Cooperative Print Management in North America

A Proposal
January 15, 2010
ALA Midwinter
Boston, MA
“[The] library community should aggregate the work of existing mechanisms for print storage, de-duplication, and preservation, so that print repositories can more effectively contribute to a system-wide withdrawals strategy.”

The Center for Research Libraries (CRL) proposes to work with partner consortia to support emergence of a cooperative system for archiving print journals, newspapers, and government documents in North America.
Consortial and CRL Strengths

Regional Consortia

- Long-term relationships with libraries in their regions
- Operational resource-sharing programs among members
- Groundswell of shared print programs underway (30+ in North America)

CRL

- Long-term focus on print preservation
- Over 250 libraries throughout North America, which are members of most consortia
- Network-level service deployment and administration
# Components of Cooperative Print Management System

<table>
<thead>
<tr>
<th>Agreements (MOUs)</th>
<th>Holdings disclosure</th>
<th>Access/delivery</th>
<th>Business model</th>
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</thead>
<tbody>
<tr>
<td>• Selection and validation</td>
<td>• Archived materials, comparison to local library materials</td>
<td>• Policies</td>
<td>• Costs incurred by service providers</td>
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<tr>
<td>• Retention period</td>
<td>• OCLC 583, Ulrichs Serials Analysis, digitizing metadata, custom-developed?</td>
<td>• ILL system(s): RAPID, OCLC, Relais, others</td>
<td>• Contributions by other participants</td>
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<td>• Archiving conditions</td>
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<td>• Compensation: monetary and/or in-kind</td>
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<td>• Access/delivery provided</td>
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CRL as Integrating Framework

- Optimal copies and network-level selection
- Common or interoperable methods for disclosure and access

Facilitate archiving selection and systems

- Consensus on archiving terms and services
- Or interoperable agreements

Serve as network-level fiscal agent

- Usage and compensation, as needed

Broker inter-consortial agreements

- Archiving standards and commitments
- Access & delivery

Promote Common MOUs

January 15, 2010

CRL Proposal to Shared Print Group
Guiding Principles

1. Aim for cost neutrality.

2. Take advantage of existing infrastructure whenever possible.

Two Kinds of Archiving Activity

- Regional journal archiving projects
  - Typically by publisher or title overlap
  - Creates conditions for significant space savings

- Domain-based archiving and digitization programs
  - Positive identity, support for research and teaching
  - Could support systematic digital conversion to build additional scholarly resources

- CRL suggests pursuing both, two different value propositions
Proposed Project Phases

- **Phase 1: Planning & Partnership**
  - January – September 2010

- **October 2010 – June 2011**
  - **Phase 2: Pilot Implementation**

- **July 2011 – September 2012**
  - **Phase 3: Expansion to additional partners & content**
Phase 1: Planning and Partnership Development
January 2010 – September 2010

- Aggregate information about print archiving projects (http://www.crl.edu/archiving-preservation/print-archives/

- Identify network-level selection for archives, to create (optimal number of copies, or group national-level materials

- Explore common or interoperable MOUs, disclosure system(s), access/delivery system(s)

- Develop business model(s) recognizing both regional and national relationships, consortial and CRL activities

- Identify opportunities and advocate for external funding
Funding

• In Planning phase, contributed staff time and travel funds for one in-person meeting (probably ICOLC, Chicago, April)

• Identify meaningful actions which can be taken during implementation phases

  1) What can be done with available resources of CRL and consortial partners (self funding)?

  2) What can be done with new grant funds?

• Costs depend on level of infrastructure needed (aim for lightest weight) and business model (exchange of compensation, if any)
External Funding Possibilities

• CRL plans to seek additional support for this effort from the Mellon Foundation in 2010, seeks consortial partners for a joint submission

• CRL plans to submit a proposal to IMLS on 2/1/2010 to support domain-based archives of legal materials (with LLMC) and agriculture materials (with USAIN)
Draft Implementation Plan
(for discussion)

Phase 2: Pilot Implementation (October 2010 – June 2011)

• Finalize MOUs and participation agreements
• Implement prototype systems for holdings disclosure/decision support and access/delivery

Phase 3: Expansion to additional partners and content (July 2011 – September 2012)

• Enroll additional participants and/or content
• Evaluate and report on conditions at participating archives
• Report on service activity (accessions and requests/delivery)
Goals for this meeting

- Discuss the initial project proposal within the consortial print archiving community

- Identify consortia interested in participating with CRL in Phase 1: Planning (January through September 2010)
  - Work with CRL on specific aspects of the plan, interfacing regional projects to national level
  - Monthly web meetings
  - One in-person meeting (probably ICOLC, Chicago, April)
Discussion, questions, comments, suggestions

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