COLLABORATIVE FEDERAL DEPOSITORY LIBRARY PROGRAM
UPDATE – June 2015

Highlights –
• 37 of ASERL’s 38 members are FDLP libraries; 12 Regional Depositories in 10 states
• 38 Centers of Excellence libraries in the region, 27 of which are Selective Depositories, 6 are non-ASERL members
• Two cabinet-level agencies adopted as Centers of Excellence (COE) – Dept of Education, State Dept
• 227 SuDoc classifications have been adopted as COEs
• Thirteen agencies have been adopted by a second COE
• Additional COEs are needed. A current listing of COEs and a list of agencies yet to be adopted are maintained on the ASERL website at http://www.aserl.org/programs/gov-doc.
• The Collaborative Federal Depository Program will be included in the ALCTS Monograph Series - “Shared Collections: Collaborative Stewardship” - to be published Summer 2015.
• Bill Sudduth (U-South Carolina), Chair of the CFDP Steering Committee, will be ASERL’s representative at the National Forum on the Preservation of Federal Information convened by GPO at ALA Annual Conference on June 25th.

Disposition Database -
In FY 2014, 291,400 documents were posted to the ASERL Disposition Database for “adoption” by other FDLP libraries. 12,318 documents were claimed during the year. Of those, 7,455 documents were claimed for use in Center of Excellence collections; the others were claimed for other (non-COE) parts of local FDLP collections within scope.

For more information about the ASERL Documents Disposition Database, please see the LibGuide at http://guides.uflib.ufl.edu/ASERL-DispositionDB.
PARTICIPATING LIBRARIES IN THE ASERL REGION -
Regional Depositories in bold.

1. Auburn University
2. Clemson University
3. College of William & Mary
4. Duke University
5. East Carolina University
6. East Tennessee State University
7. Florida State University
8. George Mason University
9. Georgia Institute of Technology
10. Georgia State University
11. Hollins University [New]
12. Library of Virginia
13. Louisiana State University
14. Louisiana Tech
15. Mississippi State University
16. Northern Kentucky University
17. Tennessee State Library & Archives
18. University of Alabama
19. University of Central Florida
20. University of Florida
21. University of Florida – Levin College of Law
22. University of Georgia [New]
23. University of Kentucky
24. University of Memphis
25. University of Miami
26. University of Mississippi
27. University of North Carolina, Chapel Hill
28. University of North Carolina, Charlotte
29. University of North Carolina, Greensboro
30. University of South Carolina
31. University of South Florida
32. University of Tennessee – Knoxville
33. University of Virginia
34. Vanderbilt University
35. Virginia Commonwealth University
36. Virginia Polytechnic Institute & State University
37. Wake Forest University
38. Western Kentucky University

ASERL Contacts:
Cheryle Cole-Bennett, Program Coordinator
Telephone: 470-222-4960
Ccole-bennett@aserl.org

John Burger, Executive Director
Telephone: 404-413-2896
jburger@aserl.org
Update on Committee on Institutional Cooperation's Shared Print Repository (CIC-SPR)
June 2015

The CIC-SPR is a centralized print repository serving fifteen member institutions. A program of the Committee on Institutional Cooperation’s Center for Library Initiatives, the CIC-SPR is committed to providing print access to a shared collection of journal backfile volumes. The first phase of this initiative is now underway, with plans for securing some 250,000 volumes over five years.

The CIC-SPR stores collocated, shared print volumes supplied by member libraries in a centralized storage facility. The collection includes a single print copy of widely held, seldom-used print volumes, with access assured to CIC members and their campus affiliates. Libraries retain ownership of all items supplied to the joint collection, ensuring long-term stability and collaboration. More information, including policies and recent updates, can be found at www.cic.net/projects/library/shared-print-repository/.

Recent Activities

- To date, 102,000 journal backfile volumes are secured in the high-density storage facility at Indiana University. We are preparing to ingest 40,000 additional volumes of Springer, Wiley, and Elsevier content from the University of Illinois over the next several months, and other schools are reviewing candidate lists. We anticipate exceeding 140,000 volumes by the end of 2015.
- Indiana University is submitting journals published by scientific scholarly societies. They will complete their ingest in July, and other schools will then begin reviewing society publications holdings.
- A Program Assessment was conducted in accordance with the terms of the SPR’s 2011 Memorandum of Understanding. The report was presented to CIC Library Directors at their May meeting and will be presented to the Provosts at their meeting this coming fall. The Library Directors will discuss future directions for the project in November.
- CIC and CRL/PAPR have worked together to develop a tool to facilitate the CIC’s multi-institutional collections analysis. CRL has also provided valuable assistance in documenting title takeovers and changes.

For more information please contact Rebecca Crist at rcrist@staff.cic.net or visit the project website, www.cic.net/cli.
FOR IMMEDIATE RELEASE


Boston, MA – June 30, 2015: The Eastern Academic Scholars’ Trust [EAST], a major new shared print initiative, launches on July 1st with important grant support from the Andrew W. Mellon Foundation and the Davis Educational Foundation. The 47 member libraries of EAST, across New England, New York and Pennsylvania, will collaborate to retain agreed upon titles in their local circulating collections as well as make them available to lend to other EAST members, thereby ensuring continued access to the scholarly record of print monographs and journals.

During the initial two year implementation project, EAST libraries will conduct a collection analysis, design and analyze a sample-based validation study, secure retention agreements, plan for future EAST membership, and begin to explore reciprocal agreements with other regional and national shared print programs to create a broader network of mutual benefits and services.

The Andrew W. Mellon Foundation is providing $995,000 for use over approximately two years to support the development of this distributed retention network for print monographs. The Davis Educational Foundation is providing $400,000 over a three-year period in direct support of the collection analysis.

This analysis of EAST circulating monograph collections, to be conducted by Sustainable Collection Services, is among the largest group collection comparisons to date and will identify uniquely or rarely held titles as well as those with little use to support decisions about the number of copies to retain across the group. According to John Unsworth, Vice Provost for Library & Technology Services and Chief Information Officer at Brandeis University: “The EAST collection analysis and validation will provide the basis for trusting the commitments of consortial members to retain specific monographs over stated periods of time. This work will also suggest the level of redundancy required in order to ensure the availability of titles retained in circulating collections.”

Laura Wood, Director of the Tisch Library at Tufts University, and co-Principal Investigator with Unsworth on the Mellon Foundation grant, commented: “We know that our libraries can’t succeed in isolation when meeting the needs of our respective communities. EAST will provide the infrastructure so that academic and research libraries can collaborate on a deeper level. It is a pragmatic approach that will allow members to make more informed decisions benefitting both local and global needs for scholarship.”
An Executive Committee composed of representatives from member institutions will oversee the governance and development of EAST. The Boston Library Consortium will act as the host institution for EAST with responsibility for staffing, fiscal oversight, and grant management.

For more information about EAST, see https://www.blc.org/east-project

About the Andrew W. Mellon Foundation
Founded in 1969, the Andrew W. Mellon Foundation endeavors to strengthen, promote, and, where necessary, defend the contributions of the humanities and the arts to human flourishing and to the well-being of diverse and democratic societies by supporting exemplary institutions of higher education and culture as they renew and provide access to an invaluable heritage of ambitious, path-breaking work.
For more information on the Andrew W. Mellon Foundation, see https://www.mellon.org/

About the Davis Educational Foundation
The Davis Educational Foundation, established as a public charitable foundation in 1985, supports the undergraduate programs of public and private, regionally accredited, baccalaureate degree granting colleges and universities throughout the six New England states. Elisabeth K. Davis and Stanton W. Davis co-founded the foundation after Mr. Davis's retirement as chairman of Shaw's Supermarkets, Inc. The foundation is an expression of the couple’s shared support and value for higher education and has provided close to $98 million in grants to more than 157 institutions.
For more information on the Davis Educational Foundation, see http://www.davisfoundations.org/site/educational.asp

About the Boston Library Consortium
The Boston Library Consortium is a collaborative partnership of 17 academic and research libraries in Massachusetts, Connecticut and New Hampshire providing innovative and cost-effective information resources, services and expertise that allow its member libraries to best serve the teaching, research, and scholarship of their parent institutions.
For more information on the Boston Library Consortium, see https://www.blc.org/

Contact:
Susan Stearns
Executive Director
Boston Library Consortium
sstearns@blc.org
Maine Shared Collections Cooperative (MSCC)
June 2015 PAN Update Report

IMLS Funding

Institute of Museum and Library Services (IMLS) funding for the Maine Shared Collections Strategy (MSCS) ended on March 31st, 2015. The final report for the MSCS was submitted in April 2015. The work of Maine Shared Collections would not have been possible without the support of IMLS.

Governance of MSCC

The Maine Shared Collections Cooperative (MSCC) continues to oversee the post-grant period of Maine Shared Collections. In January 2015, the MSCC Collections and Operations Committee held its inaugural meeting. The Committee will oversee issues related to the selection of materials for retention, as well as issues related to holdings disclosure and access/delivery. The Committee is mainly comprised of representatives from the grant partner libraries, but each new member is assigned a representative on the Committee. A community college representative has also been appointed to the Committee to represent the needs of the seven community colleges that have joined the MSCC.

In February 2015, the MSCC Executive Committee held its inaugural meeting. The role of the Executive Committee has so far been to approve applications for membership to the Cooperative and review the language of the Memorandum of Understanding.

Collection Analysis Services

The Maine Shared Collection Librarian, Matthew Revitt (formerly the MSCS Program Manager) has been promoting the MSCC collection analysis services at library events and meetings and in publications across the State. As of June 10th 2015, Revitt and the MSCC Systems Librarian Sara Amato have worked with 22 Maine libraries on analyzing their print monograph collections (ranging from small public libraries to University of Maine system libraries). Based on experiences from the grant the MSCC provides libraries with spreadsheet reports which will assist them in identifying both retention and withdrawal candidates. The libraries are charged a one-off fee to cover the costs of Amato extracting holdings data from OCLC WorldCat, local ILS systems, and the union catalog MaineCat and compiling the spreadsheets.

The spreadsheets show:

- Titles they own that have already received a Maine Shared Collections retention commitment.
- A subset of committed to retain titles they hold that already have a Maine Shared Collections retention commitments and have had fewer than two circulations at the local library since being added to the collection.
- Titles they own with zero Maine holdings in OCLC WorldCat (also includes overlap with MaineCat).
- Titles they own with 10 or fewer holdings in OCLC WorldCat (also includes overlap with MaineCat).
- All item level records with circulation and OCLC WorldCat holdings overlap data.

The libraries MSCC works with are also provided with documentation that identifies incorrect and missing metadata that were required in the data matching (e.g. incorrect and missing ISBN, OCLC numbers). This can be used to correct records, benefiting other libraries in Maine's shared resource environment.

On average, 40% of the print monograph collections of the libraries the MSCC have worked with so far already have MSCC retention commitments at another library, which means substantial withdrawal opportunities. For retention at these libraries, generally, the titles identified as retention candidates are those where there are fewer than ten holdings in OCLC, don’t have an existing MSCC retention commitment, or are Maine related. On average the amount of titles identified as potential retention candidates account for less than 1% of the library’s print monograph collection.

In the future, grant support may be required to cover the costs of analysis for those libraries for which the fees is cost prohibitive. Grant funding would also allow the MSCC to investigate carrying out collections analysis with libraries that use non-Innovative Interfaces and Koha ILS because this work would require time investigating how data could be compared across different ILS.

**Membership in Maine Shared Collections Cooperative**

MSCC membership has more than doubled over the previous six months, of the 22 libraries the MSCC have worked with on analyzing their collections, 12 have so far formally joined the MSCC and have collectively agreed to retain approximately 300 titlesii.

Libraries that choose not to go through the collection analysis can still participate in Maine Shared Collections by self-nominating titles they feel warrant a retention commitment.

**Documenting Retention Commitments**

In February 2015, technical services representatives from the MSCS grant libraries met with Amy Wood, from Center for Research Libraries (CRL) to discuss correcting holdings information submitted to ensure it’s consistent and in line with best practice before being documented in the Print Archives Preservation Registry (PAPR). Since this meeting, corrections have been made by both CRL and local staff. MSCS retention commitments are now recorded in PAPR. The holdings information will be updated on a periodic basis.

Most new MSCC libraries are not OCLC members. However, for those that are, OCLC Shared Print Symbols have been requested. Staff from the University of Maine have worked with new libraries to record the commitments in the Local Holding Records of titles committed to retain.
The central union catalog, MaineCat is on the current version of Inn-Reach which has enhancements to support loading of 583 retention fields from the local system into the union catalog and display within the OPAC. Maine InfoNet staff are working on the adjustments necessary for the display to work.

Retention commitments are also recorded in local catalogs, including for the first time for the MSCC, in a Koha ILS. Unfortunately, it’s not possible to add MARC fields at the item level, so, we couldn’t add 583 retention commitments in the item records. Instead the retention commitment is recorded at the bib level. This solution works in a stand-alone system, but as part of a part of a consortia, it’s important that vendors support 583 fields in items.

To ensure consistency all MSCC retention commitments expire in 2028.

**MSCC Involvement in Regional and National Shared Print Initiatives**

MSCC representatives continue to be involved in the planning stages of the Eastern Academic Scholars’ Trust (EAST). Colby College is the only MSCC library that has pledged to participate in the EAST collection analysis process and join as an individual member. However, the MSCS retention commitments will be used as a factor in EAST’s collection analysis.

Matthew Revitt and Clem Guthro, Chair of Maine Shared Collections Executive Committee and Director of Colby College Libraries, are members of the HathiTrust Print Monograph Archive Planning Task Force, which is developing a national approach to shared print monographs.

**Print-On-Demand (POD) and E-book-On-Demand (EOD) Services**

The number of print-on-demand requests received in the state-wide union catalog MaineCat has been extremely low, as of June 2015 only one request had been processed since the service went back online in December 2014.

In May 2015, to raise awareness of the on-demand services, a monthly top ten viewed on-demand titles was circulated to Maine library listservs and published on the MSCC website which generated some discussion.

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2. [http://www.maineinfonet.org/mscs/about/partners/](http://www.maineinfonet.org/mscs/about/partners/)
For almost 10 years, PALCI members have been in discussion regarding the potential to archive members’ print collections collectively as more and more resources move online.

An initial program for serials from three science publishers (AIP, APS, and ACS) was formally initiated in 2009, with further projects discussed by the PALCI Cooperative Print Collections Committee (CPCC). In mid-2014, the CPCC was reorganized into the PALCI Distributed Print Archive Steering Committee (PDPA), and a survey of the membership was completed in September, 2014, followed by personal interviews with 46 PALCI member staff. In October, 2014, the PDPA Steering Committee established two PDPA project teams, Journals and Reference Sets, to continue projects for additional journals/serials title archives and to evaluate and initiate a shared print archive for reference sets.

**Highlights of Key Findings of the Survey and Interviews**

**Survey Highlights**

- 47 of 68 member institutions completed the survey (nearly 70% of the membership)
- The primary urgency for a shared print program is to free up space in the library
- The top three material types identified for a shared print program are journals; monographs; and reference sets
- 82% of respondents have or plan to deaccession materials based on the PALCI shared print program
- Drivers for participation in the shared print program include content and ease of discoverability
- 55% of respondents indicated an interest in a PALCI-sponsored shared storage facility

**Interview Highlights**

- 46 of 68 member institution staff were interviewed by PDPA Steering Committee members
- Most institutions have already reallocated some space in the library from collections to users
- Most institutions support intentionally-retained materials for the scholarly record philosophically; some will take action and/or fund; others will not
- Many institutions have already discarded a significant part of their collection through weeding, especially print journals
- There were two different purposes identified for the program: 1) to archive print with little use expected or 2) to provide expanded access to content/collections
- 41% of interviewees expressed interest in overlap study/project for monographs but funding is an issue for many
- 50% of interviewees expressed some interest in a PALCI shared storage facility
- 80% of interviewees agreed to fund the program (annual fees between $1,000 and $5,000)
- Some institutions not interested in print retention at all
Journals Project Team Activities

A kickoff meeting of the PDPA Journals project team was held in December, 2014. The project team subsequently completed two surveys: the PALCI Distributed STM Print Serials Archive Project Mid-point Review Survey to assess any changes to our 10-year Science Archive and a Journals Flash survey of the membership to determine priorities for new projects.

The Journals project team does not recommend any changes to the current 10-year Science archive as a result of the survey. The PDPA Steering Committee will review the survey results and this recommendation, and then complete a final determination.

The Journals project team is now working on a distributed archive project of newspaper microfilm (likely to include The New York Times, the Wall Street Journal, the Philadelphia Inquirer, and possibly the London Times).

In addition, related to the Journals Distributed Print Archive, the APA (American Psychological Association) journal archive is now complete and the list of journals, holdings and archive holders is available on the PALCI website. The Journals project team also reviewed and published *Recording Holdings Data for PALCI Distributed Print Archive Agreement*. This document is also available on the PALCI website.

Reference Sets Project Team Activities

A kickoff meeting of the PDPA Reference Sets project team was held in December, 2014. The project team subsequently completed a holdings analysis of a pilot group of 12 reference titles, including: *American Men and Women of Science* (0000-1287) (including various subparts); *Book of the States* (0068-0125); *CRC Handbook of Chemistry and Physics* (0147-6262); *Current Biography Yearbook* (0084-9499); *Europa World Year Book* (0956-2273); *Mental Measurements Yearbook* (0076-6461); *Physicians’ Desk Reference* (0093-4461); *Statesman’s Year Book* (0081-4601); *Thomas Register of American Manufacturers; Whitaker’s Almanack* (0083-9256); *Who’s Who in America* (0083-9396); *World Almanac and Book of Facts* (0084-1382). The project team has recommended to the Steering Committee that it move ahead to establish a distributed archive of these pilot titles and evaluate further titles after the completion of the pilot program.

PDPA Steering Committee Plan for FY2015-16

Based on the feedback of the membership from the survey and interviews, the PDPA Steering Committee will focus on the following activities over the next year:

- Explore shared storage facility (proposal received from Iron Mountain)
- Investigate monograph collection overlap analysis options, with new Technology Strategy and Infrastructure Task Force
- Finalize a last copy guideline for the membership
- Take action on recommendations from the Journals and Reference Sets project teams
Archiving Status

The Western Regional Storage Trust (WEST) is well into its second three-year implementation phase (2013 - 2016) with the support of the Andrew W. Mellon Foundation. WEST libraries have planned for two archiving cycles (Cycles 4 and 5) during this phase which will include 120,000 validated Silver and Gold volumes and additional Bronze titles, as identified through semi-automated collections analysis.

WEST Phase 1 (3 archive cycles complete)
- 213,000 Bronze volumes archived (digitally available titles, some also digitally preserved)
- 140,000 Silver and Gold volumes validated and archived (print only titles)

WEST Phase 2 (2 archive cycles planned, 2013-2016)
- 120,000 Silver and Gold volumes planned, 51,000 validated and archived to date
- Bronze volumes as identified through analyses, 30,000 archived to date

Archive Holders are making their final disclosures for Archive Cycle 4 and the WEST Collections Working Group has completed the analysis and prepared proposals for Cycle 5, which Archive Holders will review this summer to kick off the next cycle. While WEST continues to archive digitally available and digitally preserved titles, as identified through analyses, WEST is increasingly focusing on print only backfiles with lower to moderate overlap (higher risk titles.)

Infrastructure

WEST Archive Holders continue to disclosure archived titles in the PAPR Registry, a valuable resource for public discovery of retention commitments across the network of shared print journal programs.

WEST has completed systems development to improve additional capabilities including capabilities to support regional selection and archiving decisions and to enable gap filling.

- **AGUA, WEST’s information and decision-support center.** Systems development has been completed. AGUA provides a graphical user interface which supports group selection decisions about “what to archive next?”; it receives MARC holdings records from WEST members, normalizes and compares them. It now allows an analyst to review regional print journal holdings and iterate regional selection criteria. The system is aware of other print archive programs’ retention commitments. It can also balance proposals by selecting the next deepest backfile. Once titles are analyzed and selected, AGUA proposes title lists to more than 30 Archive Holders for local review and confirmation in the system. WEST libraries can also download custom collection comparison reports to compare local holdings against regional archives. The AGUA information center uses the PAPR collections analysis capability to analyze unarchived titles and produce reports.
• **JRNL, Journal Retention and Needs Listing.** WEST will implement JRNL this summer (2015) to expose gaps in *archived* titles and facilitate gap filling. WEST has completed development of file exports from AGUA of archived holdings and gaps; Archive Holders will use these files to readily upload and expose gaps in JRNL and facilitate staff-to-staff communications about “offers” to fill gaps. JRNL is developed and managed by University of Florida Smathers Libraries and is used by several shared print programs (e.g. ScholarsTrust, FLARE, WEST)

• **Disclosure in OCLC.** WEST Archive Holders disclose holdings in both the PAPR Registry and OCLC WorldCat. While disclosure rates in the Registry are quite good, as an outcome of WEST recent program assessment, WEST has begun to study its disclosure rates in OCLC and to opportunities for improving disclosure rates there. This emerged through the recent program assessment as a high priority for WEST members. WEST members highly value disclosure in OCLC and PAPR according to the OCLC metadata standards for shared print.

**Program Plans**

During this phase (2013-2016), WEST will transition from a partially grant-funded program to a fully member-supported program. As part of that transition, WEST members and governance committees have conducted a program assessment and developed a strategic plan for the next phase. WEST will make several adjustments to the program to reduce costs and raise member fees. A key objective is to achieve sustainability in 2016. WEST continues to be committed to distributed print archiving and regular archiving cycles to ensure the pace of archiving over the years. WEST members continue to support the core mission and focus on print journals and distributed print archiving.

Changes planned for the future include

• increased focus on higher risk print only titles, lower to moderate overlap (e.g. Gold archives)
• selection of the next deepest backfile to balance archiving responsibilities amongst 6 Archive Builders (storage facilities)
• adjustments to the cost sharing model including implementation of member fee tiers based on total library expenditures
• differentiation of services for members including introduction of “Full” and “Supporting” member types
• a reduction in archive creation budget (the number of backfiles actively built and validated, Silver and Gold titles)
• a reduction in frequency of files ingest for collections analysis to every two years; with proposals for two years’ worth of archiving
• a reduction in the number of files ingested for analysis
Membership changes

The WEST Executive Committee is developing criteria for adding new members, including consortial members. WEST plans to invite new members to join in 2015 and 2016.

More members have become Archive Holders in WEST in the past year. WEST now has more than 30 Archive Holders.

The Orbis Cascade Alliance’s consortial members (30 institutions) have terminated a consortium membership in WEST and have entered a 365 day termination period. The retention commitments made to WEST, including DPR and archive cycle commitments, survive termination. Other obligations will be addressed during the termination period. Both the Alliance and WEST regret that the Alliance consortium members are unable to continue in WEST as a group. Individual libraries will be invited to rejoin WEST in 2015. This event does not affect the 7 Orbis Cascade Alliance institutions that participate in WEST as Direct Members.

WEST program: http://www.cdlib.org/west
PAPR registry: http://papr.crl.edu/
JRNL: http://guides.uflib.ufl.edu/JRNL
AGUA information center: https://agua.cdlib.org/west/login

Contacts: Emily Stambaugh emily.stambaugh@ucop.edu
Lizanne Payne lizannepayne03@gmail.com
Danielle Watters Westbrook danielle.westbrook@ucop.edu
June 19, 2015

Florida Academic Repository (FLARE) Print Archiving Network Update

The Florida Academic Repository (FLARE) is the statewide shared collection of low use print materials from academic libraries in Florida. By establishing this centrally housed collection, the Council of State University Libraries (CSUL) provides new options for sharing the costs and effort of long term retention of low use library materials. Under the FLARE memorandum of understanding, the materials designated under this agreement will be retained and made available as long as the need for them exists, thereby allowing participants to consider withdrawing duplicates of these items from their campus collections and to rely with confidence on access to the centrally retained copies. Eventually FLARE will be housed in an environmentally controlled, carefully inventoried and secure high density facility (HDF) located in Gainesville, operated by the George A. Smathers Libraries at the University of Florida (UF) on behalf of the participating libraries. Until construction is complete, FLARE will be housed in a leased Interim Library Facility (ILF) and in the UF Libraries Auxiliary Library Facility (ALF), both of which support appropriate environmental and security conditions. In addition to the libraries in the 12 State Universities and the University of Miami, partners in FLARE will include libraries of the Independent Colleges and Universities of Florida and the Florida College System.

FLARE News & Activities:

- We prepared an updated budget proposal to The State University System of Florida Board of Governors (BOG) for $18M for 2015-16 and $8.7M in 2016-17 for the Joint-use Library Storage Facility
  - Although funding was not approved by the Florida Legislature, we will be working with the BOG about possible alternate funding sources for the next fiscal year
- New HDF-style shelving (12’ height vs. full 35’ height) was installed in the second building at ILF
  - Increases capacity at ILF to 1.5 M volumes
- FLARE collection accessible (http://union.discover.flvc.org/ux.jsp)
  - Approximately 2.2M volumes total in storage
  - 937,265 items accessioned, fully processed, and requestable (YTD)
- Progress on existing transfers:
  - Processing/traying, U. Florida Science periodicals (154,000 volumes): complete
- Processing/traying, U. Central Florida collection (107,000 volumes): 88% complete, anticipated completion August 2015
- Government Documents cataloging project continues
  - Center of Excellence for Hearings: 32,121 (24%) cataloged and traded
- Began delivering materials via ILL (OCLC symbol: FLARE, activated November 2014) and UBorrow (statewide unmediated borrowing program)
  - 825 UBorrow requests filled since 7/1/2014 (FY to date): 86% fill rate
  - 80 ILL requests filled through OCLC since 11/10/2014
- Journal Retention and Needs Listing (JRNL) software:
  - The Western Regional Storage Trust (WEST) joined ScholarsTrust (ASERL/WRLC) and the Florida Academic Libraries in the Journal Retention and Needs Listing (JRNL) and will begin using JRNL in the summer of 2015
    - WEST represents the fourth shared print program to choose JRNL to facilitate shared print activities
  - National Agriculture Library (NAL) has joined ASERL and will be participating in JRNL for the agricultural serials project
  - Additional ASERL/FLARE subject-based journal collections are planned for architecture, health and medicine, law, marine science and music
  - Currently, over 14,000 journal titles loaded in JRNL
- Full text of policies addressing collection materials and environment, physical and intellectual access, and operating cost assessments is available at [library.ufl.edu/flare](http://library.ufl.edu/flare)
  - Policies are currently under review by CSUL to reaffirm, clarify, and update

**Contact:**

Judith C. (Judy) Russell  
Dean of University Libraries  
University of Florida  
[jcrussell@ufl.edu](mailto:jcrussell@ufl.edu)

Ben Walker  
Assistant Dean, Digital Services & Shared Collections  
University of Florida  
[bfwalker@ufl.edu](mailto:bfwalker@ufl.edu)
LLMC Digital (aka Law Library Microform Consortium)

— chartered in 1976 as nonprofit 501 (c ) 3; self-governing (Board and Advisory Council elected by member libraries); 500+ universities predominantly in North America (see ‘Participating Members’ link on www.llmc.com)

• Mission: “Preserving legal and governance-related global content and making this valuable information accessible and searchable”

— 1976-2002 converted 105,000 volumes into microform; as of 2002 launched LLMC-Digital, which has digitized over 90,000 volumes

— in a recent LLMC Digital survey, 76% of law libraries report that they have been actively discarding print content in the past 2 years; primarily, US State and Federal law books

• Collaborative, Multi-Format Preservation

— to date, 91 libraries participated in the donation of preservation books (such as: Univ of Miami donated large number of US State Session laws; Wayne State, very large donation of US State Supreme Court Reports; Iowa State University, State Attorney General and Opinions Reports; Univ of Hawaii, GPO titles)

— in 2010, formed Global Resources Law Partnership with CRL

— multi-format preservation includes: original paper blocks of scanned books – archivally wrapped and preserved in ideal dark-archive storage; Silver Halide Masters; and 3 sites which store digital images

• Permanent Preservation in the Salt Mines in Kansas

— vetted at the page-level, only “Satisfactory” volumes to the salt mines; defined as: “no missing and/or partial pages and the volume condition indicates it could be scanned again”

— contracted with Underground Vaults & Storage (Jan 2007 to Dec 2026)

• encased in 400 ft thick solid rock salt, located 650 ft below earth’s surface, underground temperature is 70F with humidity level of 45%, accessible only by vertical freight elevator

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Jean Wenger, FCIL Librn.
Cook County L.L.
Maryruth Storer, Dir.
Orange County Public L.L.

Staff
Kathleen Richman, Exec. Dir.
Michael Twu, Operations Manager
Jarrett Helm, Workflow Manager
“Fort Knox” security measures like biometric scans, video cameras, redundant authorizations, steel vault doors, blind passwords, anonymous storage, restricted personnel access, infrared monitors

- access to 10,000 cubic feet of storage was exceeded in 2015 at 11,059.5 cubic feet and growing!

- salt mine costs include: minimum annual fee for storage $20,000/year + $2/per cubic ft over 10,000; ingest expense $.50/box; retrieval expense $2.75/box

- operation and quality control covers: standardized shipment boxes (10x12x15 inch double walled box); currently, 7,782 boxes at salt mines (another 443 boxes palletized and ready to ship); tracking numbers and Location Control Report

**Business Model – Preservation is integral to LLMC Digital’s Mission**

- preservation costs part of our general operations budget (primarily subscription revenue)

**Other Highlights**

- LLMC Digital prioritizes content in the Public Domain, so approx. 72% of publication dates for books in the salt mines are prior to 1923 (examples: Title: Kelyng, J., King’s Bench Reports 1662-69, consisting of cases in the reign of King Charles II, published 1708; Title: Tindal, Four Discourses, 1709, consisting of discourses on politics and government, international law, freedom of religion, and freedom of the press)

- preserve in various editions of titles (example: New York, Johnson’s Reports, 3 editions)

- most content in the salt mines is in English; however, other languages represented include: Latin, Spanish, French, Portuguese, Dutch, German, Japanese and Burmese.

- gap-filling of missing volumes in a collection is a priority (examples: leverage the extensive LLMC fiche collection, most recently US Attorney General and HI Collection; and Maryland State Law Library donating print copies of US State Reporters)

- all LLMC Digital holdings in salt mines are reported regularly to CRL for availability in Legal PAPR, along with information reported by Columbia Law Library and the University of Chicago; other law libraries are pending

LLMC Digital Contact: Kathleen Richman, Executive Director email kathleenr@llmc.comm

Per the SCELC 2012 strategic plan and an exploratory discussion and member survey in 2013, SCELC conducted in 2014-15 a feasibility study for a shared print agreement for monographs, chiefly among its members in California. The feasibility study was approved by the SCELC Board in February 2014, was funded by SCELC, and concluded in June 2015 with the Board’s approval of an implementation project of one year, also funded by SCELC. Consultant Lizanne Payne advised a SCELC working group led by Bob Kieft (Occidental College) and consisting of representatives of nine libraries involved in a collection analysis pilot (Claremont University Consortium, Holy Names University, Loyola Marymount University, Mt. St Mary’s University, Pepperdine University, St Mary’s College of California, Redlands University, University of San Diego, and University of San Francisco) and two others (USC and Santa Clara University). Kieft will lead the implementation project with guidance from Rick Burke (SCELC Executive Director), John McDonald (USC), and Payne. SCELC is partnering with ProQuest to develop Intota Assessment for use in group collection analysis.

The experience of such consortia as WEST and MSCC and the experience Payne brings to developing planning schemas for shared print agreements have been instrumental to SCELC’s work.

A brief account of the project follows.

**Goals of the Feasibility Study (2014-2015)**

As reported to the Board, the prospectus for a monograph shared print feasibility study included the following goals:

- Understand SCELC members’ plans and readiness to participate in a shared print agreement, including interest in potential partnerships with other systems.

  *Action: Survey taken in the fall of 2013 and results used to select libraries for a nine-member pilot working group in early 2014. Survey was shared with CSU and UC system members.*

- Study the composition and use of SCELC member print collection.
Action: After an RFP process, ProQuest was chosen to provide a collection analysis and has been developing with SCELC the reporting capacity of its Intota product per agreement signed in the fall of 2014.

- Develop proposals for addressing long-term sustainability of a program, including operating, policy and governance, and business models.

Action: models framework drafted; in many cases, decisions about the models will depend on the number and characters of the libraries that join the initial implementation and the provisions they make in the MOU.

- Identify corollary studies that may be performed to support the potential SCELC Shared Print Program.

Action: Garabedian 2014 condition survey, reported at Print Archive Network Forum in January 2015 and next fall in Against the Grain.

Implementation (2015-2016)

1. Phase 1, June-Oct 2015 (contingent on ability to hold meetings during the summer, the number of libraries that want to be party to the discussion, and the potential need for further data manipulation and local decision making)
   - define minimal conditions for proceeding to standup and affirm or revise elements of framework document,
   - articulate talking points for local campus discussion and decision-making,
   - affirm retention commitment scenarios and decide role of circulation counts in scenarios,
   - develop retention parameters with further collections analysis,
   - outline processes for making and registering retention commitments,
   - compose draft MOU based on policy and governance framework,
   - detail beyond the SCELC-supported implementation phase,
   - detail responsibilities of holding libraries and conditions under which they may reject candidates for retention,
   - decide whether to establish a mechanism for unwanted copies to move to other libraries for retention,
   - announce recruitment of first cohort and timetable for new members based on willingness to sign MOU,
   - inform UC and CSU of our work and continue discussion of possible points of collaboration.
2. Phase 2 begins with a meeting of the first cohort of signatories to a shared print agreement in Nov or Dec 2015. It will proceed with assignment and registration of retention commitments. This phase will require developing means for moving retention commitments to local catalogs and then for alerting members of retention commitments that have been made so libraries not retaining an item can deaccession it if they want to.
Scholars Trust represents the shared print archives of the Association of Southeastern Research Libraries (ASERL) and the Washington Research Library Consortium (WRLC).

Scholars Trust libraries manage their journal retention commitments in the JRNL (Journal Retention and Needs Listing) software hosted by the University of Florida. For more information regarding JRNL please see the Scholars Trust program page at www.scholarstrust.org.

**Participating ASERL libraries**—Scholars Trust has grown in number this year with the addition of four new ASERL participants, identified below:

1. Auburn University  
2. Clemson University  
3. College of William & Mary  
4. Duke University  
5. East Carolina University  
6. Emory University  
7. Georgia Institute of Technology  
8. Johns Hopkins University (new as of July 1, 2015)  
9. Louisiana State University  
10. Mississippi State University  
11. National Agriculture Library (Program Affiliate, new)  
12. North Carolina State University  
13. Tulane University  
14. University of Alabama  
15. University of Florida  
16. University of Georgia (new)  
17. University of Kentucky  
18. University of Louisville  
19. University of Memphis  
20. University of Miami (new)  
21. University of Mississippi  
22. University of North Carolina, Chapel Hill  
23. University of North Carolina, Greensboro  
24. University of South Carolina  
25. University of Tennessee  
26. University of Virginia  
27. Virginia Commonwealth University  
28. Virginia Polytechnic Institute & State University  
29. Wake Forest University

**Participating WRLC libraries:**

1. American University  
2. The Catholic University of America  
3. Gallaudet University  
4. George Mason University  
5. George Washington University  
6. Georgetown University  
7. Howard University  
8. Marymount University  
9. The University of the District of Columbia

**Program Highlights** -

- **In February 2015, the National Agriculture Library (NAL)** became ASERL’s first-ever Program Affiliate. Through this agreement, NAL joins Scholars Trust to retain approximately 875 important agriculture-related print journals.

- **Western Regional Storage Trust (WEST)** – The memorandum of Agreement between ASERL, the University of Florida and WEST has been completed for the use of JRNL to manage WEST’s holdings information. Arizona State University and Stanford University are serving as JRNL pilot sites, loading approximately 2,100 holdings records to date.

- **The Washington Research Library Consortium (WRLC)** has imported approximately 4,925 holdings records into JRNL.
• **JRNL Highlights** –
  o Four Archiving Programs (FLARE, ASERL, WRLC, and WEST) use JRNL, with 39 registered institutions.
  o JRNL contains 17,641 records for unique ISSNs/Titles of which 6,484 are held by two or more libraries. Note - multiple commitments do not indicate redundancy in holdings, as institutions may not retain the same dates/volumes, and gaps may be present.

• **Software Enhancements** – Two enhancements are in process - incorporating LC Class headings into JRNL records/search options, and a process to allow the OCLC number to serve as the identifying element in the absence of an ISSN. There are approximately 470 titles which remain to be loaded to JRNL due to the lack of an ISSN. These enhancements are expected to be completed in late July.

• **Data Integrity Pilot** – Scholars Trust contributes JRNL records to CRL’s PAPR database (Print Archive and Preservation Registry). As part of the ingest process, CRL has identified records which may contain non-standard data elements. Scholars Trust libraries have agreed to review and correct the records as necessary. A pilot test of this process is expected to take place in July.

• **Local Holdings Records (LHRs)** – Participating institutions have committed to create/maintain LHRs in their local catalog for all retained titles by December 31, 2016. To assist in meeting this goal, CRL has offered to generate LHRs from the records contributed from JRNL to PAPR. Scholars Trust libraries are currently evaluating how they can make use of these records.

• **A publicly-accessible list of titles** retained under this agreement is maintained on the program website so others can easily access the information. [www.scholarstrust.org](http://www.scholarstrust.org)

**Retention Initiatives** –

• **WRLC approves MOU on Print Monograph Retention** - The Provosts of eight of the universities in the WRLC signed a *Memorandum of Understanding on Shared Collection Print Retention* that will ensure that the WRLC libraries:
  o retain 2.4 million pre-2005 monographic titles for which the WRLC has one or two copies.
  o retain the approximately 250,000 monographs, published prior to 2005, for which there are ten or fewer copies in the United States listed in WorldCat.

Beginning with the adoption the WRLC shared copy policy for print journals in 2008, the WRLC has been taking steps to create a truly shared collection. A Shared Collections Vision and Mission approved in 2014, a collection analysis by Sustainable Collection Services and the long term agreements on retention and shared resource commitments represented in the MOU are creating a right-sized, coordinated collective print collection to ensure continued access to research materials for students and scholars.

• **ASERL Reciprocal Reference Services Pilot** – This pilot has identified a core collection of print reference materials and participants agree to provide expert reference services for these titles. This is a focused extension to the print retention program - an attempt to determine if the program can expand from a focus on sharing content to sharing service expertise. In the past few months, ASERL libraries have finalized MOUs with participants, started to build-out complete runs of reference titles, and have added one new participant (College of William & Mary).

• **Subject-Specific Journal Collections** – To date, ASERL libraries have committed to retain 748 of 1,052 titles identified as “core” Agriculture-related journals. This does not include titles from the National Agricultural Library. ASERL libraries are also developing core titles lists for Architecture and Law as new subject-based journal collections. Medicine, Veterinary Medicine, and Marine Science have been suggested for future projects.

**ASERL Contact:**  
Cheryle Cole-Bennett, Program Coordinator  
Association of Southeastern Research Libraries  
Telephone: 470-222-4960  
Ccole-bennett@aserl.org

**WRLC Contact:**  
Bruce Hulse, Director of Information Services  
Washington Research Library Consortium  
301-390-2033  
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13. Tulane University
14. University of Alabama
15. University of Florida
16. University of Georgia (new)
17. University of Kentucky
18. University of Louisville
19. University of Memphis
20. University of Miami (new)
21. University of Mississippi
22. University of North Carolina, Chapel Hill
23. University of North Carolina, Greensboro
24. University of South Carolina
25. University of Tennessee
26. University of Virginia
27. Virginia Commonwealth University
28. Virginia Polytechnic Institute & State University
29. Wake Forest University

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