Update on ASERL Collaborative Print Journal Archive
January 2012

- 22 of 40 ASERL libraries currently participate in this effort.
- Triangle Research Libraries Network (TRLN) agreed that all journals they retain will be shared with ASERL, providing an early boost of 1500 titles (approx. 100K volumes).
- Other ASERL libraries (non-TRLN members) set a goal of getting retention commitments for an additional 1500 titles (i.e., a total of 3000 titles held by ASERL/TRLN) in time for ASERL's Fall Membership Meeting. Secured commitments for 2700 additional titles.
- Current commitments are for 4200 titles (including some duplicates), with more commitments on the way.
- Next Steps:
  - ➢ Lots of data clean up!
  - ➢ Identify duplicate titles and reconfirm whether all libraries offering duplicate titles still want to continue their commitments. (The program does not limit commitments to a single institution per journal title.)
  - ➢ Determine processes for transferring materials to fill gaps.
  - ➢ Determine processes for recording retention commitments within bibliographic records - looking to standards being finalized.
- Paula Sullenger (Auburn University) is the Visiting Program Officer for this effort through April 15, 2012.
- Website is http://www.aserl.org/programs/j-retain/.

Update on Florida Statewide Shared Collection
January 2012

High Density Facility

- The $2 million in planning funds provided by the Florida Legislature in 2010 are being used to achieve shovel ready status by March 2013 and to prepare the UF Auxiliary Library Facility (ALF) collection for transfer to the high density facility. UF has advertised for proposals from architect/engineering and construction management firms.

- To address the immediate space needs of the participating libraries, UF is advertising for an interim rental facility for occupancy by April 2012. A cost share model has been developed for the interim facility.

Shared Collection Activities

- UF is developing a journal archives database to support joint decision-making and holdings consolidation. The initial phases of development focus on the core functionalities of title status (retain/discard) tracking and identification of holdings and gaps for retained titles. In anticipation of adoption of this tool by other print archiving programs, UF will seek input for requirements.

- UF has committed to 661 JSTOR titles for the ASERL Collaborative Print Journal Archive. This number will increase as results from the just completed second phase of statewide review of JSTOR titles are compiled. Additional journal packages will then be evaluated for retention in the Shared Collection using the same process of consultation, allowing partner libraries to request that UF retain titles it had identified for potential discard.

- To date UF Libraries have digitized through Internet Archive over 5,000 government documents and more than 2,300 monographs held in the UF stored collection, ALF. Selection criteria are (1) duplicate monographs that are out of copyright and not already in a trusted digital repository, and (2) government documents from UF Centers of Excellence (COEs) as well as pamphlets and single folio documents most at risk for loss or damage. UF Libraries have established COEs for the Panama Canal Commission, National Recovery Administration, Institute of Museum and Library Services, Institute of Museum Services, National Commission on Libraries and Information Services, and Congressional Hearings, although this last is excluded from digitization.

The Foundation for The Gator Nation

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- 35% of the 77,000 duplicate monographs have been removed from ALF. The deduplication process includes condition assessment to retain the best copy and assurance of digital access either through Hathi Trust or digitization with Internet Archive. Projected project completion date is Spring 2014.

- To date 15% of the 1 million low use monographs and government documents in the UF stored collection have been processed for transfer to the high density facility.

- 6.75 temporary FTEs now augment the 4 permanent staff at the ALF to remove duplicates, update bibliographic records, and tray materials by size.

- All Shared Collection materials will be identified by a separate OCLC symbol to be selected in 2012.

- The MARC 583 field will be used to document condition assessment and retention commitments, from which a needs list for missing volumes and replacement of brittle items will be developed.

Policies and Guidelines

- Cataloging guidelines for originating libraries have been established.

- A statewide last copy policy has been drafted and is being reviewed.

- Full text of policies addressing collection materials and environment, physical and intellectual access, and operating cost assessments is available at http://csul.net/content/statewide-storage-task-force-0.

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UC Shared Print (California Digital Library)

Update for CRL Print Archives Network
ALA Midwinter 2012

The University of California's shared print program has several major goals:

- To facilitate the development of more comprehensive and diverse research collections available to UC library users through efficient collaborative methods for the prospective acquisition of research resources.
- To offer incremental economies to the campuses over time through space savings and other cost avoidances.
- To begin to create long-term opportunities for the re-allocation of library space to meet existing demands of current and retrospective collections and support new, transformative uses.
- To preserve the scholarly printed record, where print remains the archival medium of choice, at the lowest possible unit cost.

The program provides advice, leadership, research, and analysis within and beyond the University of California Libraries to further these goals.

Current Status

Currently, CDL Shared Print has several projects underway:

Shared Print in Place Policy Development (UC only). UC Libraries and various all-campus committees are developing policies to support the distributed management of shared print monograph collections. These policies seek to extend the behaviors that ensure "persistence" or a "permanent" collection primarily to monographs that are prospectively acquired and held in full-service libraries. http://www.cdlib.org/services/collections/sharedprint/policies.html

Prospective shared print monographs (UC only). Current standing orders for monographic series have been analyzed and a Shared Monographs Coordinating Group is formalizing agreements with bibliographers and collections officers for the distribution of subscription responsibilities. Campuses will agree to retain print subscriptions for 3 years, renewable. And subscribing campuses agree to a set of policies for shared print resources held in place at full-service libraries.

Prospective print journal subscription analysis and coordination (UC only). Current subscriptions for all campuses have been analyzed for UC Libraries. Approximately 43,000 subscriptions ($9.3 million subscription value) were received from campuses for analysis resulting in approximately 25,000 journals ($5.7 million) that may be eligible for further analysis and possible coordination.

Prospective Shared Print for Licensed Content (UC only). UC Libraries receive a print copy of all journals all journals in major subscribed e-journal packages, including Elsevier Science Direct, American Institute of Physics, Blackwell-Wiley, Sage, Taylor & Francis, American Psychological Association among others. (Approx. 3,500 titles, valued at $5M.) This year, UC Libraries discontinued the shared print collection for Springer.

Retrospective shared print journal archiving highlights.

- **WEST (Regional).** UC Libraries are active participants in the Western Regional Storage Trust with all 10 campuses as members, 2 campuses serving as Archive Holders in Year 1, multiple campuses supplying holdings to the Trust's archives held in storage, and the Northern and Southern Regional Library Storage Facilities serving as Archive Builders. CDL Shared Print coordinates UC participation within the Trust. The California Digital Library also serves as the Administrative Host for WEST and has subcontracted with the Center for Research Libraries to develop the Print Archives Preservation Registry, which handles WEST's collection analysis and archiving registry functions.
- **UC JSTOR Shared Print Archive (UC only).** The University of California Libraries and JSTOR continue to develop a world renowned a paper repository of JSTOR's e-journal collections. The archive consists of over 35,000,000 pages validated from journal runs supplied by all UC campuses and other institutions.
- **IEEE Journals and Proceedings (Regional).** The University of California Libraries are currently building an archive of IEEE journals and proceedings at the Northern Regional Library Facility and will contribute this archive to WEST. Major contributions from UC Berkeley and UC Davis.

Additional Information

Website: http://www.cdlib.org/services/collections/sharedprint/

Inventory of Shared Print Agreements: http://www.cdlib.org/services/collections/sharedprint/mou/

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Western Regional Storage Trust (WEST)

Update for CRL Print Archives Network
ALA Midwinter 2012

The Western Regional Storage Trust (WEST) is a distributed retrospective print journal archiving program serving research library, college and university libraries and library consortia in the Western Region of the United States. Participating libraries consolidate print journal backfiles at major storage facilities and at selected full-service campus libraries. WEST archiving libraries agree to maintain WEST archives through 2035 (approx. 25 years), with a review of the agreement every 5 years.

WEST members include more than 100 academic libraries in 17 states. Many of these libraries have joined WEST through three major consortia: California Digital Library, Orbis Cascade Alliance, and the Statewide California Electronic Library Consortium (SCELC).

WEST implementation is funded by members and by a three-year grant from the Mellon Foundation awarded to the University of California Libraries for 2011-2013. WEST plans to submit a subsequent proposal for funding to support an additional two-year archiving cycle, 2014-2015.

Collections model and archiving status

Selection and prioritization of titles for archiving and validation is based on a collections model that incorporates risk management principles. Titles are grouped into *categories* based on various risk factors including format availability, digital preservation status, level of print duplication and presence of existing shared print archives. The Title Categories are expressions of risk. Each Title Category is assigned an Archive Type (Bronze, Silver, Gold) to indicate the level of effort that will be placed on titles in that risk category. Bronze titles are not validated; Silver titles are validated at the volume level for completeness only with a proactive effort to request gaps from member libraries; Gold titles are validated at the issue level for completeness and condition with a proactive effort to request gaps from member libraries. The Archive Type also stipulates expectations about environmental conditions, allowing for lower risk archives to be held in full-service libraries and higher risk archives to be archived in storage facilities. Annual archiving cycles with target volume counts ensure a predictable pace of archiving across the region so that libraries can make informed retention decisions.

Archive Cycle 1: April 2011-March 2012

- Archiving commitments have been made for 4,362 journal families. Validation and disclosure work to be completed by end of March 2012.
    - 3,489 Portico/Clockss print journal backfiles. Includes many major publishers (Bronze)
    - 369 JSTOR print journal backfiles (Silver)
    - 342 print journal backfiles with some form of electronic access points (abstracting and indexing) (Gold)
    - 162 print only journal backfiles (Gold)

- WEST is a partner with CRL to develop support for automated collection analysis using the CRL PAPR system.
- WEST has ingested more than 2.75 million records from more than 80 member institutions for analysis in Cycle 2 using PAPR.   The first round of PAPR collection analysis reports were produced on January 17, 2012.
- Collection analysis is underway January through March 2012, using PAPR reports with review by the WEST project team and the WEST Collections Working Group. Decisions about archiving selections and archive providers for Cycle 2 will be made by late Spring 2012.

Policies

- Disclosure Policy: WEST adopted a disclosure policy for recording archiving commitments in OCLC and PAPR to support resource sharing and collection analysis. The policy makes use of separate shared print institution symbols for libraries and storage facilities; archiving commitments are recorded in the 583 fields of Local Holdings Records. To the extent possible, WEST Archive Holders will batch load LHRs into OCLC and PAPR.

- Access Policy: WEST adopted an access policy that ensures access to researchers for scanned and print versions and supports the use of local procedures and practices. WEST archiving libraries agree to make WEST archives available using existing local lending practices, systems and workflows. Delivery of digital surrogates is preferred; loan of physical items is recommended for building use only. Archived materials are not placed on reserve.

- Discovery and display guidelines. WEST members are developing guidelines to address the audiences, systems and methods to whom/by which WEST archiving commitments will be revealed. The guidelines may recommend displays for local, consortial, and OCLC WorldCat catalogs and the systems in which to display shared holdings retained by other member institutions.

Additional Information

Website: http://www.cdlib.org/west

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The Maine Shared Collections Strategy (MSCS)

The Maine Shared Collections Strategy (MSCS) has launched its new website. MSCS is a project of the eight largest libraries in Maine. Project partners include University of Maine, University of Southern Maine, Bangor Public Library, Portland Public Library, Maine State Library, Bates College, Bowdoin College and Colby College. Maine InfoNet, the state-wide Library network is a non library partner providing technical infrastructure and support. The MSCS team is working to develop a strategy for the long-term management and preservation of legacy print collections in the state of Maine. The website (http://www.maineinfonet.net/mscs/) includes a listing of project partners, summaries of all project-related meetings, presentations given by project participants, and more.

The Maine Shared Collections Strategy is funded in part by the Institute of Museum and Library Services. If you have any questions about the project, please contact Valerie Glenn, Program Manager, (valerie.glenn@maine.edu or mainesharedcollections@gmail.com).