Meeting Summaries

Print Archive Meeting, ALA, New Orleans, LA. June 2011

**ASERL PRINT JOURNAL ARCHIVE PROJECT**

ASERL Libraries Under Tremendous Pressure for Space; Widespread Interest in Management of Print Journals to Reduce Redundancy -- Especially Those With Electronic Access
- Options Under Discussions for a Decade: Construction of Common Facility Too Costly, Legal and Policy Obstacles

Distributed Print Journal Archive Chosen as Model for Implementation
- Make Use of Journals Participating Libraries Intend to Retain for Local Needs
  - Leverage That Retention for Benefit of All ASERL Members
- Draft Agreement Developed in Fall 2009
- Final Agreement Approved in Spring 2011
- ASERL Collaborative Journal Retention Program Agreement (2 Pages) [http://aserl.org/projects/!ASERL_Journal_Retention_Agreement_FINAL.pdf](http://aserl.org/projects/!ASERL_Journal_Retention_Agreement_FINAL.pdf)
- Governance by Steering Committee
- Participating Libraries Retain Specific Journal Titles for 25 Years
- Ownership Remains With Holding Library
- Opt Out With 24 Months Notice
- No ASERL Library Required to Discard Any Materials
- Costs For Locally Held Materials Borne by Each Participating Library
- Access Primarily Through Electronic or Paper Duplication, or Onsite Use
  - Other Access at the Participating Library’s Discretion
  - Multiple Options for Journal Housing; Disclosure Allows Participants to Assess Risk Before Discarding (Remote Storage Facility, Locked Secured Stacks, or Open Stacks)

**Current Status**
- 13 ASERL Libraries have Nominated 1,000 Titles (42,000 Volumes ) for Retention [http://aserl.org/projects/Journal_Retention_Update.pdf](http://aserl.org/projects/Journal_Retention_Update.pdf)
- Will also Examine JSTOR Print Titles for Retention Among the Participating Libraries
- Other Publishers/Collections to Follow (And Can Be Identified by at Any Time)

**Next Steps**
- Looking Forward to CRL’s Collection Analysis Tools to Identify Commonly-Held Titles and Rarely-Held Titles Within ASERL
- Following Best Practices for Use of MARC 583 Field to Disclose the Retention Status Archived Titles to Improve Discoverability
- Sharing Responsibility for Agricultural Journals Among Land Grant Universities
Additional Information

- John Burger, ASERL Executive Director, jburger@ASERL.org
- Judy Russell, University of Florida, jcrussell@ufl.edu
- Website: http://www.aserl.org/ (select “Cooperative Journal Retention” from menu)
Committee on Institutional Cooperation (CIC) Shared Print Repository

Collectively, the thirteen member libraries of the Committee on Institutional Cooperation (CIC) hold some 85 million print volumes, the overwhelming majority being held multiple times across our campuses. To better steward library resources, ten of the CIC Library Directors have made a commitment to sharing the costs of storing and managing lesser-used print materials. They recognize that more secure conditions can be maintained, and better user services supported, if some bodies of print content are held in common across the CIC libraries. Such co-investment in a shared collection will relieve each individual school of the obligation to retain and independently manage its own legacy print collection.

The Directors’ plan calls for building a shared collection of 250,000 journal backfile volumes at the Indiana University’s Auxiliary Library Facility (ALF). The ALF is a state-of-the-art, purpose-built, high-density storage facility with a total capacity of over 6 million volumes. A working group of collection librarians from participating libraries has recommended that initial attention be directed to securing as complete runs as possible of Elsevier, Wiley and Springer journals, estimated at approximately 150,000 volumes, all of which are electronically accessible as licensed digital archives. IU has committed to ingesting, retaining and servicing this journal collection for at least twenty-five years, and beyond that for as long as the CIC libraries continue to offset the costs of storage. Once procedures are developed for ingest and service, it is likely that additional CIC host sites will be recruited to house other resources on behalf of CIC libraries and scholars, thus growing the collection beyond the initial target of 250,000 volumes.

The CIC Shared Print Repository will go live July 1, 2011. CIC Universities participating in the storage initiative are Illinois, Indiana, Iowa, Michigan, Michigan State, Minnesota, Ohio State, Penn State, Purdue and Wisconsin. Collectively, these institutions will pay in $1.75 million to support the initial collection of 250,000 journal volumes for the twenty-five year projected term of the agreement. Project oversight will be provided by the Directors of the partnering university libraries, with substantive input offered by several appointed working groups, and operational direction provided by IU staff and a Steering Committee whose initial membership includes Brenda Johnson, Carol Diedrichs and Paula Kaufman.

Through this commitment to build a CIC Shared Print Repository, the Library Directors expect to coordinate with other regional consortia (WEST, ASERL, WRLC, RECAP, etc.) toward building a national framework for print preservation and access that supports cost-effective best practices for reporting holdings, and terms of access.

For more information about the CIC Shared Print Repository, please contact Mark Sandler (msandler@staff.cic.net) or Kim Armstrong (karms2@staff.cic.net).
**Florida Shared Collection**

**Background**
In 2007 University of Florida was approved as the location for a High Density (Harvard Model) Storage Facility to house up to 5 million volumes from Academic Libraries in the State University System (SUS) and eventually other Academic Libraries in the State. The core collection would be the 1 million volumes already held by UF at its medium density Auxiliary Library Facility (ALF), which would become the processing space for the new facility and house other UF functions, including preservation and digital services.

The Council of State University Libraries (CSUL) established a Statewide Storage Task Force to begin planning for the facility. Recommended policies are being developed and are available at: [http://csul.net/storage/Policies/SUSSC_Policy_APPROVED_March_2011.pdf](http://csul.net/storage/Policies/SUSSC_Policy_APPROVED_March_2011.pdf). A draft MOU is available at: [http://csul.net/storage/MOUstorage082010.pdf](http://csul.net/storage/MOUstorage082010.pdf). In FY 2010-2011, $2 million in planning money was provided, with the expectation that construction would begin in the fall of 2011, with initial occupancy 12 to 18 months later.

**Current Status**
Because of the economy, funding for construction has been delayed at least 2 to 3 years, so we are now seeking to lease an interim storage facility. We will begin to assemble the “Shared Collection” in that facility, preparing it for rapid transfer to the High Density Facility when it opens.

All 11 SUS Libraries recently agreed to employ a 4 tier system for print journal retention: (1) Local Retention; (2) Use of the Shared Collection in Gainesville; (3) Reliance on the ASERL Distributed Print Journal Archive; and, where appropriate, (4) Reliance on the Center for Research Libraries. (5 of the 11 CSUL members are ASERL members; 7 are CRL members.)

The Shared Print Journal Collection will include selected JSTOR print titles, based on the decisions of individual libraries. The JSTOR collection will be trayed and managed as a separate sub-collection to allow future changes in the need for these materials as the ASERL project develops and users become more accepting of remote access.

**Special Projects**
- UF continues to remove duplicates from ALF, setting aside for digitization copies of out of copyright, orphan, and brittle books when there is not a stable public domain digital copy available.
- Each SUS Library is engaged in a rapid analysis of JSTOR print titles that can be determined easily as necessary for Tier 1 or Tier 2 retention. Similarly, each library is also identifying JSTOR print titles the can be discarded “without any loss of sleep.” These lists will be consolidated and shared within Florida and with ASERL.

**Additional Information**
- Judy Russell, University of Florida, jcrussell@ufl.edu
- Website: [http://csul.net/storage/storage.shtml](http://csul.net/storage/storage.shtml)
Maine Shared Collections Strategy

This is an IMLS-funded project through May 2014. The grant partners are eight libraries (University of Maine, Bangor Public Library, Bates College, Bowdoin College, Colby College, Maine State Library, Portland Public Library, University of Southern Maine) plus the state’s consortium, Maine InfoNet.

Project Goals:
- To develop a strategy for a statewide, multi-type library program for managing, storing and preserving print collections among public and private institutions.
- To expand access to existing digital book collections by developing print-on-demand (POD) and e-book-on-demand (EOD) services to support long-term management of a shared print collection, and the integration of digital resources with print collections.
- To formalize organizational agreements, establish a budget, and develop policies essential for the maintenance of shared print and digital collections, access to them, and responsibility for sharing them.

Current activities:
- Program Manager started June 1st;
- Developing and populating the project website, http://maineinfonet.net/mscs;
- Determining project needs for a collection analysis system / researching available products;
- Conducting site visits to partner libraries.

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New England Depository: Could The Bunker (Five Colleges Libraries Depository) be a NERD (New England Regional Depository)?

The Directors of the Five Colleges Libraries (Amherst, Hampshire, Mount Holyoke, Smith and UMass Amherst) have invited colleagues from New England academic libraries to join us on July 21 for a conversation about the possibility of an expanded Five Colleges Libraries Depository (aka The Bunker, FCLD) becoming a shared New England Regional Depository.

Currently, the FCLD houses approximately 450K print volumes, mostly bound journals. As we reach capacity in the existing facility, the Five Colleges Librarians Council (FCLC) is exploring the feasibility of expansion by constructing a "Harvard-type high density" module contiguous to the "The Bunker." The new facility could have a capacity of 1 to 2.5 million volumes.

In discussing the depository expansion, FCLC also wondered about expanding our Affiliate Membership program to include access to more than just the currently defined set of collections (like JStor, ACS, APA, etc.). Preliminary discussions with several library directors in the region indicated sincere interest in a true regional shared print repository - for both journals and monographs.

FCLC is asking those interested in joining the conversation about the possibility of creating a New England Regional Depository to meet with us on

- July 21, 2011
- 10 a.m. until 3 p.m.
- Willits-Hallowell Conference Center on the Mount Holyoke College campus

The preliminary agenda includes:

- Background on the Five Colleges Libraries Depository and expansion plans
- Current philosophy/policy of one shared copy
- Environmental scan of other efforts to create regional depositories
- Possible service models for NERD
- Possible business models for NERD
- Possible governance models for NERD
- Assessment of support for NERD

Lizanne Payne, print archives consultant at the Center for Research Libraries and Project Manager for the Western Regional Storage Trust will join us to provide background on other national efforts to create shared print archives.

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OhioLINK’s Regional Depositories

OhioLINK has built five depositories at strategic regions around the state to support storage needs of the 13 state universities. Three of them are shared by multiple institutions, and two serve one institution each. OhioLINK formed the Regional Depositories Governing Council in Summer 2010 to deal with issues surrounding the depositories. The Regional Depositories Governing Council is made up of the library directors of the 13 state universities that contribute materials to the depositories.

The Regional Depositories Governing Council has overseen two main projects during the past year. The first is a pilot project that is building a test library catalog of depository holdings to see if it is feasible to expand this statewide. So far, this pilot project is supporting the holdings of the Northeast Depository, which is shared by five libraries. This pilot is due to be completed late this summer, and after extensive evaluation of its success, a determination will be made to extend the catalog to other depository holdings.

The second major project is the de-duplication of the five depositories. There is much duplication among the depositories, especially among serial holdings. This project is focusing on de-duping lists of journal titles that have been defined ahead of time as having electronic copies available. We are preserving two copies of print titles across the depositories and withdrawing from the depositories all other copies.

The de-duplication project called for the creation of a Preservation Policy for Serials, which was developed over five months in Spring 2011. This document specifically addresses common preservation expectations and confirms the idea of keeping two print copies of each journal title de-duped. This policy is now in place and is used as a guiding principle as we move forward with de-duping other journal titles.

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**Western Regional Storage Trust (WEST)**

The Western Regional Storage Trust (WEST) is a distributed retrospective print journal repository program serving research libraries, college and university libraries, and library consortia in the Western Region of the United States. Under the WEST program, participating libraries will consolidate and validate print journal backfiles at major library storage facilities and at selected campus locations. WEST archiving libraries agree to maintain WEST archives for a period of 25 years (through 2035), with a review of the agreement every 5 years.

Selection and prioritization of WEST archives is based on semi-automated collection analysis. WEST planners defined a set of six Title Categories, or expressions of risk for each kind of journal, based on print overlap, format availability, digital preservation status, presence of existing shared print archives, and other factors. Each Title Category is assigned an Archive Type (Bronze, Silver, Gold, Platinum) to indicate the level of archiving effort that will be placed on titles in that risk category (level of validation for completeness and condition, effort to fill gaps, and required environmental conditions). Annual archiving cycles ensure a predictable pace of archiving across the region so that libraries can make informed retention decisions.

WEST archiving libraries agree to make WEST archives available using existing local practices, systems, workflows and policies. Delivery of digital surrogates is preferred; loan of physical items is recommended for building use only.

**Current Status (June 2011)**

- **Membership**: More than 50 libraries have joined WEST, plus two consortia (Orbis Cascade Alliance and SCELC) representing about 40 additional small or very small libraries.

- **Funding**: Implementation funded by members and a three-year grant from the Mellon Foundation awarded to the University of California Libraries for calendar years 2011-2013. WEST plans to submit a subsequent proposal for funding to support an additional two-year archiving project in 2014-2015.

- **Archiving Cycle 1 (2011)**: During the planning phase in 2010, WEST project staff identified about 8,000 journal families (current and past titles) for priority archiving. Training is underway at five WEST Archive Builders (UC SRLF and NRLF, Stanford University, Arizona State University, and University of Oregon) who will ingest and validate approximately 150,000 volumes from these 8,000 journal families. These and other libraries will also disclose Bronze archives (which require no validation) beginning in Fall 2011 via the developing OCLC metadata standard.

- **Future collection analysis and archiving**: In Fall/Winter 2011, WEST will use the collection analysis features of the CRL Print Archives Preservation Registry (PAPR) system being developed with the California Digital Library (CDL) to identify archiving priorities and proposed Archive Holders and Builders for 2012.

**Website**: [http://www.cdlib.org/west](http://www.cdlib.org/west)

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