,246)	(12,220,649)
Net property and equipment	\$ 1,903,004	\$ 2,255,904

Depreciation expense was \$424,597 for the year ended June 30, 2019 and \$435,686 for 2018.

NOTE 8. ACCOUNTS PAYABLE AND ACCRUED EXPENSES

Accounts payable and accrued expenses consisted of the following as of June 30, 2019 and 2018:

	<u>2019</u>	<u>2018</u>
Accounts payable	\$ 506,596	\$ 308,236
Accrued vacation	244,786	266,992
Accrued salaries and withholdings	168,210	124,570
Other accrued expenses	 68,624	 68,435
Total	\$ 988,216	\$ 768,233

NOTE 9. DEFERRED REVENUE

The Center regularly bills in advance of the upcoming fiscal year for membership cost share assessments and AMPS membership. Those membership payments that are received for future fiscal years are held as deferred revenue to be recognized in the fiscal year for which they will be earned. Occasionally, grant payments received in advance of a future fiscal year are also held as deferred revenue. As of June 30, 2019 and 2018, deferred revenue consisted of the following:

	<u>2019</u>	<u>2018</u>
Deferred membership cost share	\$ 3,261,171	\$ 2,591,934
AMPS deferred revenue	26,900	11,150
Grants deferred	7,616	7,616
Total	\$ 3,295,687	\$ 2,610,700

NOTE 10. LOAN PAYABLE

The Center has entered into a loan agreement with BMO Harris Bank. The loan is secured by the Center's property in Chicago, Illinois.

The loan is repayable in monthly installments of \$11,458 including principal and interest. The interest rate is 4.28%. The loan matures in 2024. Interest expense for the years ended June 30, 2019 and 2018 was \$27,371 and \$32,039 respectively.

Future principal payments are as follows:

Total	\$ 569,854
2024	 78,474
2023	130,954
2022	125,402
2021	120,086
2020	\$ 114,938
Year ending June 30,	

NOTE 11. NET ASSETS WITH MEMBER RESTRICTIONS - PURPOSE

Net assets with member restrictions arise from grants received from various organizations to support cataloging, microform projects, digitization, digital preservation activities and the purchase of equipment to automate library materials. Net assets were released from member restrictions by incurring expenses of \$691,028 and \$253,793 in 2019 and 2018, respectively, and for capitalized equipment of \$3,503 and \$11,323 in 2019 and 2018, respectively. Grant contributions received that are not expended for their restricted purposes are refundable to the grantor.

Net assets with member restrictions at June 30, 2019 and 2018 were available for the following purposes:

	<u>2019</u>	<u>2018</u>
OCLC Shared Print Data	\$ 278,679	\$ -
ReCap Serials Record Reclamation	106,565	251,802
Carnegie-CWDL	73,776	-
Mexico DOC	25,000	-
Qatar - World Digital Library	18,258	-
Government Documentation Digitization Project	5,095	5,095
Whiting - World Digital Library	3,599	-
Global Resources Collections Initiative 41	 	 344,960
Total net assets with donor restrictions	\$ 510,972	\$ 601,857

NOTE 11. NET ASSETS WITH MEMBER RESTRICTIONS - PURPOSE (CONTINUED)

During the year ended June 30, 2018, The New York Public Library awarded a \$253,239 grant (Recap Serials Record Reclamation) to the Center for use through December 31, 2019 to support the making of shared collections more accessible to researchers, reducing the cost of managing its collection, and supporting future collection development.

During the year ended June 30, 2019, The Andrew W. Mellon Foundation awarded a \$338,646 grant (OCLC Shared Print Data Infrastructure) to the Center for use through October 1, 2020 to develop infrastructure to access data for management of shared print collections. The Center was also awarded other grants during the year ended June 30, 2019.

NOTE 12. PENSION PLAN

The Center has established and made available to its employees a defined contribution money purchase pension plan. Under this plan, funds contributed by the Center and participating employees are used to purchase retirement annuity and life insurance contracts for the participants through the Teachers Insurance and Annuity Association and/or the College Retirement Equities Fund. Contributions made by the Center are based on participants' compensation as defined by the plan. The Center's contributions for the years ended June 30, 2019 and 2018 totaled \$310,890 and \$301,020 respectively.

NOTE 13. RELATED PARTY TRANSACTIONS

The Center earns cataloging revenue from OCLC and also purchases cataloging and other services from OCLC. Cataloging revenue was \$1,400 and \$2,800 during the years ended June 30, 2019 and 2018, respectively. Total services purchased from OCLC were approximately \$22,206 and \$24,784 for the years ended June 30, 2019 and 2018, respectively.

The Center also routinely provides membership services to educational institutions that employ members of the Board of Directors.

NOTE 14. CONCENTRATION OF CASH

The Center maintains its cash balances in financial institutions deemed to be creditworthy. Balances are insured by FDIC up to \$250,000 per financial institution. Balances may at times exceed insured limits. However, the balances are swept nightly into a money market account that is secured by U.S. Government bonds. The Center believes its credit risk to be minimal.

NOTE 15. CAPITALIZED FINANCING COSTS

In 2014, the Center capitalized \$45,023 in additional financing costs associated with the refinancing of its loan with BMO Harris Bank. In 2001, the Center capitalized \$110,448 in financing costs associated with the original financing of the loan. These costs are being amortized over the term of the bonds using the straight-line method, which approximates the effective interest method. Amortization expenses were \$8,728 for each of the years ended June 30, 2019 and 2018, respectively. Accumulated amortization for the years ended June 30, 2019 and 2018 was \$103,474 and \$94,746 respectively.

Future amortizations of capitalized financing costs as of June 30, 2019 are as follows:

Year ending June 30,	
2020	\$ 8,727
2021	8,727
2022	8,727
2023	8,727
2024	8,727
Thereafter	 8,361
Total	\$ 51,996

SCHEDULE OF PROGRAM REVENUE AND EXPENSES - GRANTS

YEAR ENDED JUNE 30, 2019

				Net Assets v	Net Assets with Member Restrictions	trictions			
	Carnegie Corp. of New York	MacArthur Foundation	Me Foun	Mellon Foundation	New York Public Library	Qatar Foundation	University of Arizona	Whiting Foundation	
				Shared	ReCAP	World	Govt Docs	World	
	ICIA)	JOHAM	CDCI 44	Print Data	Serials Record	Digital Library	Digitization Droiset	Digital Library	Total
Derman	Calliegie-C w DE	MADOC	4	IIIII asti uctui e	Necialitation	LIDIALY	1101011	LIDIALY	10141
NEVENUE Grants	\$ 200,000	\$ 25,000	· ·	\$ 338,646	· ·	\$ 30,000	· ·	\$ 10,000	\$ 603,646
Investment income		1	ı	ı	,		,	ı	
Total revenue	200,000	25,000	1	338,646	1	30,000		10,000	603,646
Expenses									
Board/Council travel	1	1	516	ı		ı	ı	ı	516
FICA	4,151	1	13,060	2,837	7,389	1	ı	ı	27,437
Medical insurance	80,708	1	2,672	5,428	20,575	ı	ı	1	38,383
Other business expense	1	1	ı	1,413	1	120	ı	1	1,533
Other library support	1	1	37,000	1	1	1	1	1	37,000
Outside professional services	20,000	1	51,735	1		ı	ı	1	71,735
Retirement	5,867	1	15,916	2,113	9,338	1	ı	1	33,234
Salaries	58,668	1	184,585	40,095	102,110	1	1	1	385,458
SA Salaries	ı	1	İ	,	2,327	1	1	i	2,327
Service contracts	1	1	7,185	1	1	1	1	1	7,185
Special Event	26,879	1	1	2,194	1	1	ı	6,401	35,474
Staff development	1	1	1	1	782	1	1	1	782
Telecommunications	1	1	11,672	1	1	1	ı	1	11,672
Travel	•	•	13,628	5,237	1,023	11,622	,	,	31,510
Workmen's compensation	951	1	2,991	650	1,693	1	1	1	6,285
Total expenses	126,224	1	340,960	59,967	145,237	11,742	1	6,401	690,531
CHANGE IN NET ASSETS	73,776	25,000	(340,960)	278,679	(145,237)	18,258	1	3,599	(86,885)
Net assets									
Beginning of year Released for capitalized equipment	1 1		344,960 (4.000)		251,802	1 1	5,095	1 1	601,857 (4,000)
End of year	\$ 73,776	\$ 25,000	\$	\$ 278,679	\$ 106,565	\$ 18,258	\$ 5,095	\$ 3,599	\$ 510,972

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SCHEDULE OF PROGRAM REVENUE AND EXPENSES - GRANTS

YEAR ENDED JUNE 30, 2019

	Fee for Service
	Grants -
	Net Assets
	without Member
	Restrictions
	NEH
	<u>MFDATA</u>
Revenue	
Other grants	\$ 47,011
Expenses	
FICA	1,840
Medical insurance	3,324
Personnel cost allocation	15,880
Retirement	1,767
Salaries	24,048
Workmen's compensation	152
Total expenses	47,011
Change in net assets	-
Net assets	
Beginning of year	-
Released for capitalized equipment	
End of year	\$ -