The Center for Research Libraries (CRL) is a consortium of North American universities, colleges, and independent research libraries. The consortium acquires and preserves newspapers, journals, documents, archives, and other traditional and digital resources for research and teaching and makes them available to member institutions through interlibrary loan and electronic delivery.

MISSION
The Center for Research Libraries’ mission is to support advanced research and teaching in the humanities, sciences, and social sciences by ensuring the survival and availability of the knowledge resources vital to those activities. CRL accomplishes this mission through cooperative action with its member libraries and partners.
A s I first sat down to draft this message to you, I found myself multi-tasking—simultaneously exchanging e-mail with David B. Gracy II, the Governor Bill Daniel Professor in Archival Enterprise at the University of Texas at Austin and the Editor of Libraries & the Cultural Record. I wrote to Professor Gracy that he and I were tempest-toss’d by the fates, and that I would again miss the gathering of his editorial board because of my responsibilities at the annual meeting of the Center for Research Libraries. Ever the gentleman-editor, David’s response was as gracious as it was prescient: “As you preside over this group,” he urged, “please remind them that they have a history—individually and collectively—and that . . . we would be greatly pleased to see some studies of individual libraries and of the formation, growth, and development of the Center.”

Professor Gracy was gracious because he assuaged my guilt about over-commitment and to a duty not fully discharged. And he was prescient because my tenure as Chair of the CRL Board corresponds with the 60th anniversary of our organization. It was in 1949, in the throes of a deep post-war recession, that ten universities came together to answer the challenge of providing access to hard-to-find research resources in times of severe economic stress. Born as the Midwest Inter-Library Center, CRL has hewn to its original purposes of cooperative acquisition and storage of important research collections of common interest but infrequent demand even as its leadership has adroitly redefined its strategic mission in the midst of the digital transformation of scholarship. Agility and adherence to its evolving mission help explain why our Center for Research Libraries is North America’s oldest and most long-lived research library consortium.

Today, over 250 CRL members band together to answer the challenges posed to scholarly inquiry by the pressures of another numbing recession, a mushrooming information universe, and a clear shift of much of that information away from print toward digital instantiations with even greater problems of discovery, access, and preservation. Like the founding members, most of CRL’s libraries today understand that the need for collaboration in times of fiscal stress and technical transformation has increased. The collective investment in the “long tail” of scholarship, directors find, is more important to their constituents now than ever before.

The agility with which CRL has responded to the digital pressures upon scholarship is in part explained by its own dynamic structure. At its core are: 250 director-faculty partnerships that inform CRL about the shape and directions of its collection development initiatives, a board drawn from among the directors and strengthened by significant provostial participation, and an executive leadership versed in the trends and trajectories of these times and able to chart a clear course of action for our future.

The commitment to building a shared research corpus remains strong. More than 160 libraries participate in the collection development efforts that last year added more than one million dollars’ worth of newspapers, archives, journals, and documents to our holdings. The leadership in the digital arena is equally robust. Last year, two million additional digitized pages were added to CRL holdings via the World Newspaper Archive and American Periodicals initiatives, and the digital-delivery program surpassed the two-million-page mark.

The board and the CRL staff, including President Reilly, confidently address the present through the lens of the membership whose concerns about both the needs of their scholarly communities and fiscal pressures at home are clearly seen and understood. With an eye toward tight budgets and the potentials of the new Web technologies, we webcast the Council of Voting Members’ Annual Meeting last year, and Bernie and staff scheduled CRL conferences and forums to coincide with other major attendance venues.
Our Web site has been revamped to foster access and the flow of information, and a partnership with *The Charleston Advisor* enables CRL membership to access important database reviews through free subscriptions to that journal. And for the benefit of researchers, teachers, and librarians who make innovative use of CRL’s primary source materials, the juried [Primary Source Awards](#) will annually recognize their accomplishments.

But most reassuring in turbulent times is the clearly charted path to the distant horizon. Under President Reilly’s leadership, CRL has taken the lead in the investigation of the promises of collaborative solutions to the storage, preservation, and accessibility of print resources essential to the “long tail” of scholarly research. In partnership with University of California Libraries, CRL is vetting the prospect of creating and maintaining a shared print archive of over 4,600 licensed e-journals, from several major publishers with document delivery services, to participating libraries from the journal articles held. Likewise, CRL has taken the lead in opening conversations for concerted action at the national level to create a series of shared, federated, archival collections; expand digital access to the same; and increase the national confidence in the ability to both preserve and deliver digital content to the academic community. Essential in that regard is the leadership role CRL has taken in launching the Trustworthy Audit and Certification Process under the direction of its [Certification Advisory Panel](#). The impetus for the trusted repositories initiative is the realization that libraries must be able to safely select and rely upon repositories for the long-term preservation of the digital content in which they have significantly invested. As the ink dries on this annual report, it is a pleasure to be able to report that based on the work of the Advisory Panel, the [Portico](#) digital repository has been certified as a reliable preservation solution for digital content.

I believe I speak for all my colleagues on the board when I affirm that we are confident of the direction and future purpose of the Center for Research Libraries, the soundness of the member investment in its mission, and the integrity and competence of its leadership. Thank you for the privilege of serving in this capacity.

Dr. Fred Heath
Chair, CRL Board of Directors (elected April 2009)
Vice Provost, University of Texas at Austin

**Collection development efforts last year added more than one million dollars’ worth of newspapers, archives, journals, and documents to our holdings.**
DIGITAL TECHNOLOGIES HAVE VASTLY INCREASED researchers’ access to primary sources and historical evidence. Publishers, aggregators, and libraries are rapidly converting the immense legacy of traditional primary source materials—such as newspapers, government documents, and archives—to digital form.

Libraries take on a challenging role in this new research world. Ever-larger shares of their resources are diverted from acquiring and preserving physical materials to facilitating access to digital content.

CRL’s strategic directions, promulgated by CRL’s board and management in 2007, are meant to support this new role. Three principles will govern CRL strategy in the years ahead:

1. **Focus**: Concentrate CRL resources on increasing member access to print and electronic resources that support international studies in the humanities and social sciences.

2. **Integrate**: Put in place provisions for archiving traditional and electronic resources to support member libraries’ ability to rely on electronic access to critical sources for research.

3. **Position**: Begin to address collection development and knowledge preservation issues on a global basis, to augment and support CRL region-based efforts.

The recent economic downturn has only intensified the need to focus our efforts. As this annual report indicates, CRL has begun to make fundamental changes in its operations:

* expanding electronic access to primary sources for international studies
* supporting planning for responsible cooperative preservation of print and digital resources, and
* providing reliable, in-depth information on the content, cost, and performance of critical print and electronic resources and archiving services.

In these ways CRL helps the libraries and interest groups in its community obtain a greater return on their investment in digital collections and services.

Many of these changes are now possible because of an award of $1.45 million by the Andrew W. Mellon Foundation, to accelerate implementation of new capabilities at CRL. The Mellon grant augments the substantial support—in the form of funds, time, and expertise—invested by CRL libraries in 2009 and over the past 60 years of CRL’s history. This investment has created a rich legacy of collections and collection-building that will persist to benefit future generations of researchers, scientists, and historians.

Bernard F. Reilly
President

Message from the President
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In fiscal year 2009 (July 1, 2008–June 30, 2009), CRL focused on reshaping operations and services to implement the strategic priorities identified by its board and management in 2007. This work is supported by a recent grant of $1.45 million from the Andrew W. Mellon Foundation. At the same time, the various CRL departments ensured that they maintained the excellent service libraries have traditionally valued from CRL by acquiring and making available unique and unusual materials needed by faculty, students, and other researchers.

CONTRIBUTIONS TO NEW STRATEGIC PRIORITIES

Objective 1. Expanding electronic access to critical source materials, particularly news, archives, journals, and dissertations and theses, for the CRL community.

In-house Digitization

In-house production continued to expand in support of members’ research and instruction projects. CRL scanned a total of 797,205 pages in FY2009, a 13-percent increase over 696,272 pages in FY2008. Digital delivery, or converting materials in response to patron requests for interlibrary loans, saw the most significant increase. Digital delivery made up nearly 90 percent of all in-house scanning in FY2009, in contrast to 70 percent in FY2008. CRL supplied scanned documents instead of loaning the source material a total of 2,344 times, to 148 member libraries.

CRL scans items in response to scholars’ requests for loan of materials if the scope will allow turnaround in five days or less. This allows for conversion of a few reels of microfilm or a few volumes per patron at one time. Items scanned for patrons are linked from catalog records and remain available to users to download in PDF format. This increases patron access and preserves materials in CRL’s collection by scanning rather than lending. Twelve libraries have obtained copies of CRL catalog records for digital resources to load in their local catalogs.

Broadening digitization selection guidelines helped to increase the number of items CRL could provide through digital-delivery services. After consulting with a copyright specialist, CRL expanded the commitment to scan materials where copyright is not likely to be challenged, strengthening measures put in place to mitigate risk by restricting access through member IP addresses. This increases resource accessibility for members, while preserving materials in the CRL collection through scanning rather than loaning. Expansion of digitization also enables CRL to serve Canadian members more effectively because it avoids unanticipated delays at customs.

CRL offers a survey for recipients of digital-delivery services or for readers who access existing e-resources. FY2009 had more than 30 respondents, which confirmed anecdotal impressions of the value of digitizing content currently in microformats. Comments were overwhelmingly positive on CRL’s delivering microforms digitally; however, a few users preferred the print original if the item digitized was a lengthy book.

Planned or strategic scanning of titles recommended by CRL affinity groups makes up the other component of in-house digital production. In FY2009, items selected for strategic digitization included 19th- and early 20th-century books on conduct-of-life themes (such as how-to manuals and etiquette books), which could serve undergraduate use of primary resources; and microfilmed literature and vernacular press excerpts from colonial India.

Digitization at CRL is implemented collaboratively across Stack Management, Technical Services, Access Services, and the Digital Production Unit in order to move all direct service departments forward in issues related to implementing new technologies. Workflow design ensures
that additional uncataloged materials are surfaced and then cataloged as well as digitized. More than 13,000 items and two million pages were available digitally from CRL’s collection as a result of internal production by the end of FY2009.

**Collaborative Digitization**

**World Newspaper Archive**

In FY2009, CRL started a collaborative news digitization venture—the World Newspaper Archive (WNA)—with Readex, a division of NewsBank, Inc. The WNA, announced in June 2008, is an ongoing, multiyear endeavor to preserve and provide persistent electronic access to historical newspapers from around the globe. CRL and its member libraries are working with Readex to make accessible in digital format their back files of international newspapers for scholarly use.

In December 2008, the partners released the first module of the WNA: Latin American Newspapers, which consists of a virtual collection assembled from the microform holdings of CRL and partner libraries. By the end of the fiscal year, more than 624,000 pages had been digitized, representing over 58,000 issues and 16 titles, including O Estado de Sao Paulo (Brazil), Mercurio (Chile), Comercio (Peru), and Diario de la Marina (Cuba). This module will ultimately include 1.3 million pages from more than 30 significant Central and South American historical newspaper titles.

The World Newspaper Archive will eventually encompass the news output of other major regions, including Sub-Saharan Africa, the Middle East, South Asia, Southeast Asia, and Eastern and Central Europe. The WNA is designed to provide community access to the back files of content at the widest level. With content from several major newspaper libraries, the community will aggregate its holdings and provide access to the CRL community at highly advantageous terms. The WNA will also provide persistence to the news content, as CRL will guarantee the long-term availability of the news content for the CRL community.

**American Periodicals from the Center for Research Libraries**

CRL negotiated an agreement with ProQuest to digitize important titles of historical American journals that CRL owns. American Periodicals from the Center for Research Libraries will contain full-text and full-color scans of nearly three million pages of CRL journal content. This digitization initiative enables CRL to preserve the original source materials and bring better access to valuable primary resources. CRL will work with ProQuest to ensure the sustainability of the digital files.

On June 1, ProQuest launched the first phase of the American Periodicals from the Center for Research Libraries collection. The initial release contained nearly 500,000 of the three million total pages ultimately planned for this online resource. This digitized collection of more than 300 historic periodicals from CRL will contain American special interest and general magazines, labor and trade publications, scientific and literary journals, and photographic periodicals, as well as other historically significant titles spanning the 19th and early 20th centuries. Very little of the content overlaps with titles available elsewhere online. Examples of titles available at the resource’s launch included: American Annual of Photography, American Artisan, Atlanta Medical and Surgical Journal, The Book Buyer, Flower Garden, and Photo Era. CRL posted a full selection list.

CRL developed a workflow that could supply ProQuest with more than 170,000 pages of content per month. As part of the workflow, records of materials going to ProQuest for digitization were reconciled with the actual holdings.

The World Newspaper Archive preserves and provides persistent electronic access to historical newspapers from across the globe.
CRL library discount pricing is available from ProQuest, and CRL will receive royalties from ProQuest that can fund digitization in-house or other digitization projects. To ensure that CRL libraries that do not purchase the product still have access to the content, CRL negotiated for digital interlibrary loan on a volume-by-volume or article basis.

Individual records for CRL’s catalog and for CRL libraries were created for *World Newspaper Archive* and *American Periodicals from the Center for Research Libraries*.

**Dissertations**

In addition to supplying dissertations in the traditional manner of purchasing hard copy or microform, CRL now searches open access digital repositories throughout the world to provide researchers with links to digital copies of items requested. When the British Library introduced EThOS ([ethos.bl.uk](http://ethos.bl.uk)), an unmediated system for acquiring U.K. dissertations, CRL adapted workflow processes for on-demand orders to provide researchers with a link to the digital copy of the item requested or information on how to generate the digital copy through the British Library.

**Objective 2. Supporting responsible, cooperative preservation of print and digital resources.**

**Print Archives**

*Joint CRL-UC Proposal for a Print Archive for Licensed E-Journal Packages*

The University of California (UC) proposed that CRL members collaborate to form a prospective shared print archive for licensed electronic journal packages. The proposal envisions a successive archive held in two locations, with earlier years held at UC and later years held at CRL.

The UC Libraries would continue to maintain and provide access to the UC Shared Print Archive for Licensed Journals they have assembled between 2004 and 2008. CRL would begin receiving print issues directly from publishers and maintain a prospective print archive based on the contributions of the print titles UC Libraries acquired from 2009 forward. UC and CRL worked together to determine the costs of the project. CRL libraries received the proposal in December 2008 to determine whether there would be support for this initiative. The proposal attracted a strong core of support, but the number of positive responses was not sufficient to cover the cost of implementing the project as designed. UC and CRL agreed to revisit the offering to determine whether other options were feasible.

**JSTOR Archive**

This year CRL added 4,280 volumes (a 12-percent increase) and 182 new titles (a 21-percent increase) to the JSTOR collection. CRL currently has 35,660 volumes for 858 titles.

**American Periodicals from the Center for Research Libraries**

CRL will archive all print volumes of the journals included in the digitized *American Periodicals from the Center for Research Libraries*. This will ensure that “last copies” of these important materials will remain available to the CRL community. For libraries that hold paper copies of these journals, the CRL archive will offer relief from the costs of local storage, preservation, and replacement. CRL will also accept contributions of volumes from member libraries that fill gaps in CRL holdings, for inclusion in the *American Periodicals* collection and in the corresponding archive.

**Communications and Infrastructure Development to Support the CRL Community**

**CRL Annual Meeting**

The difficult financial state faced by many members prompted CRL to hold its annual meeting as a Webinar. The Systems Department selected and implemented software to support voting as well as standard Webinar functions.
Web Site Redesign
CRL’s Task Force on Membership registered its concern that the organization’s Web site did not project the value that CRL provides members and the energy that the board, committees, and staff bring to the task. To improve the Web presence and to facilitate communication and networking among the community, CRL undertook a major overhaul of its Web site. The new site will also enhance the discoverability of CRL’s products and services and effectively demonstrate the benefits of CRL membership. The rollout was scheduled for fall, FY2010.

Collaborative Software
CRL has expanded the usage of the WorkSpace server, an enterprise Wiki-powered by the Confluence software. WorkSpace facilitates effective communications, collaborations, and teamwork among members of the CRL community, with an online forum to enhance functionality. A number of CRL/Global Resources Network committees have established presences on the site. Open access for selected content will be offered in FY2010.

Support for Strategic Partners
The Digital Library for International Research, sponsored by the Council of American Overseas Research Centers, continues to add open access digital content and identify resources for cataloging in its 23 international centers. CRL staff in Information Systems and Technical Services supported this strategic partner by incorporating DLIR’s union catalog as CRL transitioned to the Innovative Millennium system and by providing ongoing network and desktop support.

INTERNATIONAL RESOURCES
CRL augments its collections and services in support of advanced research and teaching by engaging its community of libraries in the identification, selection, preservation, and creation of scholarly resources. CRL’s cooperative programs expand access to international scholarly resources and promote coordinated collection-building to ensure that the legacy of CRL collections is available for future scholarship.

Global Resources Network
The Global Resources Network (GRN) is a collaborative initiative formed by the major North American universities and research libraries to support international studies through the preservation and exchange of knowledge and source materials. The Global Resources Network supports two main activities to pursue its goals:

• The GRN fosters, supports, and coordinates discrete projects among North American and overseas participants to build cooperative collections and access mechanisms.
• The GRN provides a framework for leadership and development of coordinated approaches to collection building and collaborative mechanisms for discovery, presentation, delivery, and preservation of international materials.

In FY2009, the GRN pursued two main activities in support of its overarching mission: a global forum to discuss challenges and emerging strategies for electronic news preservation and access, and an assessment of technologies being used to document human rights abuses and born-digital evidence.

“On the Record” A Forum on Electronic Media and the Preservation of News
GRN Forums explore the challenges of ensuring the survival and continued availability of information, documentation, and evidence needed to support advanced research in fields of international importance. CRL and the New York Public Library held a Forum on News Access & Preservation entitled “On the Record,” on October 23–24, 2008. The Forum at the New York Public Library convened representatives of journalism and the news media; members of the scholarly, business, and
Forum participants developed an action agenda for libraries to ensure that newspapers and digital news content from around the world remain accessible to researchers.

Policy communities; and those who map library collecting and preservation strategies to explore the new ways that news content is created, distributed, and consumed. Through presentations and focused discussions, Forum participants developed an action agenda for libraries to ensure that newspapers and digital news content from around the world remain accessible to researchers. A summary of the presentations and action agenda were published in CRL’s Winter 2008–09 issue of Focus on Global Resources.

CRL held a followup workshop on collection-development issues surrounding access to news backfiles in conjunction with the popular Charleston Conference in November 2008.

Human Rights Electronic Evidence Project
The John D. and Catherine T. MacArthur Foundation awarded the CRL Global Resources Network $236,000 to support a two-year project to examine human rights organizations’ use of technology to document human rights abuses. The study will help inform efforts by CRL libraries and archives to preserve and protect born-digital evidence and documentation.

Sponsored by the foundation’s Human Rights and International Justice Program, this GRN project will analyze technologies used by human rights monitoring and activist groups in three world regions to identify ways in which documentation produced with those technologies can be maintained and protected for long-term use. GRN will work with Human Rights Watch, WITNESS, the International Center for Transitional Justice, and other human rights organizations, as well as major research universities that collect and preserve the papers of human rights organizations, jurists, and activists.

The electronic evidence project builds upon discussions at the 2007 Global Resources Forum “Human Rights Archives and Documentation” at Columbia University (sponsored by CRL, Columbia, and the University of Texas Libraries), and the 2008 symposium held at the Thomas J. Dodd Research Center of the University of Connecticut.

Global Resources Network Projects
GRN’s community-driven projects had many notable achievements this year.

The Collaborative Initiative for French Language Collections (CIFNAL), which promotes and facilitates the cooperative exchange of ideas and resources between Francophone and North American research libraries, expanded its membership to 29 institutions. Participation at the IFLA Satellite meeting of the Association Internationale Francophone des Bibliothécaires et Documentalistes (AIFBD) in August 2008 led to a substantial increase in visibility for the project. The meeting also helped development of a broader collaborative vision with francophone partners in areas beyond North America and Europe. CIFNAL continues to negotiate for its members consortial discounts to French-language electronic resources, such as Oxford University Press’s Electronic Enlightenment, a full-text database of 18th-century primary sources.

The Digital South Asia Library (DSAL) continued its activities under the grant CRL received from the U.S. Department of Education entitled “TICFIA South Asia: Overseas Resources for Understanding the Subcontinent.” As part of this activity, DSAL continued its cataloging activities for the South Asia Union Catalogue (SAUC), a historical bibliography of books and periodicals published in South Asia from 1556 through the present. In June 2009, DSAL conducted a training workshop in Colombo, Sri Lanka, for its collaborating partners in India, Nepal, and Pakistan to discuss name authorities and complex subject cataloging. SAUC presently contains more than 380,000 records freely available at http://sauc.uchicago.edu.
DSAL added to its Web site 19 catalogues of books acquired by the British Museum in South Asian languages during the 19th and 20th centuries, and several dictionaries (in conjunction with its sister project from the University of Chicago, the Digital Dictionaries of South Asia). Work also continued on the presentation of 262 rare recordings from the Linguistic Survey of India.

The German-North American Resources Partnership (GNARP) is a broad-based collaboration among institutions in North America and Germany to increase the availability of German-language materials in North American institutions and foster closer collaboration among North American and German research libraries. In 2009, GNARP moved to a fee-based model of membership, and by the end of the fiscal year 44 members had reaffirmed their commitment to the project by agreeing to pay membership dues to support the program’s goals.

GNARP continued to enable access to electronic databases of German and German-language content. GNARP concluded a consortium arrangement for the Bibliography of Linguistics Literature, one of the major sources of information (more than 380,000 citations) for general linguistics in English, German, and other languages.

The Latin Americanist Research Resources Project (LARRP) is a consortium of research libraries that seeks to increase free and open access to information in support of learning and scholarship in Latin American Studies. LARRP continued its collaborative acquisition and digitization activities, including an expansion of the Latin American Open Archives Project (LAOAP), an online portal providing access to social sciences grey literature produced in Latin America. LARRP provided equipment and training to two new partner institutions in FY2009. The Centro de Estudios y Promoción del Desarrollo (desco) in Peru and the Facultad Latinoamericana de Ciencias Sociales Sede Ecuador are scanning content and incorporating items into LAOAP.

LARRP also works with institutions offering digital content to enable access for North American participants. LARRP negotiated a consortium discount to subscribe to ProQuest’s product Latin American Newsstand (LAN), providing daily digital access to major Latin American newspapers. LARRP also worked with the Latin American Public Opinion Project (LAPOP) to establish an institutional license to enable members to subscribe to LAPOP’s Americas Barometer surveys.

CRL’s affiliated project, the CAORC-sponsored Digital Library for International Research (DLIR), completed the transfer of its union catalog of overseas research centers into CRL’s integrated library system. The new catalog allows individual centers to display their holdings and actively submit new records for inclusion in the catalog. DLIR continued its own TICFIA-funded project “Local Archives and Libraries at Overseas Research Centers (LALORC).” The project works with overseas centers and partners to make accessible records and digital content from such institutions as the National Library of Cambodia, the Hasna Daoud Library in Morocco, and the Yemen Center for Studies and Research.

International Coalition on Newspapers

In June 2009, the International Coalition on Newspapers (ICON) concluded activities related to a two-year grant awarded by the National Endowment for the Humanities for 2007–09, entitled “Preserving Latin American Newspapers in United States Repositories.” The aim of the project was to catalog and microfilm important and endangered newspapers from Latin America held by U.S. institutions.

As part of this effort, ICON preserved ten important and underrepresented newspaper titles from Latin America, on more than 180 reels of microfilm. Titles preserved include Registro Municipal (Panama City, Panama) (1895–1915), important for its reporting of the events surrounding the Panama Canal; El Diario (La Paz, Bolivia) (1904–17),
Bolivia’s oldest existing newspaper; and *Mercurio Peruano* (Lima, Peru) (1827–34), considered the first great newspaper of the post-independence period in Peru. ICON has also duplicated and made accessible more than 600 reels of microfilm previously acquired from Custom Microfilm relating to Latin America.

In addition, ICON continued to focus on cataloging of newspapers at partner libraries, expanding the ICON database of international newspapers, and further improving the content, value, and utility of the ICON Web site. ICON selected the University of Connecticut and the University of Illinois as cataloging partners for its distributed cataloging program. Project assistants at the institutions collated print issues and collected data for bibliographic description, making surrogate copies of first and last available issues to aid in the bibliographic description. This data was transmitted to CRL; the ICON cataloging coordinator created more than 475 full bibliographic records and added them to each institution’s respective catalog, the ICON database, and OCLC.

Finally, ICON digitized and made available via open access Antonio Checa Godoy’s important historical work *Historia de la Prensa en Iberoamerica* (History of the Press in Latin America), which is searchable in full-text through ICON’s Web site. ICON’s clearinghouse of information provided new citations for hundreds of historical newspapers available online in full-text or page images.

In 2008, ICON joined the Global Resources Network, with support from major research libraries outside CRL’s membership, including the Library of Congress and British Library. This will allow ICON to expand its efforts and its scope in the preservation of and access to international news resources. Additional activities included evaluation of electronic news collections, aggregation of data on news holdings, pursuit of access to digital news content, and ongoing fundraising for preservation and digitization work.

**Area Microform Projects**

CRL continues its ongoing commitment to long-term preservation of primary resources through the *Area Microform Projects (AMPs)*. These programs promote preservation and accessibility of unique, scarce, or endangered research material, and work with international institutions to preserve their collections in both traditional and electronic formats. Highlights of accomplishments in Fiscal Year 2009 include:


- **LAMP** (formerly the *Latin American Microform Project*) provided funds to make accessible important serial publications from Mexico, Argentina, Brazil, and Bolivia preserved by the Benson Latin American Collection at the University of Texas. LAMP also continued its support of the organization and filming of the personal papers of noted Afro-Brazilian rights activist Abidas Nascimento. LAMP microfilmed from the collection of the University of Connecticut several rare Paraguayan newspapers (1894–95) representing the divergent opinions of the two dominant political parties during the first Colorado Era near the turn of the century.

- **The Middle East Microform Project (MEMP)** filmed several opposition newspapers, including complete runs of the important Iranian publication *Kayhan*,...
based in London; and al-Quds al-Arabi, edited by Abdel Bari Atwan. MEMP also has focused attention on Diaspora newspapers, including al-Funun, an Arabic newspaper from Montreal, and al-Ufuq al-‘Arabi, an Arab-American newspaper from Chicago. MEMP continues to explore possibilities of archiving Web sites and is developing a pilot project to archive Middle Eastern Diaspora Web sites.

- The South Asia Microform Project (SAMP) continues to make accessible Nepali newspapers held by Madan Puraskar Pustakalaya (Lalitpur, Nepal) as well as Bengali journals filmed by the Centre for Studies in Social Sciences, Calcutta. SAMP recently purchased microfilm of Ceylon Times from the British Library and completed filming of Maru’i, a Sindhi literary publication from Ahmedabad, India.

- The Southeast Asia Microform Project (SEAM) embarked on a major effort to microfilm Vietnamese newspapers written in Chinese (Ha Nom) script. SEAM transferred the newspapers from the National Library of Vietnam to the Philippines for microfilming by Ateneo de Manila University. Other projects include the continuation of microfilming the various short runs of Southeast Asian and Vietnamese newspaper titles held by Cornell University, current filming of the regional Indonesian newspaper Radar Bogor, and acquisition of the rarely held Philippine Star (1986–2007), an important source for financial and technology news from the Philippines.

- The Slavic and East European Microfilm Project (SEEMP) concluded a program to microfilm Russian regional newspapers documenting the significant transformation within Russia from 1990 to present. SEEMP also approved significant funding to preserve additional years of the critical Bosnian newspaper Oslobodenje.

**DIRECT SUPPORT FOR COLLECTIONS AND ACCESS**

**Purchase Proposal Program**

Based on members’ votes, CRL purchased ten microform sets of rare materials, international archives, and gender and cultural studies for a list price value of $172,370 in this year’s Purchase Proposal Program:

- **American Religions Collection:**

- **Confidential U.S. State Department Central Files:** Biafra-Nigeria, 1967–1969.

- **Foreign Office Files for Japan and the Far East:** Series One: Embassy & Consular Archives—Japan (1905–1940):
  - Part 1: Correspondence to and from Japan, 1905–1920 (PRO Class FO 262/1466–1511 & 2033–2034).
  - Part 2: Detailed Correspondence for 1921–1923 (PRO Class FO 262/1512–1601).
  - Part 3: Detailed Correspondence for 1924–1926 (PRO Class FO 262/1512–1601).
  - Part 4: Detailed Correspondence for 1927–1929 (PRO Class FO 262/1673–1741).

- **Grassroots Feminist Organizations, Parts 1 and 2.**

- **Incunabula:** The Printing Revolution in Europe 1455–1500:
  - Units 46 & 47: German Vernacular Literature.
  - Unit 56 & 57: Bibles and Commentaries parts 1 & 2.
  - Unit 58 & 59: Bibles and Commentaries parts 3 & 4.
• Receipt Books, c1575–1800, from the Folger Shakespeare Library.
• Sex and Sexuality 1640–1940, Part 5: Gay Literature from Anacreon to John Addington Symonds from the British Library London.
• Shanghai Political and Economic Reports 1842–1943: British Government Records from the International City.
• Vogue (London); also known as British Vogue.

More detail on these purchases can be found at: http://www.crl.edu/files/pp09purchases.pdf.

Shared Purchase Program
CRL arranges for collaborative purchases as needs arise, and develops purchases based on high interest titles for which there was not enough purchase proposal funding. In the Shared Purchase Program, CRL and the nominating library provide seed money for the purchase and CRL staff solicit partners in the purchase.

In FY2009, besides the Shared Purchase ballot, CRL issued a special ballot to aid a CRL library (the University of Colorado at Boulder) that had an economics scholar involved in research for an NSF grant titled “What Explains Modern Economic Growth? New Evidence from a Comparison of China and Europe.” Her research required the detailed quantitative analysis of conditions at the turning point of modern economic growth between the 18th and the 20th centuries. She needed access to a 170-volume set held only by Harvard and Berkeley in the U.S. The Zhong guo jiu hai guan shi liao 1859–1948, a complete publication of the original sources of the British maritime customs service when they were located in China, is the only source covering international trade in China in this period that is fully comprehensive in scope.

The University of Colorado at Boulder and CRL pledged more than half the money needed to fund the purchase. Then CRL sent the special ballot to libraries with significant East Asian collections. Another four member libraries—the University of California, Irvine; Cornell University; Indiana University; and the University of Oregon—contributed to fully fund this purchase. CRL's Member Liaison facilitated special ILL borrowing of a limited number of volumes from this title to bridge the gap until the purchase arrived at CRL. The Summer 2009 FOCUS on Global Resources newsletter featured the story as an example of CRL's ability to respond the unique, unanticipated needs of its members.

In FY2009 through the Shared Purchase Program, CRL added the following titles to its shared collection, representing a total list price of $53,694:
• Zhong guo jiu hai guan shi liao (described above).
• Bibliothek der Frauenfrage in Deutschland collection Supplements 1 and 2.
• Flugschriften des 17. Jahrhunderts in der Staats- und Stadtbibliothek Augsburg [17th-century Pamphlets in the Augsburg State and City Library].
• Hebrew, Judeo-Arabic, and Marathi Jewish Printing in India.

More detail on these purchases can be found at: http://www.crl.edu/files/FY2009SharedPurchases.pdf.

Along with CRL, the following libraries contributed funds for these shared purchases: University of Alabama; University of California, Irvine; University of California, Los Angeles; University of Colorado; Columbia University; Cornell University; Duke University; Indiana University; University of Iowa; Marquette University; University of North Carolina at Chapel Hill; University of Oregon; University of Pennsylvania; Valparaiso University; and Yale University.
**Access**

**Metadata and Cataloging Statistics**

*July 2008–June 2009*

| Metadata added to records for items digitized | 3,352 |
| Dissertations | 14,524 |
| CRL monographs | 29,479 (783 Area Studies) |
| CRL serials | 1,306 (252 Area Studies) |
| CRL newspapers | 5,124 (283 Area Studies) |
| Total Cataloged | 50,433 (1,318 Area Studies) |

CRL’s efficient cataloging practices resulted in significant strides in greater access to its collections:

- The *Summer 2008 FOCUS on Global Resources* highlighted the recently completed cataloging of the foreign doctoral dissertations collection.
- CRL improved access to its rich collection of U.S. newspapers by implementing a search for CRL newspapers by U.S. ethnic group, from the newly added newspaper scope search page in its online catalog.
- Prioritizing materials to support an international focus, CRL completed cataloging of monographs on microfiche acquired through the LC Cooperative Acquisition Program (previously PL480) and began cataloging serials from the same program.
- CRL also completed cataloging of Civilian Conservation Corps camp papers. The collection includes approximately 4,700 titles, each with an individual record. CRL catalog’s newspaper scope has added dedicated search by geographic area or company number. Previous access had been through a set record in CRL’s catalog and WorldCat.

**Interlibrary Loan and Article Document Delivery**

FY2009 proved to be a productive year for CRL’s Access Services. Requests were up by five percent compared to FY2008, with a seven-percent increase in document delivery requests. Requests filled digitally showed an increase of 69 percent with an increase of 59 percent of digital pages delivered.

CRL also began using other digital resources for filling requests. Staff filled 15 requests through the British Library’s EThOS system, and 60 requests through other sources such as Google’s e-collection. ILL traffic substantially increased with some CRL consortium members. CRL saw a six-percent increase in OhioLINK requests, a 101-percent increase in Article Reach requests, and a 130-percent increase in Connect NY requests. CRL maintained a fill rate of 64 percent.

**Access Statistics**

- Total Filled Requests: 48,971
- Requests Filled by Loan: 13,260
- Items Sent by Loan: 76,714
- Digital Requests Filled: 2,346
- Digital Pages Delivered: 614,794
- Document Delivery Requests Filled: 15,719
- Downloads of Digital Titles in FY2009 Increased by 25% to 11,777.

CRL’s Access Services requests filled digitally showed an increase of 69 percent with an increase of 59 percent of digital pages delivered.
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- University of California, Berkeley
- University of California, Los Angeles
- University of California, Santa Barbara
- Columbia University
- Duke University
- Georgetown University
- Harvard University
- University of Illinois at Urbana-Champaign
- Indiana University
- University of Iowa
- Library of Congress
- University of Michigan
- University of Minnesota
- New York Public Library
- New York University
- University of North Carolina
- University of Pennsylvania
- Princeton University
- Stanford University
- University of Southern California
- University of Texas at Austin
- University of Washington
- Washington University in St. Louis
- Yale University

New York University
- University of North Carolina at Chapel Hill
- University of Notre Dame
- Ohio State University
- Ohio University
- University of Pennsylvania
- University of Pittsburgh
- Princeton University
- Universidad de Puerto Rico
- Rice University
- Rutgers University
- Universidad de San Andres
- University of South Florida
- University of Southern California
- Syracuse University
- University of Texas at Austin
- University of Toronto
- Tulane University
- Vanderbilt University
- The University of the West Indies
- University of Wisconsin-Madison
- Yale University Library
<table>
<thead>
<tr>
<th>South Asia Microform Project (SAMP)</th>
<th>Southeast Asian Microform Project (SEAM)</th>
<th>Slavic and Eastern European Microform Project (SEEMP)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Members</strong></td>
<td><strong>Members</strong></td>
<td><strong>Members</strong></td>
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<tr>
<td>University of British Columbia</td>
<td>Arizona State University</td>
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</tr>
<tr>
<td>Brown University</td>
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<td>University of California, Berkeley</td>
<td>University of California, Irvine</td>
<td>University of California, Berkeley</td>
</tr>
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<td>University of California, Los Angeles</td>
<td>University of California, Los Angeles</td>
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<td>University of Chicago</td>
<td>University of Chicago</td>
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<td>Cornell University</td>
<td>Cornell University</td>
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<tr>
<td>Emory University</td>
<td>Harvard University</td>
<td>Harvard University</td>
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<tr>
<td>Harvard University</td>
<td>University of Hawaii</td>
<td>University of Hawaii</td>
</tr>
<tr>
<td>University of Hawaii</td>
<td>Library of Congress</td>
<td>Library of Congress</td>
</tr>
<tr>
<td>University of Illinois at Urbana-Champaign</td>
<td>University of Michigan</td>
<td>University of Michigan</td>
</tr>
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<td>Indiana University</td>
<td>University of North Carolina</td>
<td>University of North Carolina</td>
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<tr>
<td>Kansas State University</td>
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<td>University of Michigan</td>
<td>Princeton University</td>
<td>Princeton University</td>
</tr>
<tr>
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<td>Royal Institute of Linguistics</td>
<td>Royal Institute of Linguistics</td>
</tr>
<tr>
<td>University of Missouri-Columbia</td>
<td>and Anthropology (KITLV), Netherlands</td>
<td>and Anthropology (KITLV), Netherlands</td>
</tr>
<tr>
<td>New School University</td>
<td>University of Washington</td>
<td>University of Washington</td>
</tr>
<tr>
<td>New York Public Library</td>
<td>University of Wisconsin-Madison</td>
<td>University of Wisconsin-Madison</td>
</tr>
<tr>
<td>University of North Carolina</td>
<td>Yale University</td>
<td>Yale University</td>
</tr>
<tr>
<td>North Carolina State University</td>
<td></td>
<td></td>
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<tr>
<td>Ohio State University</td>
<td></td>
<td></td>
</tr>
<tr>
<td>University of Pennsylvania</td>
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<tr>
<td>Princeton University</td>
<td></td>
<td></td>
</tr>
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<td>Rutgers University</td>
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<td></td>
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<td>Syracuse University</td>
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<td>University of Texas at Austin</td>
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<tr>
<td>University of Toronto</td>
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<tr>
<td>University of Virginia</td>
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<tr>
<td>University of Washington</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Washington University in St. Louis</td>
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<tr>
<td>University of Wisconsin-Madison</td>
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<tr>
<td>Yale University</td>
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<td></td>
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</tr>
</tbody>
</table>
REPORT OF INDEPENDENT AUDITORS

To the Board of Directors of
Center for Research Libraries

We have audited the accompanying statement of financial position of Center for Research Libraries (an Illinois nonprofit organization) (the Center) as of June 30, 2009 and the related statements of activities and cash flows for the year then ended. These financial statements are the responsibility of the Center's management. Our responsibility is to express an opinion on these financial statements based on our audit. The financial statements of Center for Research Libraries as of June 30, 2008, were audited by other auditors whose report dated November 6, 2008, expressed an unqualified opinion on those statements.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States. Those standards require that we plan and perform an audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by the Center’s management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Center for Research Libraries, as of June 30, 2009, and the changes in its net assets and its cash flows for the year then ended, in conformity with accounting principles generally accepted in the United States of America.

In accordance with Government Auditing Standards, we have also issued our report dated February 12, 2010, on our consideration of Center for Research Libraries internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of our testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with Government Auditing Standards and should be considered in assessing the results of our audit.
Our audit was conducted for the purpose of forming an opinion on the basic financial statements taken as a whole. The supplementary information on pages 19 through 21 is presented for purposes of additional analysis and is not a required part of the basic financial statements. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by U.S. Office of Management and Budget Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations, and is also not a required part of the financial statements of the Center for Research Libraries. The supplementary information and schedule of expenditures of federal awards have been subjected to the auditing procedures applied in the audits of the basic financial statements and, in our opinion, is fairly stated in all material respects in relation to the basic financial statements taken as a whole.

February 12, 2010
REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING
AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT
OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH
GOVERNMENT AUDITING STANDARDS

To the Board of Directors of
Center for Research Libraries

We have audited the financial statements of the Center for Research Libraries (the Center) as of and for the year ended June 30, 2009 and have issued our report thereon dated February 12, 2010. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States.

INTERNAL CONTROL OVER FINANCIAL REPORTING

In planning and performing our audit, we considered the Center's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Center's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Center's internal control over financial reporting.

Our consideration of internal control over financial reporting was for the limited purpose described in the preceding paragraph and would not necessarily identify all deficiencies in internal control over financial reporting that might be significant deficiencies or material weaknesses. However, as discussed below, we identified a certain deficiency in internal control over financial reporting that we consider to be a significant deficiency.

A control deficiency exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect misstatements on a timely basis.
A significant deficiency is a control deficiency, or combination of control deficiencies, that adversely affects the Center’s ability to initiate, authorize, record, process, or report financial data reliably in accordance with generally accepted accounting principles, such that there is more than a remote likelihood that a misstatement of the Center’s financial statements that is more than inconsequential will not be prevented or detected by the Center’s internal control. We consider the deficiency identified at 2009-1 described in the accompanying schedule of findings and questioned costs to be a significant deficiency in internal control over financial reporting.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that a material misstatement of the financial statements will not be prevented or detected by the Center’s internal control.

Our consideration of the internal control over financial reporting was for the limited purpose described on the preceding page of this section and would not necessarily identify all deficiencies in the internal control that might be significant deficiencies and, accordingly, would not necessarily disclose all significant deficiencies that are also considered to be material weaknesses. However, we believe that none of the significant deficiencies described above is a material weakness.

**COMPLIANCE AND OTHER MATTERS**

As part of obtaining reasonable assurance about whether the Center's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under Government Auditing Standards.

We noted certain matters that we reported to management of the Center in a separate letter dated February 12, 2010.

The Center's responses to the finding identified in our audit are described in the accompanying schedule of findings and questioned costs. We did not audit the Center's responses and, accordingly, we express no opinion on them.

This report is intended solely for the information and use of management, others within the entity, federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

February 12, 2010
REPORT ON COMPLIANCE WITH REQUIREMENTS
APPLICABLE TO EACH MAJOR PROGRAM AND ON
INTERNAL CONTROL OVER COMPLIANCE
IN ACCORDANCE WITH OMB CIRCULAR A-133

To the Board of Directors of
Center for Research Libraries

COMPLIANCE

We have audited the compliance of Center for Research Libraries (the Center) with types of compliance requirements described in the U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement that are applicable to each of its major federal programs for the year ended June 30, 2009. The Center’s major federal programs are identified in the summary of auditors’ results section of the accompanying summary schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the Center’s management. Our responsibility is to express an opinion on the Center’s compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States, and OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Center’s compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of the Center’s compliance with those requirements.

In our opinion, Center for Research Libraries complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 2009.
INTERNAL CONTROL OVER COMPLIANCE

The management of the Center is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the Center’s internal control over compliance with the requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Center’s internal control over compliance.

A control deficiency in an entity’s internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect noncompliance with a type of compliance requirement of a federal program on a timely basis.

A significant deficiency is a control deficiency, or combination of control deficiencies, that adversely affects the entity’s ability to administer a federal program such that there is more than a remote likelihood that noncompliance with a type of compliance requirement of a federal program that is more than inconsequential will not be prevented or detected by the entity’s internal control.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that material noncompliance with a type of compliance requirement of a federal program will not be prevented or detected by the entity’s internal control.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in internal control that might be significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

This report is intended solely for the information and use of management, others within the entity, the Illinois Department of Commerce and Economic Opportunity, federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

Legacy Professionals LLC

February 12, 2010
### CENTER FOR RESEARCH LIBRARIES
### STATEMENTS OF FINANCIAL POSITION
#### JUNE 30, 2009 AND 2008

<table>
<thead>
<tr>
<th></th>
<th>2009</th>
<th>2008</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>ASSETS</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cash and cash equivalents</td>
<td>$4,802,487</td>
<td>$3,865,740</td>
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<tr>
<td>Investments</td>
<td>1,608,889</td>
<td>1,582,750</td>
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<tr>
<td>Accounts receivable</td>
<td>124,999</td>
<td>143,115</td>
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<tr>
<td>Grants receivable</td>
<td>316,755</td>
<td>225,903</td>
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<tr>
<td>Prepaid expenses and other</td>
<td>114,304</td>
<td>25,170</td>
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<tr>
<td>Deferred financing costs</td>
<td>89,476</td>
<td>95,068</td>
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<tr>
<td>Property and equipment - net</td>
<td>3,990,057</td>
<td>4,243,306</td>
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<tr>
<td><strong>Total assets</strong></td>
<td><strong>$11,046,967</strong></td>
<td><strong>$10,181,052</strong></td>
</tr>
</tbody>
</table>

| **LIABILITIES AND NET ASSETS** |          |          |
| **LIABILITIES**               |          |          |
| Accounts payable and accrued expenses | $469,194  | $503,029  |
| Deferred revenue              | 3,591,433 | 3,617,006 |
| Loan payable                  | 1,539,474 | 1,634,211 |
| **Total liabilities**         | **5,600,101** | **5,754,246** |

| **NET ASSETS**               |          |          |
| Unrestricted                 |          |          |
| Operating                    | 1,432,918 | 1,254,924 |
| Area Microform Projects      | 621,600   | 449,588  |
| Net investment in property and equipment | 2,450,583 | 2,609,095 |
| **Total**                    | **4,505,101** | **4,313,607** |
| Temporarily restricted        | 941,765   | 113,199  |
| **Total net assets**         | **5,446,866** | **4,426,806** |
| **Total liabilities and net assets** | **$11,046,967** | **$10,181,052** |

See accompanying notes to financial statements.
## Center for Research Libraries

### Statements of Activities

**Years Ended June 30, 2009 and 2008**

<table>
<thead>
<tr>
<th>Revenue and Other Support</th>
<th>2009</th>
<th>2008</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Unrestricted</td>
<td>Temporarily Restricted</td>
</tr>
<tr>
<td>Membership cost share</td>
<td>$ 5,321,939</td>
<td>$ -</td>
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<tr>
<td>Area Microform Projects</td>
<td>799,375</td>
<td>-</td>
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<tr>
<td>Grants</td>
<td>631,375</td>
<td>930,000</td>
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<tr>
<td>Cataloging revenue</td>
<td>141,045</td>
<td>-</td>
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<tr>
<td>Newspaper microfilm sales</td>
<td>163,548</td>
<td>-</td>
</tr>
<tr>
<td>Access service sales</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Microform sales</td>
<td>37,845</td>
<td>-</td>
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<tr>
<td>Newspaper microfilm fees</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Interest income</td>
<td>63,909</td>
<td>-</td>
</tr>
<tr>
<td>Miscellaneous income</td>
<td>57,147</td>
<td>-</td>
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<tr>
<td><strong>Net assets released from restriction</strong></td>
<td>101,424 (101,434)</td>
<td>-</td>
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<tr>
<td><strong>Total revenue and other support</strong></td>
<td>7,317,617</td>
<td>828,566</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Expenses</th>
<th>2009</th>
<th>2008</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program</td>
<td>5,196,645</td>
<td>-</td>
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<tr>
<td>Management and general</td>
<td>575,158</td>
<td>-</td>
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<tr>
<td>Fundraising</td>
<td>80,594</td>
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<tr>
<td><strong>Total expenses</strong></td>
<td>5,852,397</td>
<td>-</td>
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</table>

<table>
<thead>
<tr>
<th>Change in Net Assets before Changes Related to Collection Items Not Capitalized</th>
<th>2009</th>
<th>2008</th>
</tr>
</thead>
<tbody>
<tr>
<td>Collection Items Not Capitalized</td>
<td>1,465,220</td>
<td>828,566</td>
</tr>
<tr>
<td>Change in Net Assets</td>
<td>1,273,726</td>
<td>-</td>
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</tbody>
</table>

Net Assets

<table>
<thead>
<tr>
<th></th>
<th>2009</th>
<th>2008</th>
</tr>
</thead>
<tbody>
<tr>
<td>Beginning of year</td>
<td>4,313,607</td>
<td>113,199</td>
</tr>
<tr>
<td>End of year</td>
<td>$ 4,505,101</td>
<td>$ 941,765</td>
</tr>
</tbody>
</table>

See accompanying notes to financial statements.
### CENTER FOR RESEARCH LIBRARIES

#### Statements of Cash Flows

**Years Ended June 30, 2009 and 2008**

<table>
<thead>
<tr>
<th></th>
<th>2009</th>
<th>2008</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Cash Flows from Operating Activities</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Member cost share and non-member fees received</td>
<td>$6,513,442</td>
<td>$5,539,844</td>
</tr>
<tr>
<td>Grants and contributions received</td>
<td>1,470,523</td>
<td>612,409</td>
</tr>
<tr>
<td>Interest received</td>
<td>63,909</td>
<td>177,154</td>
</tr>
<tr>
<td>Cash paid to suppliers and employees</td>
<td>(5,483,825)</td>
<td>(4,912,602)</td>
</tr>
<tr>
<td>Interest paid</td>
<td>(40,861)</td>
<td>(58,972)</td>
</tr>
<tr>
<td>Net cash provided by operating activities</td>
<td>2,523,188</td>
<td>1,357,833</td>
</tr>
</tbody>
</table>

| **Cash Flows from Investing Activities** |         |         |
| Purchase of investments                  | (26,139) | (62,502) |
| Purchase of collections                  | (1,273,726) | (1,030,830) |
| Purchase of property and equipment       | (191,839) | (163,786) |
| Net cash (used in) by investing activities| (1,491,704) | (1,257,138) |

| **Cash Flows from Financing Activities** |         |         |
| Repayment of loan                        | (94,737) | (94,736) |

| **Net Change in Cash and Cash Equivalents** |         |         |
|                                              | 936,747  | 5,959   |

| **Cash and Cash Equivalents** |         |         |
| Beginning of year                | 3,865,740 | 3,859,781 |
| End of year                      | $4,802,487 | $3,865,740 |

### Reconciliation of Change in Net Assets to Net Cash Provided by Operating Activities

<table>
<thead>
<tr>
<th></th>
<th>2009</th>
<th>2008</th>
</tr>
</thead>
<tbody>
<tr>
<td>Change in net assets</td>
<td>$1,020,060</td>
<td>9,664</td>
</tr>
<tr>
<td>Adjustments to reconcile change in net assets to net cash provided by operating activities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Collections purchased</td>
<td>1,273,726</td>
<td>1,030,850</td>
</tr>
<tr>
<td>Depreciation expense</td>
<td>445,088</td>
<td>452,294</td>
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<tr>
<td>Changes in operating assets and liabilities</td>
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<td></td>
</tr>
<tr>
<td>Accounts receivable</td>
<td>18,116</td>
<td>23,140</td>
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<tr>
<td>Grants receivable</td>
<td>(90,852)</td>
<td>23,360</td>
</tr>
<tr>
<td>Prepaid expenses and other</td>
<td>(89,134)</td>
<td>7,284</td>
</tr>
<tr>
<td>Deferred financing costs</td>
<td>5,592</td>
<td>5,592</td>
</tr>
<tr>
<td>Accounts payable and accrued expenses</td>
<td>(33,835)</td>
<td>77,704</td>
</tr>
<tr>
<td>Deferred revenue</td>
<td>(25,573)</td>
<td>(272,055)</td>
</tr>
<tr>
<td></td>
<td>1,503,128</td>
<td>1,348,169</td>
</tr>
</tbody>
</table>

| **Net Cash provided by operating activities** |         |         |
|                                              | $2,523,188 | $1,357,833 |

See accompanying notes to financial statements.
CENTER FOR RESEARCH LIBRARIES

NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2009

NOTE 1.  NATURE OF ACTIVITIES

Nature of Activities - The Center is a membership consortium of institutions with significant academic and research libraries. The Center’s mission is to foster and advance scholarly and scientific research through cost-effective, cooperative programs that provide reliable access through traditional and electronic means to unique and unusual collections of library materials in all appropriate formats, international in scope and comprehensive in disciplines.

A majority of the Center’s revenue is derived from membership cost share assessments. Other revenue and support consists of grants and sales of materials reproduced.

The following provides a brief description of the Center’s program services:

Collections Storage and Maintenance - The Center maintains active and inactive collections consisting of an estimated five million volumes or equivalents in its repository facility. These collections are in paper, microform and electronic media. Activities associated with storage and physical maintenance of the Center’s film and paper collections include sorting, shelving, labeling, housing, binding conservation work. Also included are the costs of maintaining, monitoring and improving the portion of the building dedicated to collections storage, including climate control and other functions.

Acquisitions - This program consists of activities supporting the acquisition and processing of collection materials, including surveying of members on collection needs, selection and subscription costs, ordering, transporting and receiving materials.

Conversion of Materials - The Center preserves unique and at-risk cultural and historical materials through microfilming and digital conversion. Materials are organized, analyzed, collated and shipped to and from service providers by the Center and partner organizations. Film and digital copies are produced and distributed.

Service and Delivery - The Center delivers an estimated 33 million pages of collection materials to member institutions and other clients each year. Activities include receiving and processing requests for the Center’s collection materials from member libraries and clients, retrieval and preparation of materials for delivery, shipping, fulfillment of electronic document delivery requests, receipt and re-shelving of returned materials and fulfillment and processing of member purchase requests for individual items.
NOTE 1. NATURE OF ACTIVITIES (CONTINUED)

Cataloging and Metadata - This program involves production and processing of preliminary and final catalog records, finding aids for the Center’s collection materials and metadata for electronic resources including those produced and/or acquired by the Center.

Area Microform Projects (AMPS) - This program involves specific support for the Area Microform Projects and other self-funded area studies projects undertaken by the Center for partner organizations. Activities include identification and procurement of materials for preservation, microfilming and digitization as well as conversion and cataloging of those materials.

Grants - The Center receives grants to fund preservation, cataloging, union lists, acquisitions and other activities, either independently or as a partner in cooperative projects. These grants are normally from private philanthropies or from the U.S. federal government.

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting - The Center’s financial statements are prepared on the accrual basis of accounting in accordance with generally accepted accounting principles.

Financial Statement Presentation - Financial statement presentation follows the recommendations of the Financial Accounting Standards Board in its Statement of Financial Accounting Standards (SFAS) No. 117, Financial Statements of Not-for-Profit Organizations. Under SFAS No. 117, the Center is required to report information regarding its financial position and activities in three classes of net assets: unrestricted net assets, temporarily restricted net assets and permanently restricted net assets. Net assets are generally reported as unrestricted unless assets are received from donors with explicit stipulations that limit the use of the asset. The Center has determined that no net assets are permanently restricted as of June 30, 2009.

Cash and Cash Equivalents - The Center considers its checking account balances to be cash and cash equivalents. Short-term investments are not considered to be cash and cash equivalents.

Investments - The investments of the Center are reported at fair value. The fair value of a financial instrument is the amount that would be received to sell that asset (or paid to transfer a liability) in an orderly transaction between market participants at the measurement date (the exit price).

The money market fund is valued at cost which approximates its fair value.

Purchases and sales of the investments are reflected on a trade-date basis.
NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

New Accounting Pronouncements - The Financial Accounting Standards Board (FASB) has issued SFAS No. 157, *Fair Value Measurements*, which defines fair value, establishes a framework for measuring fair value, and expands disclosures about fair value measurements. SFAS 157 applies to reporting periods beginning after November 15, 2007. As of July 1, 2008, the Foundation has adopted SFAS 157. (See Note 5, Fair Value Measurements.) There was no material impact to the financial statements upon adoption of SFAS 157.

Accounts and Grants Receivable - Accounts and grants receivable are stated at the amounts that the Center expects to collect from outstanding balances. The Center performs in-house collections as necessary based on specific circumstances. Bad debts, which are typically minimal, are written off as incurred.

Deferred Financing Costs - The costs incurred in obtaining the Center’s loan have been deferred and are being amortized over the life of the loan starting in fiscal year 2001.

Property and Equipment - Property and equipment with a cost in excess of $1,000 and a useful life greater than one year are recorded at cost and depreciated over the estimated useful lives of the assets. Estimated lives range from three to ten years for furniture and equipment and thirty years for the building and improvements. Upon sale or retirement, the cost and related accumulated depreciated are eliminated from the respective accounts and the resulting gain or loss is included in the statements of activities. Property and equipment are reviewed for impairment when events or changes in circumstances indicate that the carrying amount of the assets may not be recoverable. There were no impairment charges for the years ended June 30, 2009 or June 30, 2008.

Collections - The Center has an extensive collection of library materials, which is held for research purposes. The materials were acquired through purchases and contributions since the Center’s inception. The Center has adopted a policy of not capitalizing the collections in its financial statements.

Purchases of collection items are recorded as decreases in unrestricted net assets in the year in which the items are acquired and a release of temporarily restricted net assets if acquired with these funds. Contributed collection items are not reflected in the financial statements. Proceeds from disposals or insurance recoveries are reflected as increases in the appropriate net assets class.

Contributions - Contributions received are recorded as unrestricted or temporarily restricted support, depending on the existence and nature of any donor-imposed restrictions on the use of the funds. Contributions reported as temporarily restricted support are released to unrestricted net assets when the donor restriction expires, that is, when a stipulated time restriction ends or a purpose restriction is accomplished, and are reported in the statements of activities as net assets released from restrictions.
**NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)**

**Revenue Recognition** - Membership cost share assessments are recognized as revenue during the membership year. Dues received in advance of the membership year are accounted for as deferred revenue at year-end. Revenue from cost reimbursement type grants is recognized as grant related costs are incurred. Grant funds received in advance are accounted for as deferred revenue. AMPS funds received for projects during the year are recognized as unrestricted revenue. Those AMPS funds received in advance of the project year are accounted for as deferred revenue.

**Allocation of Expenses** - The costs of providing the Center’s various programs and supporting services have been summarized on a functional basis in the statements of activities. Accordingly, certain costs have been allocated among the programs and supporting services on the basis of direct salary expenses, physical space occupied and management estimates.

**Income Taxes** - The Center is exempt from federal income taxes under Section 501(c)(3) of the Internal Revenue Code. Accordingly, there is no provision for income taxes recorded in the accompanying financial statements.

**Management Estimates and Assumptions** - The preparation of financial statements in conformity with accounting principles generally accepted in the United States requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results could differ from those estimates.

**Subsequent Events** - In preparing these financial statements, the Center has evaluated events and transactions for potential recognition or disclosure through February 12, 2010, the date the financial statements were available to be issued.

**NOTE 3. COLLECTIONS**

The Center's collections are made up of a wide array of publications and print material, international in scope, that are held for educational, research, scientific and curatorial purposes. New acquisitions as well as large segments of existing collections are catalogued. All holdings are preserved and their existence and condition are continuously monitored. The collections are subject to a policy that requires proceeds from their sales to be used to acquire other collection items. No collection items were disposed of through sales during June 30, 2009 and 2008.

**NOTE 4. INVESTMENTS**

The Center’s investments consist of a money market fund held by Vanguard at a cost and market value of $1,608,889 at June 30, 2009 and $1,582,750 at June 30, 2008. The interest rate at June 30, 2009 and 2008 was .34% and 2.23%, respectively. Interest revenue on the money market fund is reinvested.
NOTE 5.  FAIR VALUE MEASUREMENTS

As of July 1, 2008, the Center adopted SFAS No. 157, *Fair Value Measurements*. SFAS 157 establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy under SFAS 157 are described below:

**Basis of Fair Value Measurement**

- **Level 1**: Unadjusted quoted prices in active markets that are accessible at the measurement date for identical, unrestricted assets or liabilities
- **Level 2**: Quoted prices in markets that are not considered to be active or financial instruments for which all significant inputs are observable, either directly or indirectly
- **Level 3**: Prices or valuations that require inputs that are both significant to the fair value measurement and unobservable

The following table sets forth, by level within the fair value hierarchy, the Center's investment assets at fair value as of June 30, 2009. As required by SFAS 157, assets and liabilities are classified in their entirety based on the lowest level of input that is significant to the fair value measurement. The Center had no investment assets at fair value classified within Level 3 at June 30, 2009.

<table>
<thead>
<tr>
<th>Quoted Prices in Active Markets for Identical Assets</th>
<th>Significant Other Observable Inputs (Level 2)</th>
<th>Significant Unobservable Inputs (Level 3)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Total</strong></td>
<td><strong>$ 1,608,889</strong></td>
<td><strong>$ -</strong></td>
</tr>
<tr>
<td><strong>Money market fund</strong></td>
<td><strong>$ 1,608,889</strong></td>
<td><strong>$ -</strong></td>
</tr>
</tbody>
</table>
NOTE 6.  PREPAID EXPENSES AND OTHER

Prepaid expenses and other consist of the following as of June 30, 2009 and 2008:

<table>
<thead>
<tr>
<th></th>
<th>2009</th>
<th>2008</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prepaid - insurance</td>
<td>$78,535</td>
<td>$-</td>
</tr>
<tr>
<td>Prepaid - other</td>
<td>$35,769</td>
<td>$25,170</td>
</tr>
<tr>
<td><strong>Total prepaid expenses</strong></td>
<td><strong>$114,304</strong></td>
<td><strong>$25,170</strong></td>
</tr>
</tbody>
</table>

In the course of cataloging its dissertations collection, the Center incurs usage fees to access the Online Computer Library Center (OCLC) database and also earns from OCLC by establishing records on the database. The Center has no earned cumulative net credits as of June 30, 2009 and 2008, respectively. Credits will be applied to future use of OCLC services.

NOTE 7.  PROPERTY AND EQUIPMENT

Property and equipment consisted of the following as of June 30 2009 and 2008:

<table>
<thead>
<tr>
<th></th>
<th>2009</th>
<th>2008</th>
</tr>
</thead>
<tbody>
<tr>
<td>Land</td>
<td>$128,446</td>
<td>$128,446</td>
</tr>
<tr>
<td>Building and improvements</td>
<td>$10,448,880</td>
<td>$10,299,961</td>
</tr>
<tr>
<td>Furniture and equipment</td>
<td>$1,838,251</td>
<td>$1,795,328</td>
</tr>
<tr>
<td></td>
<td>$12,415,577</td>
<td>$12,223,735</td>
</tr>
<tr>
<td>Less accumulated depreciation</td>
<td>(8,425,520)</td>
<td>(7,980,429)</td>
</tr>
<tr>
<td></td>
<td>$3,990,057</td>
<td>$4,243,306</td>
</tr>
</tbody>
</table>

NOTE 8.  ACCOUNTS PAYABLE AND ACCRUED EXPENSES

Accounts payable and accrued expenses consisted of the following as of June 30 2009 and 2008:

<table>
<thead>
<tr>
<th></th>
<th>2009</th>
<th>2008</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accounts payable</td>
<td>$80,464</td>
<td>$144,137</td>
</tr>
<tr>
<td>Accrued vacation</td>
<td>192,451</td>
<td>173,369</td>
</tr>
<tr>
<td>Accrued salaries and withholdings</td>
<td>132,371</td>
<td>122,467</td>
</tr>
<tr>
<td>Other accrued expenses</td>
<td>63,908</td>
<td>63,056</td>
</tr>
<tr>
<td></td>
<td><strong>$469,194</strong></td>
<td><strong>$503,029</strong></td>
</tr>
</tbody>
</table>
NOTE 9. DEFERRED REVENUE

The Center regularly bills in advance of the upcoming fiscal year for membership cost share assessments and AMPS membership. Those membership payments that are received for future fiscal years are held as deferred revenue and recognized in the fiscal year for which they were issued. Occasionally, grant payments made in advance of a future fiscal year are held as deferred revenue. As of June 30, 2009 and 2008, deferred revenue was as follows:

<table>
<thead>
<tr>
<th></th>
<th>2009</th>
<th>2008</th>
</tr>
</thead>
<tbody>
<tr>
<td>Deferred membership cost share</td>
<td>$3,533,103</td>
<td>$3,509,339</td>
</tr>
<tr>
<td>AMPS deferred revenue</td>
<td>45,005</td>
<td>70,000</td>
</tr>
<tr>
<td>Grants deferred</td>
<td>13,325</td>
<td>37,667</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$3,591,433</strong></td>
<td><strong>$3,617,006</strong></td>
</tr>
</tbody>
</table>

NOTE 10. LOAN PAYABLE

The Center obtained a $2,400,000 loan during fiscal year-ended 2001 under the Illinois Educational Facilities Authority’s Cultural Pooled Financing Program. The loan is collateralized by a bank standby letter of credit pursuant to a reimbursement agreement. To secure its obligations under the reimbursement agreement, the Center has provided a mortgage and security agreement to the bank mortgaging its real property and granting a security interest in its personal property.

The financial covenants to the reimbursement agreement provide that the Center maintain a debt service coverage ratio of 1.1 to 1.0 measured semi-annually on each June 30 and December 31, on a rolling 12 month basis, and to maintain certain levels of unrestricted liquid cash and investments. These covenants were met for the years ended June 30, 2009 and 2008.

The loan is repayable in monthly installments of $7,895, plus interest at a variable rate of 2.11% and 1.71% at June 30, 2009 and 2008, respectively, with a final maturity in 2025. Interest expense for the year ended June 30, 2009 and 2008 was $40,861 and $58,972 respectively.

Principal payments are as follows:

<table>
<thead>
<tr>
<th>Year Ending June 30,</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>2010</td>
<td>$94,736</td>
</tr>
<tr>
<td>2011</td>
<td>94,736</td>
</tr>
<tr>
<td>2012</td>
<td>94,736</td>
</tr>
<tr>
<td>2013</td>
<td>94,736</td>
</tr>
<tr>
<td>2014</td>
<td>94,736</td>
</tr>
<tr>
<td>Thereafter</td>
<td>1,065,794</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$1,539,474</strong></td>
</tr>
</tbody>
</table>
NOTE 11. TEMPORARILY RESTRICTED NET ASSETS

Temporarily restricted net assets arise from grants received from various organizations to support cataloging, microform projects, digitization, digital preservation activities and the purchase of equipment to automate library materials. Net assets were released from donor restriction by incurring expenses of $101,434 and $81,322 in 2009 and 2008, respectively. Grant contributions received that are not expended for their restricted purposes are refundable to the grantor. Temporarily restricted net assets at June 30, 2009 and 2008 are available for the following purposes:

<table>
<thead>
<tr>
<th></th>
<th>2009</th>
<th>2008</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vietnam microforming</td>
<td>$10,745</td>
<td>$14,020</td>
</tr>
<tr>
<td>Membership benefits</td>
<td>12,046</td>
<td>12,046</td>
</tr>
<tr>
<td>Global resources network planning</td>
<td>755,117</td>
<td>16,992</td>
</tr>
<tr>
<td>Middle East research journals</td>
<td>1,911</td>
<td>-</td>
</tr>
<tr>
<td>Audit of Digital Research CRL</td>
<td>-</td>
<td>6,319</td>
</tr>
<tr>
<td>Electronic evidence project</td>
<td>108,047</td>
<td>9,923</td>
</tr>
<tr>
<td>Government documentation digitization project</td>
<td>53,899</td>
<td>53,899</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$941,765</strong></td>
<td><strong>$113,199</strong></td>
</tr>
</tbody>
</table>

NOTE 12. PENSION PLAN

The Center’s retirement plan is a defined contribution, money purchase pension plan. Under this plan, funds contributed by the employer and participating employees are used to purchase retirement annuity and death benefit policies for the participants through the Teachers Insurance and Annuity Association and/or the College Retirement Equities Fund. Contributions made by the Center are based on participants’ compensation. The Center’s contribution for years ended 2009 and 2008 was $214,969 and $196,862 respectively.

NOTE 13. RELATED PARTY TRANSACTIONS

The Center earns cataloging revenues from OCLC Online Computer Library Center, Inc. (OCLC) and also purchases cataloging and other services from OCLC. A member of the Center’s Board of Directors is employed by OCLC. Cataloging revenues were $141,045 and $168,841 during years ended June 30, 2009 and 2008, respectively. Total services purchased from OCLC were approximately $178,579 and $203,957 for the years ended June 30, 2009 and 2008, respectively.

The Center also routinely provides membership services to educational institutions which happen to employ members of the Board of Directors.
NOTE 14. CONCENTRATION OF CREDIT RISK

The Center maintains its cash balances in financial institutions deemed to be creditworthy. Effective in October 2008, balances are insured by FDIC up to $250,000. The $250,000 limit will be in effect through December 31, 2013. Cash balances with one of the financial institution exceeds the insured amount by $130,000. However, the balances are swept nightly into a money market account that is secured by U.S. Government bonds. The Center believes its credit risk to be minimal.
### SUPPLEMENTARY INFORMATION

#### CENTER FOR RESEARCH LIBRARIES

**SCHEDULE OF FUNCTIONAL EXPENSES AND COLLECTION EXPENSES**

**Year Ended June 30, 2009**

<table>
<thead>
<tr>
<th>Collections</th>
<th>Management and General</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Support Services</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cataloging fees</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Innovative</td>
<td>2,360</td>
<td>47,556</td>
</tr>
<tr>
<td>Microform costs</td>
<td>18,091</td>
<td>23,025</td>
</tr>
<tr>
<td>Other support</td>
<td>132</td>
<td>308</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>20,583</td>
<td>70,889</td>
</tr>
</tbody>
</table>

| Personnel and Operations | | |
| Board and council expense | - | - | - | - | - | 24,761 | - | 24,761 |
| Business expense | 409 | 1,072 | 683 | 969 | 984 | 109,715 | 131 | 113,958 | 15,908 | 325 | 130,191 |
| Consumable supplies | 8,563 | 24,069 | 5,190 | 10,923 | 3,772 | - | 3,144 | 55,661 | 9,789 | 200 | 66,850 |
| Insurance | 3,763 | 12,123 | 7,507 | 8,987 | 14,477 | - | - | - | 46,857 | 10,838 | - | 57,695 |
| Member relations | - | - | - | - | - | - | - | 30,953 | - | - | 30,953 |
| Memberships | 924 | 15,493 | 111 | 67 | 144 | - | - | - | 16,739 | 10,341 | - | 27,080 |
| Other outside support | 5,634 | 11,366 | 8,495 | 8,751 | 11,750 | 35,047 | 62,556 | 143,599 | 60,387 | - | 203,986 |
| Personnel | 209,021 | 387,751 | 395,096 | 483,773 | 758,221 | 64,351 | 335,832 | 2,834,045 | 450,278 | 79,464 | 3,363,787 |
| Postage and delivery | 34,701 | 78,459 | 31,807 | 49,214 | 15,697 | - | 1,476 | 211,554 | 10,859 | 221 | 222,625 |
| Printing | - | - | - | - | - | - | - | 63 | - | 63 |
| Special events | - | - | - | - | - | 3,372 | 1,205 | 4,577 | - | 4,577 |
| Telecommunications | 4,708 | 11,567 | 4,396 | 7,325 | 2,399 | - | 30 | 30,425 | 2,044 | 42 | 32,511 |
| Travel | 395 | 7,489 | - | - | 59 | 14,095 | 25,998 | 48,036 | 5,329 | - | 53,365 |
| Indirect expenses | - | - | - | - | - | - | - | 104,124 | 104,124 | (104,124) | - |
| **Total** | 268,312 | 749,482 | 453,285 | 570,099 | 807,503 | 226,580 | 534,496 | 3,609,575 | 527,417 | 80,252 | 4,217,244 |

| Plant and Other | | |
| Equipment leases | 1,176 | 2,643 | 1,068 | 1,645 | 522 | - | 7,054 | 364 | - | 7,418 |
| Service contracts | 14,715 | 33,072 | 13,366 | 20,584 | 6,538 | - | 1,575 | 89,850 | 4,552 | - | 94,402 |
| Repairs | 5,539 | 12,450 | 5,032 | 7,799 | 2,461 | - | - | 33,231 | 1,714 | - | 34,945 |
| Utilities | 55,195 | 124,052 | 50,137 | 72,210 | 24,524 | - | - | 331,118 | 16,733 | 342 | 348,193 |
| **Total** | 76,625 | 172,217 | 69,603 | 107,188 | 34,045 | - | 1,575 | 461,253 | 23,363 | 342 | 484,958 |
| **Total operating expenses** | 365,521 | 992,495 | 580,451 | 743,423 | 1,020,127 | 408,984 | 612,889 | 4,723,890 | 550,780 | 80,594 | 5,555,264 |

| Interest Expense (Including) | | |
| Letter-of-credit fees totaling $11,184 | 8,250 | 18,542 | 7,494 | 11,541 | 3,666 | - | - | 49,493 | 2,552 | - | 52,045 |
| Depreciation | 70,555 | 158,573 | 64,089 | 98,696 | 31,349 | - | - | 423,262 | 21,826 | - | 445,088 |
| **Total expenses before collections** | 444,326 | 1,166,610 | 652,034 | 853,680 | 1,055,142 | 408,984 | 612,889 | 5,196,645 | 575,158 | 80,594 | 5,852,397 |

| Collection Expenditures - Not Capitalized | | |
| Materials | - | 918,498 | - | - | - | 218,380 | 218,380 | 108,542 | 1,246,420 | - | 1,246,420 |
| Preservation | - | 25,077 | - | - | - | 25,077 | - | - | 25,077 | - | 25,077 |
| Reference works | - | 2,229 | - | - | - | 2,229 | - | - | 2,229 | - | 2,229 |
| **Totals** | $ 444,326 | $ 2,116,415 | $ 652,034 | $ 853,660 | $ 1,055,142 | $ 627,364 | $ 721,431 | $ 6,470,371 | $ 575,158 | $ 80,594 | $ 7,126,123 |
**CENTER FOR RESEARCH LIBRARIES**

**SCHEDULE OF PROGRAM REVENUE AND EXPENSES - GRANTS**

**YEAR ENDED JUNE 30, 2009**

<table>
<thead>
<tr>
<th>Temporarily Restricted Contribution Grants</th>
<th>H. Luce Foundation</th>
<th>Notre Dame Foundation</th>
<th>Mellon Foundation</th>
<th>Kline Foundation</th>
<th>CAORC Middle East Research Journals</th>
<th>II State Library Audit of Digital Res. CRL</th>
<th>ARL Electronic Evidence Project</th>
<th>University of Arizona Govt Docs Digitization Project</th>
<th>Total Temporarily Restricted</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vietnam Microforming</td>
<td>Membership Benefits</td>
<td>GRN Planning</td>
<td>Article Reach</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Revenue</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Foundations and other</td>
<td>$ -</td>
<td>$ -</td>
<td>$ 807,000</td>
<td>$ 5,000</td>
<td>$ -</td>
<td>$ -</td>
<td>$ 118,000</td>
<td>$ -</td>
<td>$ 930,000</td>
</tr>
</tbody>
</table>

**Expenses**

<table>
<thead>
<tr>
<th></th>
<th>FICA</th>
<th>General serials</th>
<th>Library materials</th>
<th>Medical insurance</th>
<th>Outside professional services</th>
<th>Retirement</th>
<th>Salaries</th>
<th>Travel</th>
<th>Workmen's compensation</th>
<th>Total expenses</th>
</tr>
</thead>
<tbody>
<tr>
<td>3,275</td>
<td>-</td>
<td>26,385</td>
<td>4,408</td>
<td>1,071</td>
<td>38,630</td>
<td>20</td>
<td>8,232</td>
<td>1,846</td>
<td>-</td>
<td>67,115</td>
</tr>
</tbody>
</table>

| Total expenses | 3,275 | - | 67,115 | - | - | 4,408 | 9,923 | 9,923 | 32 | 100 | 132 |

**Change in net assets**

| | | 739,885 | 5,000 | (4,408) | (9,923) | 108,047 | - | 835,326 |

**Net assets**

| | Beginning of year | 14,020 | 12,046 | 16,992 | - | 6,319 | 9,923 | - | 53,899 | 113,199 |
| Released for capitalized equipment | | - | - | 1,760 | 5,000 | - | - | - | - | 6,760 |
| End of year | $ 10,745 | $ 12,046 | $ 755,117 | $ - | $ 1,911 | $ - | $ 108,047 | $ 53,899 | $ 941,765 |
**CENTER FOR RESEARCH LIBRARIES**

**Schedule of Program Revenue and Expenses - Grants**

**Year Ended June 30, 2009**

<table>
<thead>
<tr>
<th></th>
<th>CSAL South Asian Union Catalog</th>
<th>CAOCR American Overseas Digital Library</th>
<th>Dept of Ed TCEJA</th>
<th>NSF Long Lived Digital Repositories</th>
<th>NEH ICON IV</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Revenue</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Federal grants</td>
<td>$ -</td>
<td>$ -</td>
<td>$ 168,578</td>
<td>$ 180,413</td>
<td>$ 203,989</td>
<td>$ 552,980</td>
</tr>
<tr>
<td>Foundations and other</td>
<td>5,388</td>
<td>73,007</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>78,395</td>
</tr>
<tr>
<td><strong>Total revenue</strong></td>
<td>5,388</td>
<td>73,007</td>
<td>168,578</td>
<td>180,413</td>
<td>203,989</td>
<td>631,375</td>
</tr>
<tr>
<td><strong>Expenses</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Employee benefits</td>
<td>-</td>
<td>240</td>
<td>-</td>
<td>-</td>
<td>12</td>
<td>252</td>
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<tr>
<td>FICA</td>
<td>245</td>
<td>4,019</td>
<td>4,300</td>
<td>5,923</td>
<td>3,694</td>
<td>18,181</td>
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<td>Library materials</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>74,201</td>
<td>74,201</td>
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<td>Library supplies</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>2,153</td>
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<td>Medical insurance</td>
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<td>6,192</td>
<td>2,957</td>
<td>9,452</td>
<td>1,061</td>
<td>20,775</td>
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<td>Microform storage</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>67</td>
<td>67</td>
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<tr>
<td>Office supplies</td>
<td>-</td>
<td>-</td>
<td>64</td>
<td>514</td>
<td>413</td>
<td>991</td>
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<tr>
<td>Other business expense</td>
<td>-</td>
<td>66</td>
<td>65</td>
<td>-</td>
<td>-</td>
<td>131</td>
</tr>
<tr>
<td>Other library support</td>
<td>-</td>
<td>-</td>
<td>76,751</td>
<td>-</td>
<td>-</td>
<td>76,751</td>
</tr>
<tr>
<td>Outside professional services</td>
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<td>-</td>
<td>-</td>
<td>15,243</td>
<td>8,684</td>
<td>23,927</td>
</tr>
<tr>
<td>Postage and delivery</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>1,476</td>
<td>-</td>
<td>1,476</td>
</tr>
<tr>
<td>Professional reference works</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>274</td>
<td>-</td>
<td>274</td>
</tr>
<tr>
<td>Retirement</td>
<td>567</td>
<td>5,579</td>
<td>6,426</td>
<td>8,053</td>
<td>898</td>
<td>21,523</td>
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<tr>
<td>SA salaries</td>
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<td>-</td>
<td>-</td>
<td>7,005</td>
<td>-</td>
<td>7,005</td>
</tr>
<tr>
<td>Salaries</td>
<td>3,448</td>
<td>56,315</td>
<td>60,247</td>
<td>82,992</td>
<td>44,251</td>
<td>247,253</td>
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<td>Service contracts</td>
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<td>-</td>
<td>-</td>
<td>1,575</td>
<td>-</td>
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<tr>
<td>Special events expense</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>1,205</td>
<td>-</td>
<td>1,205</td>
</tr>
<tr>
<td>Telecommunications</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>30</td>
<td>-</td>
<td>30</td>
</tr>
<tr>
<td>Travel</td>
<td>-</td>
<td>4,381</td>
<td>9,033</td>
<td>9,068</td>
<td>22,482</td>
<td>22,482</td>
</tr>
<tr>
<td>Workmen's compensation</td>
<td>15</td>
<td>596</td>
<td>593</td>
<td>675</td>
<td>503</td>
<td>2,382</td>
</tr>
<tr>
<td>Indirect expense allocation</td>
<td>-</td>
<td>11,910</td>
<td>-</td>
<td>42,111</td>
<td>50,503</td>
<td>164,124</td>
</tr>
<tr>
<td><strong>Total expenses</strong></td>
<td>5,388</td>
<td>73,007</td>
<td>167,294</td>
<td>177,080</td>
<td>203,989</td>
<td>626,758</td>
</tr>
<tr>
<td><strong>Change in net assets</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>4,617</td>
</tr>
<tr>
<td><strong>Net assets</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Beginning of year</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Released for capitalized equipment</td>
<td>-</td>
<td>-</td>
<td>1,284</td>
<td>3,333</td>
<td>-</td>
<td>4,617</td>
</tr>
<tr>
<td><strong>End of year</strong></td>
<td>$ -</td>
<td>$ -</td>
<td>$ -</td>
<td>$ -</td>
<td>$ -</td>
<td>$ -</td>
</tr>
</tbody>
</table>

<Page 2 of 2>
### CENTER FOR RESEARCH LIBRARIES

**SUMMARY SCHEDULE OF FINDINGS AND QUESTIONED COSTS**

**YEAR ENDED JUNE 30, 2009**

**SUMMARY OF AUDITOR’S RESULTS**

**Financial Statements**

<table>
<thead>
<tr>
<th>Description</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Type of auditors’ report issued:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Internal control over financial reporting:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Material weakness identified?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>No</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Significant deficiency identified that is not considered to be a material weakness?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td></td>
<td></td>
</tr>
<tr>
<td>No</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Noncompliance material to financial statements noted?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td></td>
<td></td>
</tr>
<tr>
<td>No</td>
<td>X</td>
<td></td>
</tr>
</tbody>
</table>
CENTER FOR RESEARCH LIBRARIES

SUMMARY SCHEDULE OF FINDINGS AND QUESTIONED COSTS

YEAR ENDED JUNE 30, 2009

SUMMARY OF AUDITORS’ RESULTS (CONTINUED)

Federal Awards

Internal control over major programs:

Material weakness identified?                X

Yes  No

Significant deficiency identified 
that is not considered to be a 
material weakness?

Yes  X

Yes  No

Type of auditors’ report issued on 
compliance for major programs:         Unqualified

Any audit findings disclosed that are 
required to be reported in accordance 
with Section 510(a) of Circular A-133?    X

Yes  No

Identification of major programs: 45.149 – Preserving Latin American Newspapers 
in United States Repositories 
47.080 – Long Lived Data Repositories

Dollar threshold used to distinguish 
between type A and type B programs: $300,000

Auditee qualified as low-risk auditee? X

Yes  No
CENTER FOR RESEARCH LIBRARIES

SUMMARY SCHEDULE OF FINDINGS AND QUESTIONED COSTS

YEAR ENDED JUNE 30, 2009

SCHEDULE OF FINANCIAL STATEMENT FINDINGS

This section identifies the significant deficiencies, material weaknesses and instances of noncompliance related to the financial statements that are required to be reported in accordance with paragraphs 5.10 through 5.20 of Government Auditing Standards.

Current Year Finding – Significant deficiency

2009-1 Reconciliation of Sub-Ledgers to Trial Balance

Criteria: Sub-ledgers and other supporting information should agree to the trial balance.

Description of Condition: The sub-ledgers for accounts receivable, prepaid expenses and accounts payable did not agree with the trial balance. For cash and cash equivalents, the bank reconciliations for the operating accounts did not agree with the trial balance for the entire year.

Cause of Condition: Management is not reviewing the sub-ledgers, bank reconciliations and trial balances to ensure agreement with each other.

Effect of Condition: Unidentified variances between the noted accounting records may obscure significant but offsetting items that could result in misstatements in the financial statements.

Auditors Recommendation: We recommend that the Center review all respective sub-ledgers, bank reconciliations and trial balances and identify the variances and adjust accounts accordingly.
ILLINOIS AFL-CIO OUTREACH, INC.

SUMMARY SCHEDULE OF FINDINGS AND QUESTIONED COSTS

YEAR ENDED JUNE 30, 2009

SCHEDULE OF FINANCIAL STATEMENT FINDINGS (CONTINUED)

Current Year Finding - Significant deficiency (continued)

2009-1  Reconciliation of Sub-Ledgers to Trial Balance (continued)

Response and Corrective Action: The Board has agreed that management will review the sub-ledgers and bank reconciliations and will ensure that all amounts will agree to the trial balance by the end of the 2010 fiscal year.
Center for Research Libraries

Summary Schedule of Findings and Questioned Costs

Year Ended June 30, 2009

Schedule of Financial Statement Findings (continued)

Resolution of Prior Year Findings

No audit required for the year ended June 30, 2008.
CENTER FOR RESEARCH LIBRARIES

SUMMARY SCHEDULE OF FINDINGS AND QUESTIONED COSTS

YEAR ENDED JUNE 30, 2009

SCHEDULE OF FEDERAL AWARD FINDINGS AND QUESTIONED COSTS

This section identifies the material weaknesses, significant deficiencies and instances of noncompliance, including questioned costs related to the audit of major federal programs, as required to be reported by Section 510(a) of Circular A-133.

Current Year Findings

No audit findings noted for the year end 2009.

Resolution of Prior Year Findings

No audit required for the year end 2008.
CENTER FOR RESEARCH LIBRARIES

SUMMARY SCHEDULE OF FINDINGS AND QUESTIONED COSTS

YEAR ENDED JUNE 30, 2009

REPORT ON ILLEGAL ACTS

This section identifies the illegal acts related to the audit of major federal programs, as required to be reported by Section 510(a) of Circular A-133. This section also identifies the illegal acts related to the consideration of the Center's internal control over financial reporting and its compliance with certain provisions of laws, regulations, contracts, and grant agreement, noncompliance with which could have a direct and material effect on the determination of financial statements amounts, as required to be reported by standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States.

Illegal Acts Noted:  None
## Center for Research Libraries

### Schedule of Expenditures of Federal Awards

**Year Ended June 30, 2009**

<table>
<thead>
<tr>
<th>Program Title</th>
<th>Federal CFDA Number</th>
<th>Grantor's Number</th>
<th>Grant Award Amount</th>
<th>Received in Year Ended 6/30/2009</th>
<th>Balance Carried Over From Prior Year</th>
<th>Expended Year Ended 6/30/2009</th>
<th>Balance Carried to Next Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>National Endowment for the Humanities</td>
<td>45.149</td>
<td>PC-50168-07</td>
<td>$350,000</td>
<td>$203,989</td>
<td>$-</td>
<td>$203,989</td>
<td>$-</td>
</tr>
<tr>
<td>Preserving Latin American Newspapers in United States Repositories *</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>National Science Foundation</td>
<td>47.080</td>
<td>OCI-0726250</td>
<td>497,840</td>
<td>180,413</td>
<td></td>
<td>180,413</td>
<td></td>
</tr>
<tr>
<td>Long-Lived Data Repositories *</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Department of Education</td>
<td>84.337A</td>
<td>P337A050031</td>
<td>591,000</td>
<td>168,578</td>
<td></td>
<td>168,578</td>
<td></td>
</tr>
<tr>
<td>TICFIA South Asia: Overseas Resources for Understanding the Subcontinent</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total expenditures of federal awards</td>
<td></td>
<td></td>
<td>$1,438,840</td>
<td>$552,980</td>
<td></td>
<td>$552,980</td>
<td>$-</td>
</tr>
</tbody>
</table>

* - Audited as a major federal awards program.
CENTER FOR RESEARCH LIBRARIES

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

YEAR ENDED JUNE 30, 2009

NOTE 1. BASIS OF PRESENTATION

The accompanying schedule of expenditures of federal awards is prepared on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of the basic financial statements.

NOTE 2. SUBRECIPIENTS

The total amount provided to subrecipients from each Federal Program was $0 for the year ended June 30, 2009.

NOTE 3. FEDERAL LOANS, FEDERAL LOAN GUARANTEES, AND FEDERAL INSURANCE

The total amount of federal loans, loan guarantees, and insurance outstanding as of June 30, 2009 was $0.