



# COLLABORATION

Annual Report Fiscal Year 2014: (July 1, 2013–June 30, 2014)



The Center for  
Research  
Libraries

GLOBAL  
RESOURCES  
NETWORK








**The Center for Research Libraries (CRL)** is a consortium of universities, colleges, and independent research libraries. The consortium acquires and preserves newspapers, journals, documents, archives, and other traditional and digital resources for research and teaching and makes them available to member institutions through interlibrary loan and electronic delivery.

#### MISSION

*The Center for Research Libraries' mission is to support advanced research and teaching in the humanities, sciences, and social sciences by ensuring the survival and availability of the knowledge resources vital to those activities. CRL accomplishes this mission through cooperative action with its member libraries and partners.*

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*Cover image:* Government Printing Office. Typesetting. Photograph from Harris & Ewing collection, Library of Congress Prints and Photographs Division, Washington, D.C.



## Message from the Chair

It is a privilege and a pleasure to write this message to the CRL membership as chair of the Board of Directors. Each of the past ten or so years has seen extraordinary accomplishment at CRL. Each year has seen clear progress toward realizing the vision projected in CRL's 2006–07 strategic plan and the implementation grants from The Andrew W. Mellon Foundation, which charted our current path toward a Global Resources Network of cooperating partners.

But 2013–14 may mark a true inflection point in CRL's history. Five years of budget surpluses—the result of careful planning and hard work on the part of CRL leadership and staff—have laid the foundation for significant strategic investments over the next few years in services to members and in preservation of the scholarly record and global cultural heritage in digital and print forms.

- This year, CRL in-house digitization continued to maintain a high rate of production focusing on primary source materials not available from other sources.
- Through the CRL partnership with the Law Library Microform Consortium, 3,000 volumes of primary source publications from CRL collections and other libraries were made available in the *LLMC-Digital* database.
- CRL achieved a 7-percent increase over the previous year in document delivery service to CRL members from its new STE partner, the Linda Hall Library of Science, Engineering, and Technology.

Two grants, from The Andrew W. Mellon Foundation and the Institute of Museum and Library Services, enabled CRL to put in place new capabilities to help research and academic libraries manage their print and other legacy holdings responsibly, while deploying resources to confront the challenges of digital collection development. A new grant of \$900,000 from The Andrew W. Mellon Foundation will support further development of the Print Archives Preservation Registry (PAPR), a registry of print archives and shared print programs and a database of holdings committed to being preserved. This year CRL enhanced the registry with granular holdings data from an array of archiving institutions.

PAPR, together with the ICON database, is a registry of information on the hard copy, microform, and digitized holdings of US and foreign newspapers held by hundreds of US research libraries. Together the two resources will help inform library decisions on retention, conservation, digitization, development, and disposition of print materials that are rapidly disappearing from academic libraries.

CRL is its membership. Growth in voting membership in 2013–14 was continual and significant, although FY15 started with a loss of 74 small libraries—from a group membership through OhioLink—and three mid-sized libraries. We welcomed back several of the OhioLink members as voting members. Other new voting members include: Stanford University, Trinity University, the University of Lethbridge, and San Francisco University, along with Luther, Mount Holyoke, St. Olaf, and Smith Colleges. Their diversity is testimony to the centrality of CRL's collections and services to contemporary scholarship.

CRL is also the people who contribute talent, time, and passion to making it work. Board members Paul Courant (University of Michigan) and Sarah Michalak (University of North Carolina at Chapel Hill) stepped down after two terms of service, including Sarah's two years as Chair of the Board. The wisdom they brought to the work of the Board was invaluable. And we welcomed new Board member Thomas Burish, Provost of the University of Notre Dame and a distinguished scientist, teacher, and academic leader.

It has been a privilege for me and a high point of my career to work with the CRL Board and CRL's extraordinary staff, including President Bernie Reilly. The accomplishments and developments reported here are evidence of a new chapter in the history of CRL, one that will yield new benefits for the global community of scholars and students, and the libraries that serve them, for years to come.

Richard Fyffe

*Samuel R. and Marie-Louise Rosenthal Librarian of the College, Grinnell College*



## Message from the President

Collaboration has been a distinguishing feature of CRL since its founding in 1949. In that year, ten Midwestern research universities launched a united effort to address the combined effects of a deep economic recession and the unprecedented surge in enrollment at US universities precipitated by the GI Bill and the influx of returning veterans after World War II. Those universities pooled financial resources and the expertise of their librarians and began to develop shared collections of primary but difficult to obtain materials to support advanced research. For the founding universities, CRL was a strategic mechanism through which to identify, acquire, and preserve specialized materials on a scale that no individual library could achieve alone.

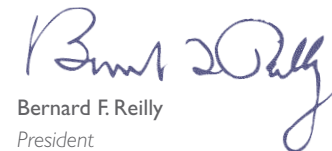
In the decades since, CRL has become an umbrella under which many communities of interest form and work to identify and preserve important documentation and evidence in defined areas. United by scholarly interest in world regions like Latin America and sub-Saharan Africa, or focused on particular languages like French, Slavic, and German, these committees created the Area Materials Projects and the Global Resources projects.

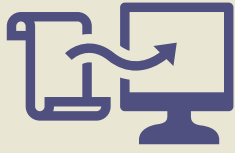
CRL continued this tradition in fiscal year 2014. Its Global Resources Partnership with the Law Library Microform Consortium, formed around preserving primary materials for the study of legal and political history, continued to identify and digitize important print materials on law and government. Looking forward, CRL convened a forum to explore ways to meet the new challenges to preservation posed by paperless governments. The forum, *Leviathan: Libraries and Government Information in the Age of Big Data*, illuminated the stark new realities of “born-digital” government records and information and the

complexities and opportunities these realities present. Forum speakers— leaders, experts, practitioners, and historians in the field— identified ways in which “e-government” threatens the long-term integrity and accessibility of government information. From the *Leviathan* discussions emerged a new strategic framework for CRL’s future efforts to ensure scholarly access to important government information and communications.

CRL also provided modest new funding to support digitization of area and international studies materials and historical agricultural materials, identified by specialist librarians working under the CRL umbrella. And CRL provided a new base of operations for the NorthEast Research Libraries consortium, an autonomous group of libraries formed around subscription to licensed databases. Working together, CRL and NERL have the potential to strengthen libraries’ collective leverage with publishers.

Once again, this year CRL is deeply indebted to The Andrew W. Mellon Foundation, which continued to provide support for exploring and incubating new ways for CRL to support strategic research library investment and decisions on scholarly resources. The Institute of Museum and Library Services also provided critical funding for development of the PAPER registry and the ICON database, two key resources for collection management and preservation. But it is the CRL member libraries that make CRL’s continued growth and support for academic research possible. Those libraries contribute the expertise, time, and funding that form the fabric of the collaboration enterprise that is CRL.

  
Bernard F. Reilly  
President



*digitized pages added to support ongoing research at CRL libraries*

**3.4  
million**



*voting members as of June 30, 2014* **204**



*different member institutions attended collections webinars*

**181**



*invested in new library materials and digitization*

**\$2.3  
million**



*different member institutions participated in CRL electronic resource offers*

**122**

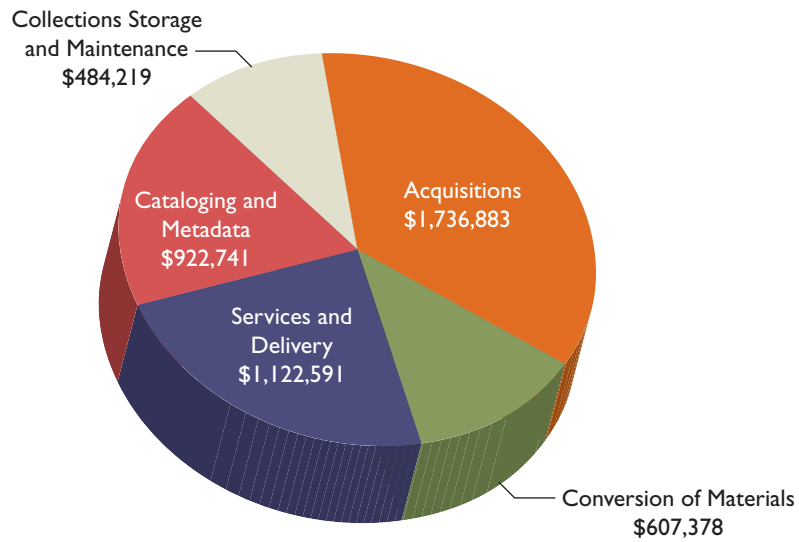


## *sources of CRL acquisitions in FY14:*

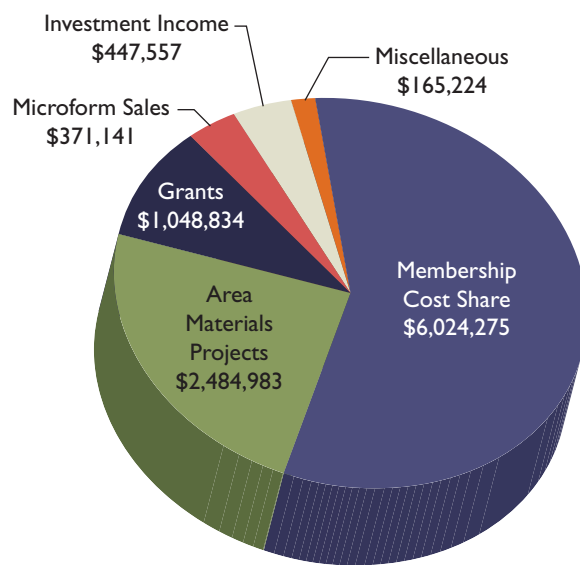
- India (4,999 items)
- Japan (1,218 items)
- Russia (907 items)
- United States (830 items)
- Germany (751 items)
- Pakistan (567 items)
- England (290 items)
- France (227 items)
- Malaysia (223 items)
- Indonesia (212 items)



## CRL Investment in Collections and Services, FY14



## CRL Revenue, FY14





# Leadership Crisis Rocks Unity Party

## THE IMPACT OF CRL: Preserving News from Conflict Zones

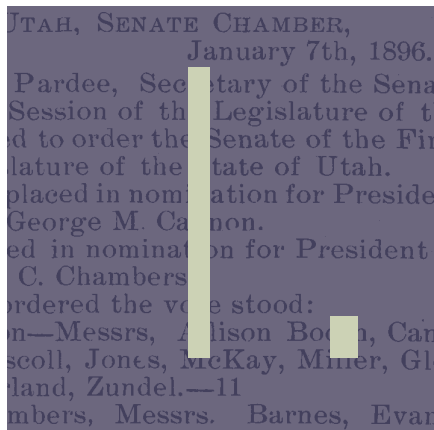
Newspapers published in areas of extreme political upheaval or armed conflict are by definition at-risk. Risks range from regime suppression of minority or opposition viewpoints to destruction as collateral damage from military operations. Preserving this essential “first draft of history” to serve future research presents formidable challenges.

Front page of Liberian newspaper *The New Dawn*, June 21, 2010.

In recent years, the Area Materials Projects (AMPs) that operate under the CRL organizational umbrella have committed considerable resources to rescuing and ensuring sustained access to contemporary newspapers from areas rife with political instability. A notable example in FY14 was MEMP’s support for [digitization of two Iraqi-related newspapers](#): *Habzbūz*, an illustrated Arabic-language newspaper satirizing events and public figures during the period of the US occupation, and *al-Iraq*, published in California to serve the Iraqi diaspora community. Both papers were originally collected by David Hirsch, Jewish and Middle Eastern Studies Bibliographer at UCLA, who takes a special interest in preserving diaspora material.

MEMP has gone on to approve funding to microfilm 18 Iraqi newspaper titles from the immediate post-invasion period (mostly from 2004–05), with completion expected in 2014–15. And SAMP as well as MEMP is microfilming recent newspapers from Afghanistan. This microfilming will augment previous preservation efforts by the Library of Congress. The titles were initially collected by LC field offices in Islamabad and Cairo.

Joe Lauer, Africana Librarian at Michigan State University, took an interest many years ago in collecting newspapers from Liberia, which he knew would ultimately be a unique resource for scholars in North America. From the resulting collection, [seven titles running from the late 1990s through 2011](#) were recently microfilmed. The period covered includes the 1997–2003 rule of former President Charles Taylor, when Liberia was plagued with civil war and unrest, and the subsequent election in 2005 of the first female head of state in Africa, Ellen Johnson Sirleaf. Taylor has since been convicted by the Special Court for Sierra Leone in The Hague of aiding and abetting war crimes. The Liberian titles, which represent various political perspectives, were filmed with funding from CAMP and are available to the CRL community at large.



## Identifying and Preserving Critical Source Materials

For six decades now, area studies scholars and librarians at major US research libraries have worked with CRL to identify important at-risk bodies of documentation, and to ensure their survival and accessibility. These efforts have prevented the loss of these important materials through suppression, inherent vice, hostile climates and environments, and simple neglect. These groups have proved astonishingly prescient. Last year the Brazilian government digitized and published online the court documents (*processos*) from Brazil's Military Supreme Court trials of the more than 7,000 activists and others arrested, punished, or executed between 1964 and 1979. Thanks to the efforts of the Latin American Microform Project (LAMP) in 1987, the archive existed intact only at CRL.

Over the years, the Middle East and African materials projects (AMPs) have worked with the Library of Congress overseas operations and with member libraries to acquire and preserve contemporary newspapers. (AMP support has been especially important

recently in making up for shortfalls in federal funding.) Newspapers remain a primary source of information on many world regions, and this year titles from particularly turbulent regions came to CRL, documenting political conflicts and change in the last twenty years: Somalia, Iraq, Bosnia, Liberia, Senegal, Indonesia, and Iraqi Kurdistan. With the help of UCLA, the University of Illinois, and the University of Connecticut, the area studies projects also gathered earlier historical newspapers from Peru, Croatia, and Bolivia respectively.

This year, to keep pace with scholarly practice, the members of each of these longstanding cooperative programs elected to embrace digitization as an option for preserving and making primary source materials from their respective regions of interest more widely available. The now re-branded "Area Materials Projects" will henceforth support both digital conversion and microform as appropriate modes for preventing the loss of at-risk source materials.

## Titles Preserved by CRL-Supported Collaborative Global Programs

### Africa

**CAMP** preserved the following newspapers from Africa on microfilm:

#### Kenya

*The Leader* (2005–08)  
*Taifa Leo* (2004–10)

#### Liberia

*Daily Times* (January 1997–February 2001)  
*Front Page* (November 2009–December 2011)  
*In Profile* (November 2008–December 2011)  
*Insight* (June 2010–December 2011)  
*New Dawn* (January 2010–December 2011)  
*New Republic* (July 2009–December 2011)  
*New Vision* (April 2005–December 2011)

#### Mali

*Les Echos* (2010–12)

#### Mozambique

*Verdade* (2008–12)

#### Nigeria

*Vanguard* (2010–12)

#### Somalia and Somaliland

*Ayamaha* (2007–08)  
*Haatuf* (2002–04)  
*The Horn Tribune* (2002–04)  
*Mogadishu Times* (2005–12)  
*Qaran and Qaran Press* (2005–07)  
*The Republican* (1998–2004)  
*Sanca* (2005–06)  
*Somali Islamic News* (2009–10)  
*Somaliland Times* (2002–04)  
*Towfiiq* (2005–08)  
*Waayaha* (2007–09)  
*Waddani* (2011–12)  
*Wargeyska Codka Xoriyadda* (2006–07)  
*Wargeyska Dadka* (1999–2002)  
*Wargeyska Dalka* (2011–12)  
*Wargeyska Xamar* (2008–09)  
*Warsidaha Shacabka* (2008–09)  
*Xiddigta Panorama* (2005–06)  
*Xog-Ogaal* (2005–12)

#### Rwanda

*Newsline* (1999–2001, 2005–07)

#### South Africa

*Patriot* (2000–07)

#### Senegal

*Info 7* (1999–2005)

#### Eastern Europe

**SEEMP** preserved or acquired the following in microfilm:

#### Bosnia and Hercegovina

*Oslobodenje* (2005)

#### Former Yugoslavia

*Borba* (1971–88)

#### Latin America

**LAMP** preserved the following in digital format, mounted with the University of Florida Digital collection:

#### Brazil

*Diario de Pernambuco* (1825–63; 1875–93)

#### The Middle East

**MEMP** preserved the following newspapers in microfilm or digital format:

#### Egypt

*al-Taḥrīr* (2012)

#### Iran

*Haftah* (published in Montreal) (2012–13)  
*Kayhān* (published in London) (2012)

#### Iraq

*al-Irāq* (published in Glendale, California) (2011–13, digitized)  
*Aso* (2008–11)  
*al-Dawah* (2005–11)  
*Ḥabzbūz* (2003–04, digitized)

#### Lebanon

*Bayrūt taymz* (2012)

#### Turkey

*Bizim Anadolu* (published in Montreal) (2009–13)

### South Asia

**SAMP** preserved the following journal, on microfilm:

#### India

*The Anti-septic* (1904–69 with gaps, 1973, 1990)

### Southeast Asia

**SEAM** preserved the following newspapers, on microfilm:

#### Indonesia

*Ambon Ekspres* (2006–08)  
*Harian Sore Garuda* (1992–2005)

#### Malaysia

*Borneo Mail* (2001–02)

### United States

**TRAIL** preserved the following publications in digital format:

National Advisory Committee for Aeronautics (NACA), several series

US Army Corps of Engineers, bulletins from three divisions: Waterways, Hydraulics, and Soils



Dedicated to Freedom,  
Justice and Equality for  
the so - called Negro.  
The Earth Belongs to  
Allah.

MUHAMMAD ON RADIO IN CHICAGO EVERY SUNDAY, WJOB 1230 kc, 5:30 P.M. AND WEAW-1330 (AM), 2:30 P.M. To 3:00 P.M.



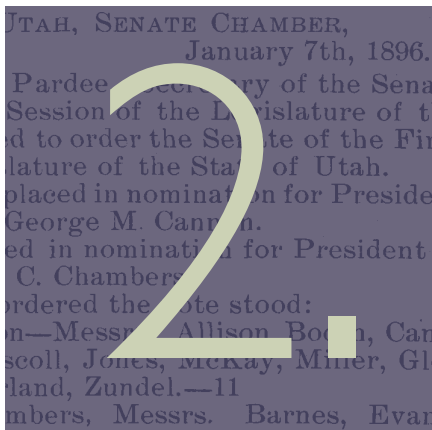
## THE IMPACT OF CRL: Nation of Islam Paper Featured in Documentary Film

Some of CRL's extensive holdings in African-American newspapers were recently put to use in a documentary aired on the Public Broadcasting Service (PBS). *The Trials of Muhammad Ali*, produced by Kartemquin Films, explores the period in which the famed boxer went from heavyweight champion of the world and sports icon to a controversial and polarizing political figure. It examines one event in particular: his refusal to serve in the Vietnam War, a decision inspired by his membership in the Nation of Islam.

CRL preserves an extensive run of the Nation of Islam's primary publication, the newspaper *Muhammad Speaks*. The newspaper both documented and served as a platform for Ali's politicization, and was a key source for the film. The film's producer, Rachel Pikelny, acknowledged that CRL's notable microfilm holdings of *Muhammad Speaks*, which cover the years 1961 to 1975, were of particular importance to her research.

Ethnic newspapers have long been an area of collecting focus for CRL. *Muhammad Speaks* is just one of the hundreds of CRL-held titles published by US ethnic and minority communities, which also include German-, Polish-, Jewish-, Hispanic, and Arab-Americans, and a host of others, dating back to the late eighteenth century. Until recent years, these newspapers were rarely used by researchers. As interest in the history of American ethnic and immigrant communities grows, however, the importance of preserving these kinds of materials becomes more evident.

Front page of  
*Muhammad Speaks*,  
April 2, 1971.



## Expanding Access to Research Materials

Thanks to organizations like Google and JSTOR, researchers today can access books and other published sources on a 24/7 basis and on a scale never imagined by scholars of previous generations. This is why CRL focuses its resources on digitizing materials not available from other sources. CRL digitizes hundreds of thousands of pages each year in response to researchers' requests, supporting scholarly projects with materials of demonstrated interest. These materials now exceed five million pages, and include items as uncommon but timely as a [1912 Université de Montpellier thesis](#) on the abuse of opium in French colonies and a [1959 tract published in Rwanda](#) about the hostility between the ethnic Hutus and Tutsis.

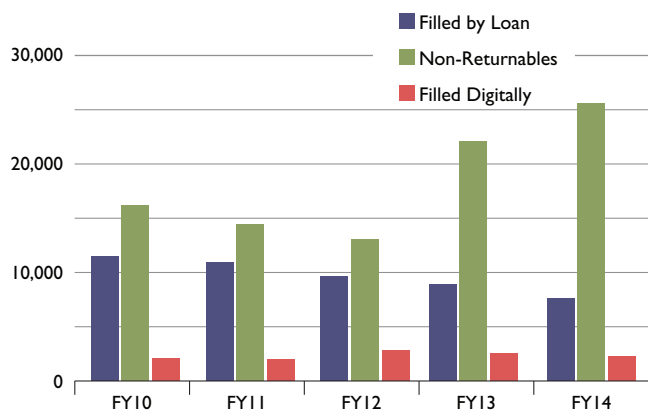
Areas of primary historical sources that receive special attention in CRL's strategic digitization efforts include: law and government; news; science, technology, and engineering; and the history and economics of agriculture. This year approximately 1,500 US state legislative journals, as well as early and rare Cuban and Haitian legal publications, are newly available through CRL members on the [LLMC-Digital platform](#), thanks to CRL's partner organization, the Law Library Microform Consortium. And digitization of historical agricultural experiment station and extension program publications in nine states and the Virgin Islands began under Project Ceres.

To further enlarge the universe of resources available to researchers, CRL negotiated favorable terms of purchase and subscription to major databases of newspapers, archives, and other primary source materials, including the terms of an academic site license to *The New York Times* online.

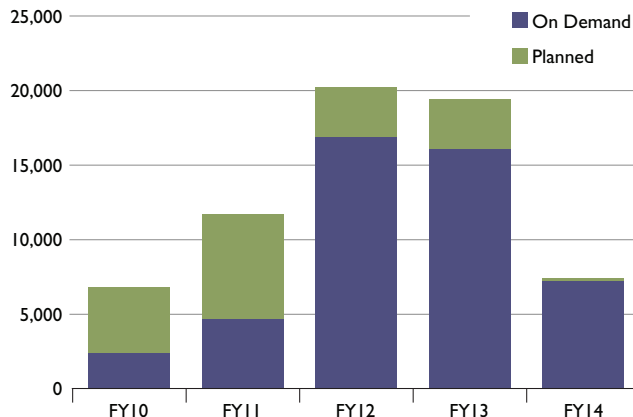
At the same time, CRL print and microform collections continued to grow. More than \$125,000 worth of major microform sets—including Church Missionary Society archives, records of the US Indian Claims Commission, modern Chinese tabloids, and records of the Nuremberg trials related to German industrialists—were identified by members and purchased this year. Collections of German-language Argentine newspapers and Imperial Russian government documents also were acquired as shared purchases, with funds contributed by 17 CRL member libraries.

The Linda Hall Library of Science, Engineering and Technology filled almost 12,000 document delivery requests for CRL libraries from its holdings of over 40,000 titles: a 7-percent increase in filled requests over FY13. The top users were: North Carolina State University, the University of Minnesota, and University of Illinois at Urbana-Champaign; also among the top ten users were St. Olaf College and Carleton College.

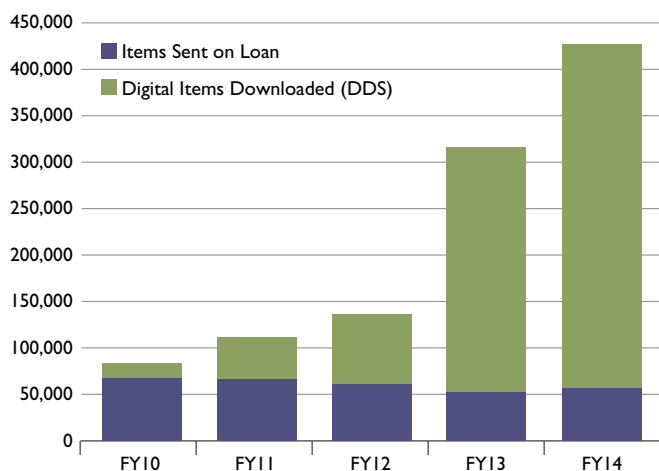
### Borrowing Requests Filled, FY10-14



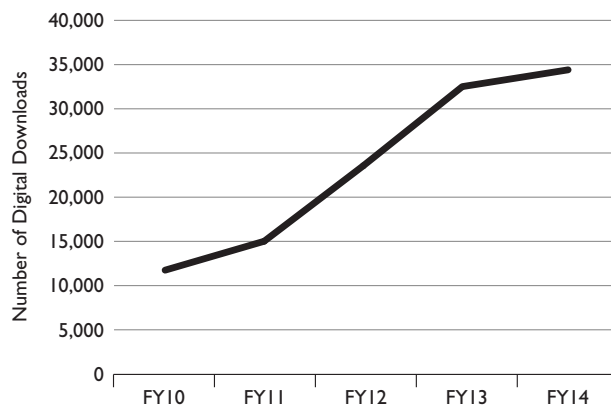
### Number of Items Digitized In-house by CRL, FY10-14



### Items Supplied, FY10-14



### Members' Access to CRL Digitized Material, FY10-14



## FY14 CRL Purchase Proposal Items Acquired

*Der Artist* (1898–1902; 1904–71)

130 reels; \$20,250. Bludeau Partners

International

*Der Artist* was a trade magazine for popular entertainers, singers, circus acts, early film exhibitors, musical acts, comedians, etc. Published in Dusseldorf, beginning in 1883, it continued publication until 1995.

*Church Missionary Society Archive: Section I: East Asia Missions. Part 22.*

14 reels; \$2,800. Adam Matthew

This collection consists of the Society's records and correspondence relating to missions and social conditions in East Asia. It provides descriptions of medical works, education, social welfare, and the spread of Christianity in the regions.

*Church Missionary Society Archive: Section IX: Middle East Missions. Part 1.*

14 reels; \$2,800. Adam Matthew

This collection consists of the Society's records and correspondence relating to missions and social conditions in the Middle East. It provides descriptions of medical works, education, social welfare, and the spread of Christianity in the regions.

*Church Missionary Society Archive: Section VI: Mission to India. Parts 5–9.*

110 Reels; \$22,000. Adam Matthew

This collection consists of the Society's records and correspondence relating to missions and social conditions in India. It provides descriptions of medical works, education, social welfare, and the spread of Christianity in the regions.

中國近代各地小報匯刊 第貳輯

*(The Compilation of Tabloids in Modern China. Series II)*

110 volumes; \$16,277 depending on exchange rate. CIBTC

This set provides a collection of tabloids that were published in Modern China. These newspapers provide ample raw materials for the studies of politics, economy, urban development, art history, film and theater, and even literature for the time period. This complements CRL holdings of Series I.

*Daheim: ein deutsches Familienblatt mit illustratione (1865–1943)*

1,323 microfiche; \$7,700. Bludeau Partners International

*Daheim: ein deutsches Familienblatt mit illustratione* started in 1865 and provided coverage until 1944. This journal targeted

German middle-class families during the time period and was modeled after the *Gartenlaube*.

*Records of the US Indian Claims Commission*  
6,121 fiche; \$33,559. ProQuest

In 1946, Congress created the Indian Claims Commission to hear and decide claims over lands ceded by Indians. This set provide decisions, journals, testimonies, docket books, briefs, legislative history, general accounting office reports and claims, and general service administration reports.

*Records of the US Nuremberg War Crimes Trials: NI Series 1933–1948 (T301)*

164 reels; \$20,500. NARA

These are records from the Nuremberg trials specifically related to German Industrialists. CRL has one of the original copies from the trials, but this material does not circulate. CRL has purchased microform to circulate when specific material has been requested. While CRL now holds microfilm of many other subsets from the Nuremberg trials, it does not have any from the Nazi Industrialists (NI) series.

**Total List Price:** \$125,886 USD

## FY14 Electronic Resource Offers

*American Broadside and Ephemera* (Readex)

*American Indian Histories and Culture* (Adam Matthew Education)

*American Pamphlets Series 1* (Readex)

*Associated Press Collections Online, 1–3* (Gale Cengage)

*British Online Archives* (Microform Academic Publishers)

*The Chatham House Online Archive* (Gale Cengage)

*The Churchill Archive* (Bloomsbury Publishing)

*Eighteenth Century Collections Online* (Gale Cengage)

*Electronic Enlightenment* (Oxford University Press)

*Engineering Case Studies Online* (Alexander Street Press)

*IMF eLibrary* (International Monetary Fund)

*The Independent* (Gale Cengage)

*Indigenous Peoples: North America* (Gale Cengage)

*Landscan* (East View Information Services)

*The Making of the Modern World* (Gale Cengage)

*Meet the Press* (Alexander Street Press)

*New York Times* (The New York Times)

*Nineteenth Century Collections Online* (Gale Cengage)

*Oxford Scholarly Editions Online* (Oxford University Press)

*Punch, 1841–1992* (Gale Cengage)

*Sabin Americana, 1476–1926* (Gale Cengage)

*Social Explorer* (Oxford University Press)

*Stalin Digital Archive* (East View Information Services)

*Statista* (Statista)

*World News Connection* (East View Information Services)

## Project Ceres Proposals Funded for FY14–15

University of Florida and University of the Virgin Islands  
Collaboration on Digitization for Preservation

Kansas State University Libraries  
Digitization of Kansas Youth-in-Agriculture, Agricultural Education, and Rural Life Publications

Louisiana State University  
Louisiana Digital Bibliography: 100 Years of LSU Research Experiment Station Publications, 1888–1989

New Mexico State University  
Digitizing New Mexico State University's Agricultural Extension Service's Special Reports, Extension Leaflets, and Selected Serials

University of Minnesota  
Extending Our Reach: Enhancing and Enabling Knowledge Transfer Through University of Minnesota Extension Publications

Purdue University  
Digitization of Purdue University Agricultural Documents (1923–1988)

Washington State University  
Digitizing WSU Extension Bulletins, Circulars, and Pamphlets

West Virginia University  
West Virginia University Agricultural Experiment Station Circulars, 1903–69

University of Wyoming  
University of Wyoming Ag Experiment Station Bulletin Digitization

Projects will be completed by August 2015, with content available to researchers through local institutional repositories and the AgNIC catalog. Information about preservation of the print originals will be available through [PAPR](#), CRL's Print Archives Preservation Registry.

## CRL Webinars in FY14

**Text/Data Mining, Libraries, and Online Publishers (July 2013)**  
As more researchers embrace text- and data-mining methodologies, publishers must provide flexible and workable terms and utilities as they surmount legal and technological barriers to the new practices. CRL hosted this webinar to update members on the latest industry developments, featuring speakers from the journal publishing world.

**CRL Licensing and Acquisitions Programs (September 2013)**  
This webinar focused on the latest updates in CRL's licensing and acquisition programs, including electronic resource offers and the purchase proposal program.

**CRL Collections and Services (October 2013, June 2014)**  
CRL offers this webinar twice a year to provide information about CRL collections, services, and programs for new members and a refresher for existing ones. The event highlights the cost-savings and other

benefits of CRL cooperative collection programs; furnishes how-to information on access to CRL collections, through ILL and electronic delivery; makes suggestions on how to promote CRL benefits at individual institutions; and offers an opportunity for discussion of research projects, needs, and trends at CRL libraries.

**Access to Government Information (October 2013)**  
The open online distribution of government information is changing the ways researchers access documents and publications produced by agencies worldwide. This Global Resources Forum webinar explored how libraries can continue to ensure sustained access to this information for researchers, and what role CRL can play in supporting those efforts.

**Text/Data Mining: Supporting Researcher Needs (February 2014)**  
Continuing CRL's webinar series on text and data mining, this session explored the complex needs and interests of scholars

engaged in TDM, and how librarians can help meet those needs.

**Linda Hall: Expanding Access for CRL Members (March 2014)**  
Print serials from the Linda Hall Library of Science, Engineering & Technology are now available electronically to CRL libraries through on-demand digitization and traditional document delivery via the RapidILL service. This special webinar for CRL members offered more information about this partnership and the vast shared collection among CRL and Linda Hall.

**Print Archives Preservation Registry (April 2014)**  
This webinar for CRL members provided an overview of CRL's Print Archives Preservation Registry (PAPR), its updated data, and the current tools available. PAPR aggregates and discloses to the print archiving community serials holdings from significant print archives programs and digital repositories.



Hauptarchiv d. Auswärt. A.

# Atten

betreffend:

die Eröffnung der Erziehung (Erziehung) Otto v. p. m.  
(Erziehung der Erziehung der Erziehung)

## THE IMPACT OF CRL: Researching the Habsburg Empire

John Boyer, Martin A. Ryerson Distinguished Service Professor in History, and Dean of the College at the University of Chicago, was researching his new book on the Habsburg Empire for Oxford University Press. He wanted to access a very large collection of “hundreds and hundreds of microfilm reels of thousands and thousands of original documents from the German foreign ministry both before and after 1918.” The documents were among those captured by Allied Forces in 1945, microfilmed through joint efforts, and later returned to the Federal Republic of Germany. As Boyer recounts, “The collection is difficult to use, even if you go to Berlin. It’s even more complicated to use on microfilm, because there aren’t really good indexes to the collection . . . This was a very tall mountain to climb.”

Cover page of the volume of documents relating to Archduke Franz Ferdinand. German Foreign Ministry Archives, CRL.

So Boyer contacted CRL “and I said, as an experiment, how difficult would it be to digitize the microfilm reels for the Habsburg Empire?” CRL agreed to take on this extensive digitization project of [nearly 70 reels of microfilm](#). Boyer enthuses, “We now have this extraordinary collection of documents, easily accessible. Not only are they easy to use because you can click and bring up various files from various reels all on your screen, but the reproduction quality is much better than what you’d get on a microfilm reel.”

For Boyer, the collection offers invaluable information. “I’ve been working on Austrian politics in the late 19th century. There was an important political crisis in 1897 related to the Minister-President Kasimir Badeni. The evidence we have related to this crisis from local papers in Vienna and eyewitness accounts tell one story; the German foreign minister and his agents tell another. A chapter in my book re-analyzes this famous crisis using the foreign ministry archives, which provide a very different view from what was available before. . . . That’s the kind of thing you can only get from having access to these high-level, insider sources.”

Boyer knew that “[Approaching the] hundred year anniversary of the World War I, historians would find these files quite useful and illuminating.” When asked if his students have also made use of the collection, Boyer responds, “Because [these files] just became available this year, it’s still early days, but I’m sure it will be heavily used by [my] students and undoubtedly by other graduate students as well.”

Dean Boyer concludes, “In the US, I think we’re blessed by having an organization like CRL that is key to the specific needs of the individual scholars. In my case, by doing this they leave a repository of digitized images that subsequent scholars will be able to use for decades to come.”



## Informing and Supporting Library Investment in Print and Electronic Resources

Ensuring that researchers have long-term access to critical source materials today requires a new set of strategies. This is especially true in the field of government information. Research libraries (including CRL) have traditionally collected and preserved documents and publications of domestic and foreign governments, so that such material will remain available to researchers. However, the immense oceans of information that government agencies now produce and collect, and the inherent fluidity and impermanence of digital media, require a fundamental rethinking of longstanding preservation practices.

To explore this new reality, CRL convened the 2014 Global Resources Forum [Leviathan: Libraries and Big Government in the Age of Big Data](#). Speakers and attendees identified five broad strategies that research libraries, individually and collectively, can adopt to address the threats to the public record posed by “paperless government.” The “Leviathan agenda” resulting from the forum provides a [strategic framework for future CRL efforts](#) in this important arena.

CRL also endeavors to help research and academic libraries manage their print holdings responsibly, while deploying resources strategically to confront the new digital challenges. [eDesiderata](#), CRL’s web-based platform for identification, evaluation, and licensing of digital resources, came online in

September 2013 and has since become an essential venue for sharing CRL community information and expertise on e-resources. CRL webinars this year also served to expand community awareness and understanding of topics relevant to supporting new research and teaching, such as text and data mining and electronic access to news and government information.

Support from The Andrew W. Mellon Foundation and the Institute of Museum and Library Services enabled CRL to provide new data to inform library decisions on retention, conservation, digitization, development, and disposition of print materials that are rapidly disappearing from academic libraries. [CRL’s Print Archives Preservation Registry \(PAPR\)](#), a database of print archives and shared print programs and the titles and holdings these programs have committed to preserving, was expanded to provide detailed information about newly archived holdings, program agreements and conditions of archiving.

The [ICON database](#) is another registry of information on the hard copy, microform, and digitized holdings of US and foreign newspapers held by hundreds of US research libraries. As of the end of the fiscal year the ICON database contained information on the issue-level holdings of 172,979 different newspaper titles, from 51 US jurisdictions, 9 Canadian provinces, and 159 other countries, dating from 1649 to 2014.

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As of July 1, 2013

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University of Michigan

Bernard F. Reilly, *ex officio*  
Center for Research Libraries

## CRL EXECUTIVE AND MANAGERIAL STAFF

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Yvonne Jefferson  
Assistant to the President

Raymond Sallay  
Controller

James Simon  
Vice President of Collections and Services

Mary Wilke  
Member Liaison and Outreach Services  
Director

Amy Wood  
Director of Technical Services

Patricia Xia  
Director of Information Systems

Kevin Wilks  
Head, Access Services

Bethany Bates  
Head, Stack Management

Virginia Kerr  
Head, Communications and Development

Patrick J. Lummen  
Head of Facilities

## SENIOR ADVISORS

Ann Okerson  
Senior Advisor on Electronic Strategies

Stephen Bosch  
Global Resources Senior Collection Advisor  
on Science, Technology, and Engineering

Stephen Wiles  
LLMC liaison

## Member Institutions, FY14

*listed by the year they first joined CRL*

### 1949

University of Chicago  
University of Illinois at Urbana-Champaign  
Indiana University  
University of Iowa  
University of Kansas  
Michigan State University  
University of Minnesota  
Northwestern University  
Purdue University

### 1950

University of Cincinnati  
University of Notre Dame  
University of Wisconsin

### 1951

Wayne State University

### 1953

Ohio State University

### 1957

Marquette University

### 1959

University of Kentucky

### 1962

University of Missouri

### 1963

University of Toronto

### 1967

University of British Columbia  
University of California, Los Angeles  
Cornell University  
Harvard University  
Iowa State University  
Loyola University of Chicago  
University of Pittsburgh  
Princeton University  
University of Rochester  
University of Utah

### 1968

Arizona State University  
Kent State University  
Washington University in St. Louis  
Western Michigan University

### 1969

Antioch University  
University of California, Santa Barbara  
Carleton University  
University of Hawaii at Manoa  
Northern Illinois University  
Ohio University

### 1970

Cleveland State University  
Florida Atlantic University  
University of Illinois at Chicago  
University of Michigan  
Rutgers, The State University of New Jersey  
Temple University  
Texas A & M University

### 1971

City University of New York  
Columbia University  
University of Tennessee

### 1972

University of Arkansas  
University of Windsor

### 1973

Binghamton University  
Chicago State University  
University of Denver  
Florida State University  
University of Houston  
Kansas State University  
University of Massachusetts, Boston  
McGill University  
University of Missouri  
University of New Mexico  
New York Public Library  
University of Pennsylvania  
Princeton Theological Seminary  
Wright State University  
Yale University

### 1974

University of Florida  
Lake Forest College  
University of Oregon

### 1975

Vanderbilt University

### 1976

University of Akron  
University of Colorado  
University of Delaware  
University of Georgia  
United States Environmental Protection Agency

### 1977

University of Arizona  
Arkansas State University  
DePaul University  
University of North Carolina  
University of South Carolina  
Stony Brook University  
University of Texas–Austin  
Tulane University  
University of Virginia

### 1978

Colby College  
University of Massachusetts, Amherst  
Middlebury College  
University of Oklahoma  
Oklahoma State University  
University of Vermont  
University of Washington

### 1979

University of California, Berkeley  
University of California, Davis  
University of California, Irvine  
University of California, Riverside  
University of California, San Diego  
University of California, Santa Cruz

### 1980

Carnegie Mellon University  
Florida International University  
Miami University of Ohio

### 1981

University of Texas at El Paso

### 1983

Brigham Young University  
National Humanities Center  
North Carolina State University  
York University

**1985**

Oregon State University  
 Utah State University  
 Valparaiso University  
 University of Western Ontario

**1987**

Case Western Reserve University

**1989**

College of William & Mary  
 University of Southern California

**1990**

University of Alabama

**1991**

University of Maryland, College Park  
 Virginia Tech

**1993**

University of Alberta  
 Bowling Green State University  
 University of Dayton  
 Duke University  
 University of North Texas

**1994**

The Claremont Colleges  
 Emory University  
 George Mason University  
 Marion Technical College

**1996**

Belmont College  
 Cedarville University  
 Central State University  
 Cincinnati State Technical and Community College  
 Clark State Community College  
 Columbus State Community College  
 Cuyahoga Community College  
 Denison University  
 Edison Community College  
 Hocking College  
 Eastern Gateway Community College  
 Kenyon College  
 Lakeland Community College  
 Lorain County Community College  
 College of Mount St. Joseph  
 Mount Vernon Nazarene University  
 New York University  
 Northeast Ohio Medical University

Northwest State Community College  
 OhioLINK  
 University of Ottawa  
 Rio Grande Community College  
 Shawnee State University  
 Southern State Community College  
 State Library of Ohio  
 Terra Community College  
 University of Toledo  
 College of Wooster  
 Xavier University  
 Youngstown State University

**1997**

Capital University  
 Central Ohio Technical College  
 Hiram College  
 Muskingum College  
 North Central State College  
 Ohio Northern University  
 Ohio Wesleyan University  
 Owens Community College  
 Sinclair Community College  
 Stark State College of Technology  
 Wittenberg University

**1998**

University of Calgary  
 Paul H. Nitze School of Advanced  
 International Studies at Johns Hopkins  
 University  
 Ursuline College

**1999**

Ashland University  
 The Athenaeum of Ohio  
 Baldwin Wallace  
 Bluffton University  
 Defiance College  
 The University of Findlay  
 Franciscan University of Steubenville  
 Heidelberg University  
 John Carroll University  
 Malone University  
 Mount Carmel College of Nursing  
 University of Mount Union  
 Oberlin College  
 Ohio Dominican University  
 Otterbein University  
 Pennsylvania State University  
 Texas Tech University  
 University of Texas at San Antonio  
 Tiffin University

Washington State Community College  
 Wilberforce University  
 Wilmington College

**2000**

University of Victoria

**2005**

Carleton College  
 Colorado College  
 Georgia State University  
 Grinnell College  
 Knox College  
 Monmouth College

**2006**

University of Connecticut  
 University of Manitoba  
 University of South Florida

**2008**

Athabasca University  
 Brock University  
 Canisius College  
 Chancellor University  
 Cincinnati Christian University  
 Cleveland Clinic  
 Colgate University  
 Columbus College of Art and Design  
 Dartmouth College  
 Field Museum  
 Franklin University  
 Lakehead University  
 Le Moyne College  
 Lourdes University  
 Marietta College  
 McMaster University  
 Mercy College of Ohio  
 Notre Dame College  
 Ontario College of Art and Design  
 Queen's University  
 University of Regina  
 Rhodes State College  
 University of Saskatchewan  
 Siena College  
 Simon Fraser University  
 Saint Lawrence University  
 Trent University  
 Urbana University  
 Vassar College  
 Walsh University  
 Wilfrid Laurier University  
 Zane State College

**2009**

Adler School of Professional Psychology  
Benedictine University  
Hope College  
The Newberry Library  
The School of the Art Institute of Chicago  
Skidmore College

**2010**

Illinois Wesleyan University  
Millikin University

**2011**

Carroll College  
University of Central Florida  
Georgia Southern University  
University of North Florida  
University of Northern British Columbia  
Olivet Nazarene University  
University of the South  
Williams College

**2012**

Air Force Institute of Technology  
Baruch College—CUNY  
Baylor University  
University of California, Merced  
City University of New York  
College of Staten Island—CUNY  
Graduate Center—CUNY  
Mississippi State University  
National University  
Norwich University  
Queensborough Community College—CUNY  
West Virginia University  
Westminster College

**2013**

Bard College  
Boston College  
Carthage College  
Chicago Botanic Garden  
Kennesaw State University  
University of Nebraska  
Northeastern University  
Presbyterian College  
Rock and Roll Hall of Fame and Museum

**2014**

Luther College  
University of Lethbridge  
Mount Holyoke  
Saint Olaf  
University of San Francisco  
Smith College  
Stanford University  
Trinity University

**GLOBAL MEMBERS****2006**

University of Hong Kong

**AFFILIATE MEMBERS****1981**

Association of Research Libraries (ARL)  
Research Libraries Group (RLG)

**1983**

Online Computer Library Center (OCLC)

## Area Materials and Global Resources Projects, FY14

### CAMP

Afrika-Studiecentrum (Leiden, The Netherlands)  
Bodleian Library of Commonwealth & African Studies at Rhodes House  
Boston University  
University of California, Berkeley  
University of California, Los Angeles  
University of Cambridge, African Studies Center  
University of Chicago  
Columbia University  
Cornell University  
Dartmouth College  
Duke University  
Emory University  
University of Florida  
Georgetown University  
Harvard University  
Harry S. Truman Research Institute, Hebrew University  
University of Illinois at Urbana-Champaign  
Indiana University  
University of Iowa  
University of Kansas  
Library of Congress  
School of Oriental and African Studies, University of London  
Michigan State University  
University of Michigan  
University of Minnesota  
New York Public Library  
New York University  
University of North Carolina  
Northwestern University  
University of Notre Dame  
Ohio State University  
Ohio University  
University of Pennsylvania  
Princeton University  
Rutgers University  
Scandinavian Institute of African Studies  
Southern Methodist University  
Stanford University  
Syracuse University  
Temple University  
University of Toronto  
University of Virginia  
Washington University  
University of Wisconsin-Madison  
Yale University

### African Affiliates

University of Cape Town  
Mountains of the Moon University

### LAMP

Brigham Young University  
Brown University  
University of California, Berkeley  
University of California, Irvine  
University of California, Los Angeles  
University of California, Riverside  
University of California, San Diego  
University of California, Santa Barbara  
University of California, Santa Cruz  
University of Chicago  
Columbia University  
University of Connecticut  
Cornell University  
Dartmouth College  
Duke University  
Emory University  
University of Florida  
Harvard University  
University of Illinois, Urbana-Champaign  
Indiana University  
University of Iowa  
University of Kansas  
Library of Congress  
University of Massachusetts-Amherst  
University of Miami  
Michigan State University  
University of Michigan  
University of Minnesota  
University of New Mexico  
New Mexico State University  
New York Public Library  
New York University  
University of North Carolina  
University of Notre Dame  
Ohio State University  
University of Pennsylvania  
University of Pittsburgh  
Princeton University  
Rice University  
Rutgers University  
University of Southern California  
Stanford University  
University of Texas  
University of Toronto  
Tulane University  
Vanderbilt University  
University of Virginia  
University of Wisconsin  
Yale University

### MEMP

American University in Cairo  
American University of Beirut  
University of Arizona  
Brigham Young University  
Brown University  
University of California, Berkeley  
University of California, Los Angeles  
University of California, Santa Barbara  
University of Chicago  
Columbia University  
Cornell University  
Duke University  
Georgetown University  
Harvard University  
University of Illinois, Urbana-Champaign  
Indiana University  
University of Iowa  
Library of Congress  
McGill University  
Michigan State University  
University of Michigan  
University of Minnesota  
National Library of Israel  
New York Public Library  
New York University  
University of North Carolina  
University of Notre Dame  
Ohio State University  
University of Pennsylvania  
Princeton University  
University of Southern California  
Stanford University  
University of Texas  
University of Toronto  
University of Washington  
Washington University  
Yale University



**SAMP**

University of British Columbia  
Brown University  
University of California, Berkeley  
University of California, Los Angeles  
University of Chicago  
Columbia University  
Cornell University  
Duke University  
Emory University  
Harvard University  
University of Hawaii  
University of Illinois, Urbana-Champaign  
Indiana University  
University of Iowa  
Kansas State University  
Library of Congress  
Max Planck Institute for Human Development  
University of Michigan  
University of Minnesota  
University of Missouri  
New York Public Library  
New York University  
University of North Carolina  
North Carolina State University  
University of Notre Dame  
Ohio State University  
University of Pennsylvania  
Princeton University  
Rutgers University  
Syracuse University  
University of Texas  
University of Toronto  
University of Virginia  
University of Washington  
Washington University  
University of Wisconsin  
Yale University

**SEAM**

Arizona State University  
University of British Columbia  
University of California, Berkeley  
University of California, Irvine  
University of California, Los Angeles  
University of California, Riverside  
University of Chicago  
Columbia University  
Cornell University  
Duke University  
École française d'Extrême-Orient  
Harvard University  
University of Hawaii  
Library of Congress  
University of Michigan  
National Chi Nan University  
University of North Carolina  
Northern Illinois University  
University of Notre Dame  
Ohio University  
University of Oregon  
Princeton University  
Royal Netherlands Institute of Southeast Asian  
and Caribbean Studies (KITLV)  
University of Toronto  
University of Washington  
University of Wisconsin  
Yale University

**SEEMP**

University of Arizona  
Arizona State University  
University of California, Berkeley  
University of California, Los Angeles  
University of Chicago  
Columbia University  
Cornell University  
Duke University  
University of Florida  
George Washington University  
Harvard University  
University of Illinois, Urbana-Champaign  
Indiana University  
University of Iowa  
University of Kansas  
Library of Congress  
University of Michigan  
University of Minnesota  
New York Public Library  
New York University  
University of North Carolina  
University of Notre Dame  
Ohio State University  
University of Pittsburgh  
Princeton University  
Stanford University  
University of Texas  
University of Toronto  
University of Washington  
Yale University

## CIFNAL

University of Alberta  
Universite des Antilles et de la Guyane  
Brigham Young University  
University of British Columbia  
Brown University  
University of California, Berkeley  
University of California, Los Angeles  
University of California, San Diego  
University of California, Santa Cruz  
University of Chicago  
University of Colorado, Boulder  
Cornell University  
University of Denver  
Duke University  
University of Florida  
Harvard University  
University of Illinois, Urbana-Champaign  
Indiana University  
University of Iowa  
John Carter Brown Library  
Johns Hopkins University  
University of Manitoba  
University of Maryland, College Park  
University of Michigan  
University of Minnesota  
New York Public Library  
New York University  
Newberry Library  
University of North Carolina  
Northwestern University  
University of Notre Dame  
University of Ottawa  
Pennsylvania State University  
University of Pennsylvania  
Princeton University  
Reed College  
Stanford University  
University of Toronto  
University of Utah  
Vanderbilt University  
University of Vermont  
University of Virginia  
University of Washington  
University of Wisconsin  
Yale University  
York University

## GNARP

University of Alabama  
University of Alberta  
Brigham Young University  
Brown University  
University of California, Berkeley  
University of California, Irvine  
University of California, Los Angeles  
University of California, San Diego  
University of California, Santa Cruz  
University of Chicago  
University of Cincinnati  
University of Colorado, Boulder  
Cornell University  
Dartmouth College  
University of Delaware  
Duke University  
Georgetown University  
Harvard University  
University of Illinois, Urbana-Champaign  
Indiana University  
University of Iowa  
Johns Hopkins University  
University of Kentucky  
Library of Congress  
University of Manitoba  
University of Maryland, College Park  
University of Michigan  
Michigan State University  
University of Minnesota  
New York University  
University of North Carolina  
Northwestern University  
University of Notre Dame  
Pennsylvania State University  
University of Pennsylvania  
Princeton University  
Rice University  
Rutgers University  
Stanford University  
University of Toronto  
University of Utah  
Vanderbilt University  
Washington University  
University of Washington  
University of Waterloo  
University of Wisconsin  
Yale University

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Universitätsbibliothek Tübingen  
Universitäts- und Landesbibliothek Sachsen-  
Anhalt, Halle

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Arizona State University  
Boston College  
Brigham Young University  
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University of California, Los Angeles  
University of California, San Diego  
University of California, Santa Barbara  
Columbia University  
University of Connecticut  
Cornell University  
Dartmouth College  
Duke University  
Emory University  
University of Florida  
Florida International University  
Harvard University  
University of Illinois, Urbana-Champaign  
Indiana University  
Inter-American Development Bank  
University of Kansas  
Library of Congress  
University of Massachusetts, Amherst  
University of Miami  
University of Michigan  
Michigan State University  
University of Minnesota  
University of New Mexico  
New York University  
University of North Carolina  
University of Notre Dame  
Ohio State University  
Ohio University  
University of Pennsylvania  
University of Pittsburgh  
Princeton University  
Rice University  
Rutgers University  
University of South Florida  
University of Southern California  
Syracuse University  
University of Texas  
University of Toronto  
Tulane University  
Vanderbilt University  
University of Wisconsin  
Yale University

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Centro de Investigaciones Regionales de  
Mesoamerica

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Universidad de Puerto Rico, Rio Piedras  
Universidade Federal do Rio Grande do Sul  
Universidade de San Andres  
University of the West Indies/St. Augustine

## TRAIL

Arizona State University  
University of Arizona  
University of Arkansas  
Baylor University  
Brigham Young University  
University of British Columbia  
California Institute of Technology  
University of California, San Diego  
University of Cincinnati  
Colorado State University  
University of Colorado, Boulder  
Georgia Institute of Technology  
Harvard University  
University of Houston  
University of Illinois, Urbana-Champaign  
University of Iowa  
Iowa State University  
University of Kansas  
Kansas State University  
Massachusetts Institute of Technology  
University of Massachusetts, Amherst  
University of Nevada, Las Vegas  
University of New Mexico  
University of North Texas  
University of Notre Dame  
Oklahoma State University  
Oregon State University  
Pennsylvania State University  
Princeton University  
Purdue University  
Rice University  
Stanford University  
Texas A & M University  
University of Texas, San Antonio  
Texas Tech University  
Utah State University  
University of Utah  
Washington State University  
University of Washington



## REPORT OF INDEPENDENT AUDITORS

To the Board of Directors of  
Center for Research Libraries

### ***Report on the Financial Statements***

We have audited the accompanying financial statements of Center for Research Libraries (the Center), which comprise the statements of financial position as of June 30, 2014 and 2013, and the related statements of activities and of cash flows for the years then ended, and the related notes to the financial statements.

### ***Management's Responsibility for the Financial Statements***

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### ***Auditors' Responsibility***

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Center's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by the Center's management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

***Opinion***

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Center for Research Libraries as of June 30, 2014 and 2013, and the changes in its net assets and its cash flows for the years then ended, in accordance with accounting principles generally accepted in the United States of America.

***Emphasis of Matter***

As discussed in Note 14 to the financial statements, the 2013 financial statements have been restated to correct certain misstatements. Our opinion is not modified with respect to this matter.

***Other Matter***

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying supplementary information on pages 16 through 18 is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

*Legacy Professionals LLP*

Chicago, Illinois

November 26, 2014

**CENTER FOR RESEARCH LIBRARIES**

**STATEMENTS OF FINANCIAL POSITION**

JUNE 30, 2014 AND 2013

	<u>2014</u>	<u>2013</u>
ASSETS		
Cash and cash equivalents	\$ 2,704,846	\$ 1,570,948
Accounts receivable	436,343	283,784
Grants receivable	2,404	243,006
Prepaid expenses	777,426	574,901
Deferred financing costs	95,634	101,963
Investments	7,900,397	6,980,923
Property and equipment - net	<u>2,923,805</u>	<u>3,120,808</u>
Total assets	<u>\$ 14,840,855</u>	<u>\$ 12,876,333</u>
LIABILITIES AND NET ASSETS		
LIABILITIES		
Accounts payable and accrued expenses	\$ 1,153,777	\$ 806,380
Due to database vendors	717,336	837,544
Deferred revenue	3,294,530	3,778,273
Loan payable	<u>1,075,621</u>	<u>1,160,527</u>
Total liabilities	<u>6,241,264</u>	<u>6,582,724</u>
NET ASSETS		
Unrestricted		
Operating	3,017,406	2,774,261
Area Microform Projects	2,899,771	1,421,729
Net investment in property and equipment	<u>1,848,184</u>	<u>1,960,281</u>
Total	7,765,361	6,156,271
Temporarily restricted	<u>834,230</u>	<u>137,338</u>
Total net assets	<u>8,599,591</u>	<u>6,293,609</u>
Total liabilities and net assets	<u>\$ 14,840,855</u>	<u>\$ 12,876,333</u>

See accompanying notes to financial statements.

**CENTER FOR RESEARCH LIBRARIES**

**STATEMENTS OF ACTIVITIES**

YEARS ENDED JUNE 30, 2014 AND 2013

	2014			2013		
	Unrestricted	Temporarily Restricted	Total	Unrestricted	Temporarily Restricted	Total
<b>REVENUE AND OTHER SUPPORT</b>						
Membership cost share	\$ 6,024,275	\$ -	\$ 6,024,275	\$ 5,790,766	\$ -	\$ 5,790,766
Area Microform Projects	2,484,983	-	2,484,983	906,669	-	906,669
Grants	154,334	894,500	1,048,834	402,538	-	402,538
Cataloging revenue	17,876	-	17,876	44,597	-	44,597
Newspaper microfilm sales	79,394	-	79,394	79,363	-	79,363
Microform sales and subscriptions	291,747	-	291,747	87,086	-	87,086
Investment income	447,557	-	447,557	240,627	-	240,627
Other income	85,830	-	85,830	100,229	-	100,229
Net assets released from restrictions	197,608	(197,608)	-	374,670	(374,670)	-
Total revenue and other support	<u>9,783,604</u>	<u>696,892</u>	<u>10,480,496</u>	<u>8,026,545</u>	<u>(374,670)</u>	<u>7,651,875</u>
<b>EXPENSES</b>						
Program	5,324,884	-	5,324,884	5,247,488	-	5,247,488
Management and general	942,376	-	942,376	863,926	-	863,926
Fundraising	145,611	-	145,611	133,024	-	133,024
Total expenses	<u>6,412,871</u>	<u>-</u>	<u>6,412,871</u>	<u>6,244,438</u>	<u>-</u>	<u>6,244,438</u>
<b>CHANGE IN NET ASSETS BEFORE COLLECTION ITEMS PURCHASED AND NOT CAPITALIZED</b>	3,370,733	696,892	4,067,625	1,782,107	(374,670)	1,407,437
<b>COLLECTION ITEMS PURCHASED AND NOT CAPITALIZED</b>	<u>1,761,643</u>	<u>-</u>	<u>1,761,643</u>	<u>1,608,494</u>	<u>-</u>	<u>1,608,494</u>
<b>CHANGE IN NET ASSETS</b>	1,609,090	696,892	2,305,982	173,613	(374,670)	(201,057)
<b>NET ASSETS</b>						
Beginning of year	<u>6,156,271</u>	<u>137,338</u>	<u>6,293,609</u>	<u>5,982,658</u>	<u>512,008</u>	<u>6,494,666</u>
End of year	<u>\$ 7,765,361</u>	<u>\$ 834,230</u>	<u>\$ 8,599,591</u>	<u>\$ 6,156,271</u>	<u>\$ 137,338</u>	<u>\$ 6,293,609</u>

See accompanying notes to financial statements.

**CENTER FOR RESEARCH LIBRARIES**

**STATEMENTS OF CASH FLOWS**

YEARS ENDED JUNE 30, 2014 AND 2013

	<u>2014</u>	<u>2013</u>
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Member cost share and non-member fees received	\$ 8,227,595	\$ 7,375,465
Grants and contributions received	1,289,436	362,817
Interest and dividends received	73,506	57,771
Cash paid to suppliers and employees	(5,855,148)	(5,726,048)
Interest paid	<u>(23,147)</u>	<u>(10,699)</u>
Net cash provided by operating activities	<u>3,712,242</u>	<u>2,059,306</u>
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>		
Purchase of investments	(573,506)	(607,122)
Sale of investments	28,083	650,000
Purchase of collections	(1,761,643)	(1,608,494)
Purchase of property and equipment	<u>(186,372)</u>	<u>(176,502)</u>
Net cash (used in) investing activities	<u>(2,493,438)</u>	<u>(1,742,118)</u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Proceeds received on loan	1,113,630	-
Principal payments on loan	<u>(1,198,536)</u>	<u>(94,737)</u>
Net cash (used in) investing activities	<u>(84,906)</u>	<u>(94,737)</u>
<b>NET INCREASE IN CASH AND CASH EQUIVALENTS</b>	<b>1,133,898</b>	<b>222,451</b>
<b>CASH AND CASH EQUIVALENTS</b>		
Beginning of year	<u>1,570,948</u>	<u>1,348,497</u>
End of year	<u>\$ 2,704,846</u>	<u>\$ 1,570,948</u>
<b>RECONCILIATION OF CHANGE IN NET ASSETS TO NET</b>		
<b>CASH PROVIDED BY OPERATING ACTIVITIES</b>		
Change in net assets	\$ 2,305,982	\$ (201,057)
Adjustments to reconcile change in net assets to net cash provided by operating activities		
Collections purchased	1,761,643	1,608,494
Depreciation expense	383,375	383,549
Realized and unrealized (gain) on investments	(374,051)	(182,856)
Amortization of deferred financing cost	6,329	8,497
Changes in operating assets and liabilities		
Accounts receivable	(152,559)	(12,591)
Grants receivable	240,602	(39,719)
Prepaid expenses and other	(202,525)	72,336
Accounts payable and accrued expenses	347,397	43,306
Due to database vendors	(120,208)	837,544
Deferred revenue	<u>(483,743)</u>	<u>(458,197)</u>
<b>NET CASH PROVIDED BY OPERATING ACTIVITIES</b>	<u>\$ 3,712,242</u>	<u>\$ 2,059,306</u>

See accompanying notes to financial statements.



## CENTER FOR RESEARCH LIBRARIES

### NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2014 AND 2013

#### NOTE 1. NATURE OF ACTIVITIES

Center for Research Libraries (the Center) is a membership consortium of institutions with significant academic and research libraries. The Center's mission is to foster and advance scholarly and scientific research through cost-effective, cooperative programs that provide reliable access through traditional and electronic means to unique and unusual collections of library materials in all appropriate formats, international in scope and comprehensive in disciplines.

A majority of the Center's revenue is derived from membership cost share assessments. Other revenue and support consist of grants and sales of materials reproduced.

The following provides a brief description of the Center's program services:

**Collections Storage and Maintenance** - The Center maintains active and inactive collections consisting of an estimated five million volumes or equivalents in its repository facility. These collections are in paper, microform and electronic media. Activities associated with storage and physical maintenance of the Center's film and paper collections include sorting, shelving, labeling, housing, and binding conservation work. Also included are the costs of maintaining, monitoring and improving the portion of the building dedicated to collections storage, including climate control and other functions.

**Acquisitions** - This program consists of activities supporting the acquisition and processing of collection materials, including surveying of members on collection needs, selection and subscription costs, ordering, transporting and receiving materials.

**Conversion of Materials** - The Center preserves unique and at-risk cultural and historical materials through microfilming and digital conversion. Materials are organized, analyzed, collated and shipped to and from service providers by the Center and partner organizations. Film and digital copies are produced and distributed.

**Service and Delivery** - The Center delivers an estimated 33 million pages of collection materials to member institutions and other clients each year. Activities include receiving and processing requests for the Center's collection materials from member libraries and clients, retrieval and preparation of materials for delivery, shipping, fulfillment of electronic document delivery requests, receipt and re-shelving of returned materials and fulfillment and processing of member purchase requests for individual items.

**NOTE 1. NATURE OF ACTIVITIES (CONTINUED)**

**Cataloging and Metadata** - This program involves production and processing of preliminary and final catalog records, finding aids for the Center's collection materials and metadata for electronic resources including those produced and/or acquired by the Center.

**Area Microform Projects (AMPS)** - This program involves specific support for the Area Microform Projects and other self-funded area studies projects undertaken by the Center for partner organizations. Activities include identification and procurement of materials for preservation, microfilming and digitization as well as conversion and cataloging of those materials.

**Grants** - The Center receives grants to fund preservation, cataloging, union lists, acquisitions and other activities, either independently or as a partner in cooperative projects. These grants are normally from private philanthropies or from the U.S. federal government.

**NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

**Basis of Accounting** - The Center's financial statements have been prepared on the accrual basis of accounting in accordance with generally accepted accounting principles.

**Financial Statement Presentation** - In order to conform to provisions of generally accepted accounting principles, the Center, as a not-for-profit entity, is required to report information regarding its financial position and activities in three classes of net assets: unrestricted, temporarily restricted, and permanently restricted. Net assets are generally reported as unrestricted unless assets are received from donors with explicit stipulations that limit the use of the asset. The Center has determined that no net assets are permanently restricted as of June 30, 2014 and 2013. Voluntary resolutions by the Board of Directors to designate a portion of the Center's unrestricted net assets for specific purposes do not result in restricted funds. Since designations are voluntary and may be reversed by the Board at any time, Board designated net assets are considered unrestricted net assets.

**Cash and Cash Equivalents** - Short-term investments are considered to be investments.

## NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

**Investments** - The investments of the Center are reported at fair value. The fair value of a financial instrument is the amount that would be received to sell that asset (or paid to transfer a liability) in an orderly transaction between market participants at the measurement date (the exit price). Purchases and sales of the investments are reflected on a trade-date basis. Dividend income is recorded on the ex dividend date. Interest income is recorded on the accrual basis.

**Accounts and Grants Receivable** - Accounts and grants receivable are stated at the amounts that the Center expects to collect from outstanding balances. Bad debts, which are typically minimal, are written off as incurred.

**Deferred Financing Costs** - The costs incurred in obtaining the Center's loan have been deferred and are being amortized over the life of the loan.

**Property and Equipment** - Property and equipment with a cost in excess of \$1,000 and a useful life greater than one year are recorded at cost and depreciated using the straight-line method over the estimated useful lives of the assets. Estimated lives range from three to ten years for furniture and equipment and thirty years for building and improvements. Upon sale or retirement, the cost and related accumulated depreciation are eliminated from the respective accounts and the resulting gain or loss is included in the statements of activities. Property and equipment are reviewed for impairment when events or changes in circumstances indicate that the carrying amount of the assets may not be recoverable. There were no impairment charges for the years ended June 30, 2014 or June 30, 2013.

**Collections** - The Center has an extensive collection of library materials, which is held for research purposes. The materials were acquired through purchases and contributions since the Center's inception. The Center has adopted a policy of not capitalizing collection expenditures in its financial statements.

Purchases of collection items are recorded as decreases in unrestricted net assets in the year in which the items are acquired and a release of temporarily restricted net assets if acquired with such funds. Contributed collection items are not reflected in the financial statements. Proceeds from disposals or insurance recoveries are reflected as increases in the appropriate net asset class.

The collections are subject to a policy that requires proceeds from their sales to be used to acquire other collection items. No collection items were disposed of through sales during the years ended June 30, 2014 and 2013.

**Due to Database Vendors** - Beginning in 2013 the Center began acting as a conduit on behalf of the members by collecting and then remitting database vendor subscription premiums on their behalf. Payments received in advance are accounted for as due to database vendors at year end.

**NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)**

**Grants and Contributions** - Grants and contributions received are recorded as unrestricted or temporarily restricted support, depending on the existence and nature of any donor-imposed restrictions on the use of the funds. Contributions reported as temporarily restricted support are released to unrestricted net assets when the donor restriction expires, that is, when a stipulated time restriction ends or a purpose restriction is accomplished, and are reported in the statements of activities as net assets released from restrictions.

**Revenue Recognition** - Membership cost share assessments are recognized as revenue during the membership year. Dues received in advance of the membership year are accounted for as deferred revenue at year end. Revenue from cost reimbursement type grants is recognized as grant related costs are incurred. Grant funds received in advance are accounted for as deferred revenue. AMPS funds received for projects during the year are recognized as unrestricted revenue. Those AMPS funds received in advance of the project year are accounted for as deferred revenue.

**Allocation of Expenses** - The costs of providing the Center's various programs and supporting services have been summarized on a functional basis in the statements of activities. Accordingly, certain costs have been allocated among the programs and supporting services on the basis of management estimates of direct salary expenses, physical space occupied and programs benefited.

**Income Taxes** - The Center is exempt from federal income taxes under Section 501(c)(3) of the Internal Revenue Code. Accordingly, there is no provision for income taxes recorded in the accompanying financial statements.

The Center files Form 990, *Return of Organization Exempt from Income Tax*. The Center's returns are subject to examination by the Internal Revenue Service until the applicable statute of limitations expires.

**Management Estimates and Assumptions** - The preparation of financial statements in conformity with accounting principles generally accepted in the United States requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results could differ from those estimates.

**Reclassifications** - Certain reclassifications have been made to prior year amounts to conform to the presentation for the current year.

**Subsequent Events** - Subsequent events have been evaluated through November 26, 2014, which is the date the financial statements were available to be issued.

### NOTE 3. INVESTMENTS

The composition of investments at June 30, 2014 and 2013 is as follows:

	<u>2014</u>	<u>2013</u>
Mutual funds		
Equity	\$ 2,293,611	\$ 1,706,192
Fixed income	1,503,006	1,146,310
Money market funds	<u>4,103,780</u>	<u>4,128,421</u>
	<u>\$ 7,900,397</u>	<u>\$ 6,980,923</u>

Investment income for the years ended June 30, 2014 and 2013 is as follows:

	<u>2014</u>	<u>2013</u>
Interest and dividends	\$ 73,506	\$ 57,771
Realized and unrealized gains	<u>374,051</u>	<u>182,856</u>
Total investment income	<u>\$ 447,557</u>	<u>\$ 240,627</u>

### NOTE 4. FAIR VALUE MEASUREMENTS

The *Fair Value Measurements and Disclosures* Topic of the FASB Accounting Standards Codification established a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy are described below:

#### Basis of Fair Value Measurement

- |         |   |
|---------|---|
| Level 1 | Unadjusted quoted prices in active markets that are accessible at the measurement date for identical, unrestricted assets or liabilities                              |
| Level 2 | Quoted prices in markets that are not considered to be active or financial instruments for which all significant inputs are observable, either directly or indirectly |
| Level 3 | Prices or valuations that require inputs that are both significant to the fair value measurement and unobservable   |

**NOTE 4. FAIR VALUE MEASUREMENTS (CONTINUED)**

The following tables set forth, by level within the fair value hierarchy, the Center's investment assets at fair value as of June 30, 2014 and 2013. As required, assets and liabilities are classified in their entirety based on the lowest level of input that is significant to the fair value measurement. The Center had no investment assets at fair value classified within Level 3 at June 30, 2014 and 2013.

	<u>Total</u>	<u>Fair Value Measurements at 06/30/14 Using</u>		
		<u>Quoted Prices in Active Markets for Identical Assets (Level 1)</u>	<u>Significant Other Observable Inputs (Level 2)</u>	<u>Significant Unobservable Inputs (Level 3)</u>
Mutual funds				
Equity	\$ 2,293,611	\$ 2,293,611	\$ -	\$ -
Fixed income	1,503,006	1,503,006	-	-
Money market funds	4,103,780	-	4,103,780	-
	<u>\$ 7,900,397</u>	<u>\$ 3,796,617</u>	<u>\$ 4,103,780</u>	<u>\$ -</u>

	<u>Total</u>	<u>Fair Value Measurements at 06/30/13 Using</u>		
		<u>Quoted Prices in Active Markets for Identical Assets (Level 1)</u>	<u>Significant Other Observable Inputs (Level 2)</u>	<u>Significant Unobservable Inputs (Level 3)</u>
Mutual funds				
Equity	\$ 1,706,192	\$ 1,706,192	\$ -	\$ -
Fixed income	1,146,310	1,146,310	-	-
Money market funds	4,128,421	-	4,128,421	-
	<u>\$ 6,980,923</u>	<u>\$ 2,852,502</u>	<u>\$ 4,128,421</u>	<u>\$ -</u>

**NOTE 4. FAIR VALUE MEASUREMENTS (CONTINUED)**

Level 1 Measurements

The fair values of the mutual funds are determined by reference to the funds' underlying assets, which are principally marketable equity and fixed income securities. Shares held in mutual funds are traded in active markets on national and international securities exchanges and are valued at closing prices on the last business day of each period presented.

Level 2 Measurements

The money market funds are valued at cost which approximates their fair value.

**NOTE 5. PREPAID EXPENSES**

Prepaid expenses consisted of the following as of June 30, 2014 and 2013:

	<u>2014</u>	<u>2013</u>
Prepaid insurance	\$ 111,820	\$ 106,639
Prepaid library materials	648,820	400,820
Prepaid - other	<u>16,786</u>	<u>67,442</u>
Total prepaid expenses	<u>\$ 777,426</u>	<u>\$ 574,901</u>

In the course of cataloging its dissertations collection, the Center incurs usage fees to access the Online Computer Library Center, Inc. (OCLC) database. The Center is able to offset these fees from credits received from OCLC for the reciprocal use of the Center. As of June 30, 2014 and 2013 the Center has earned cumulative net credits of \$6,201 and \$46,359 respectively.

**NOTE 6. PROPERTY AND EQUIPMENT**

Property and equipment consisted of the following as of June 30, 2014 and 2013:

	<u>2014</u>	<u>2013</u>
Land	\$ 128,446	\$ 128,446
Building and improvements	11,240,678	11,107,082
Furniture and equipment	<u>2,117,735</u>	<u>2,064,958</u>
	13,486,859	13,300,486
Less accumulated depreciation	<u>(10,563,054)</u>	<u>(10,179,678)</u>
	<u>\$ 2,923,805</u>	<u>\$ 3,120,808</u>

Depreciation expense was \$383,375 for the year ended June 30, 2014 and \$383,549 for 2013.

**NOTE 7. ACCOUNTS PAYABLE AND ACCRUED EXPENSES**

Accounts payable and accrued expenses consisted of the following as of June 30, 2014 and 2013:

	<u>2014</u>	<u>2013</u>
Accounts payable	\$ 805,182	\$ 455,335
Accrued vacation	207,747	208,870
Accrued salaries and withholdings	78,250	79,119
Other accrued expenses	<u>62,598</u>	<u>63,056</u>
	<u>\$ 1,153,777</u>	<u>\$ 806,380</u>

**NOTE 8. DEFERRED REVENUE**

The Center regularly bills in advance of the upcoming fiscal year for membership cost share assessments and AMPS membership. Those membership payments that are received for future fiscal years are held as deferred revenue to be recognized in the fiscal year for which they will be earned. Occasionally, grant payments received in advance of a future fiscal year are also held as deferred revenue. As of June 30, 2014 and 2013, deferred revenue consisted of the following:

	<u>2014</u>	<u>2013</u>
Deferred membership cost share	\$ 3,092,241	\$ 3,569,758
Deferred subscriptions	185,723	190,221
AMPS deferred revenue	8,950	10,678
Grants deferred	<u>7,616</u>	<u>7,616</u>
	<u>\$ 3,294,530</u>	<u>\$ 3,778,273</u>

**NOTE 9. LOAN PAYABLE**

In 2014, the Center refinanced its loan under the Illinois Educational Facilities Authority's Cultural Pooled Financing Program. The loan is secured by the Center's property in Chicago, Illinois.

The loan is repayable in monthly installments of \$11,458 including principal and interest. The interest rate is 4.28%. The loan matures in 2024. Interest expense for the years ended June 30, 2014 and 2013 was \$23,147 and \$10,699 respectively.



**NOTE 9. LOAN PAYABLE (CONTINUED)**

Principal payments are as follows:

Year ending June 30,	
2015	\$ 92,609
2016	96,597
2017	100,985
2018	105,455
2019	110,123
Thereafter	<u>569,852</u>
	<u>\$ 1,075,621</u>

**NOTE 10. TEMPORARILY RESTRICTED NET ASSETS**

Temporarily restricted net assets arise from grants received from various organizations to support cataloging, microform projects, digitization, digital preservation activities and the purchase of equipment to automate library materials. Net assets were released from donor restrictions by incurring expenses of \$197,608 and \$374,670 in 2014 and 2013, respectively. Grant contributions received that are not expended for their restricted purposes are refundable to the grantor. Temporarily restricted net assets at June 30, 2014 and 2013 were available for the following purposes:

	<u>2014</u>	<u>2013</u>
South Asia survey	\$ 5,881	\$ 5,881
Membership benefits	-	1,878
Global resources network 2014	-	82,855
Global resources network 2016	781,505	-
Middle East research journals	-	1,911
Library licensing	41,462	-
Government documentation digitization project	<u>5,382</u>	<u>44,813</u>
Total	<u>\$ 834,230</u>	<u>\$ 137,338</u>

**NOTE 11. PENSION PLAN**

The Center has established and made available to its employees a defined contribution money purchase pension plan. Under this plan, funds contributed by the Center and participating employees are used to purchase retirement annuity and life insurance contracts for the participants through the Teachers Insurance and Annuity Association and/or the College Retirement Equities Fund. Contributions made by the Center are based on participants' compensation as defined by the plan. The Center's contribution for the years ended June 30, 2014 and 2013 was \$234,302 and \$231,281 respectively.

**NOTE 12. RELATED PARTY TRANSACTIONS**

The Center earns cataloging revenue from Online Computer Library Center, Inc. (OCLC) and also purchases cataloging and other services from OCLC. Cataloging revenue was \$17,876 and \$44,597 during the years ended June 30, 2014 and 2013, respectively. Total services purchased from OCLC were approximately \$64,804 and \$56,018 for the years ended June 30, 2014 and 2013, respectively.

The Center also routinely provides membership services to educational institutions that employ members of the Board of Directors.

**NOTE 13. CONCENTRATION OF CREDIT RISK**

The Center maintains its cash balances in financial institutions deemed to be creditworthy. Balances are insured by FDIC up to \$250,000 per financial institution. Balances may at times exceed insured limits. However, the balances are swept nightly into a money market account that is secured by U.S. Government bonds. The Center believes its credit risk to be minimal.

**NOTE 14. PRIOR PERIOD ADJUSTMENT**

The Center had previously over-depreciated various property and equipment assets and over-allocated various expenses to a grant. These errors resulted in the understatement of previously reported net assets and changes in net assets. Prior period adjustments made to correct these understatements are as follows:

	Change in Unrestricted <u>Net Assets</u>	Unrestricted <u>Net Assets</u>
Previously reported at June 30, 2013	\$ 134,841	\$ 6,117,499
Overstatement of depreciation	90,405	90,405
Over-allocation of various grant expenses	<u>(51,633)</u>	<u>(51,633)</u>
As restated at June 30, 2013	<u>\$ 173,613</u>	<u>\$ 6,156,271</u>

	Change in Temporarily Restricted <u>Net Assets</u>	Temporarily Restricted <u>Net Assets</u>
Previously reported at June 30, 2013	\$ (426,303)	\$ 85,705
Over-allocation of various grant expenses	<u>51,633</u>	<u>51,633</u>
As restated at June 30, 2013	<u>\$ (374,670)</u>	<u>\$ 137,338</u>

**CENTER FOR RESEARCH LIBRARIES**  
**SCHEDULE OF FUNCTIONAL EXPENSES AND COLLECTION EXPENDITURES**  
 YEAR ENDED JUNE 30, 2014

	Collections Storage and Maintenance	Acquisitions	Conversion of Materials	Service and Delivery	Cataloging and Metadata	Area Microform Projects	Grants	Total Program	Management and General	Fundraising	Total
<b>Support services</b>											
Cataloging fees	\$ -	\$ -	\$ -	\$ -	\$ 64,804	\$ -	\$ -	\$ 64,804	\$ -	\$ -	\$ 64,804
Innovative	1,774	53,191	-	-	-	-	-	54,965	-	-	54,965
Microform costs	10,760	13,695	34,238	39,129	-	143,647	1,912	243,381	-	-	243,381
Other support	74,776	174,477	-	249,253	-	49,187	15,344	563,037	-	-	563,037
	<u>87,310</u>	<u>241,363</u>	<u>34,238</u>	<u>288,382</u>	<u>64,804</u>	<u>192,834</u>	<u>17,256</u>	<u>926,187</u>	<u>-</u>	<u>-</u>	<u>926,187</u>
<b>Personnel and operations</b>											
Board and Council expense	-	-	-	-	-	300	6,582	6,882	26,513	-	33,395
Business expense	131	638	391	1,066	765	3,990	21,910	28,891	10,292	89	39,272
Consumable supplies	4,988	27,079	3,113	5,995	2,557	1,089	-	44,821	8,931	61	53,813
Insurance	4,292	14,116	8,286	12,223	15,993	-	-	54,910	15,130	2,925	72,965
Member relations	-	-	-	-	-	-	-	-	8,139	-	8,139
Memberships	814	24,421	-	-	713	-	-	25,948	9,680	-	35,628
Other outside support	31,910	28,463	35,115	26,918	18,545	33,325	111,342	285,618	79,228	2,350	367,196
Personnel	201,508	662,828	389,080	573,944	750,931	218,154	184,464	2,980,909	721,058	137,352	3,839,319
Postage and delivery	25,396	56,984	22,786	35,525	11,284	-	-	152,034	7,762	471	160,267
Printing	-	-	-	-	-	-	-	-	3,121	-	3,121
Telecommunications	3,711	11,095	3,309	5,386	1,822	44	-	25,367	2,281	67	27,715
Travel	377	11,310	-	-	330	28,507	16,239	56,763	6,283	-	63,046
Indirect expenses	-	-	-	-	-	560	(6,125)	(5,565)	6,125	-	560
	<u>273,127</u>	<u>836,934</u>	<u>462,080</u>	<u>661,057</u>	<u>802,940</u>	<u>286,028</u>	<u>334,412</u>	<u>3,656,578</u>	<u>904,543</u>	<u>143,315</u>	<u>4,704,436</u>
<b>Plant and other</b>											
Equipment leases	775	1,738	695	1,084	344	48	272	4,956	237	14	5,207
Service contracts	22,750	51,046	20,412	31,823	10,108	1,067	-	137,206	6,953	422	144,581
Repairs	2,218	4,977	1,990	3,103	985	-	-	13,273	678	41	13,992
Utilities	33,598	75,386	30,145	46,998	14,928	-	-	201,055	10,269	622	211,946
	<u>59,341</u>	<u>133,147</u>	<u>53,242</u>	<u>83,008</u>	<u>26,365</u>	<u>1,115</u>	<u>272</u>	<u>356,490</u>	<u>18,137</u>	<u>1,099</u>	<u>375,726</u>
Total operating expenses	419,778	1,211,444	549,560	1,032,447	894,109	479,977	351,940	4,939,255	922,680	144,414	6,006,349
Interest expense	3,669	8,233	3,292	5,133	1,630	-	-	21,957	1,121	69	23,147
Depreciation	60,772	136,361	54,526	85,011	27,002	-	-	363,672	18,575	1,128	383,375
Total expenses before collections	<u>484,219</u>	<u>1,356,038</u>	<u>607,378</u>	<u>1,122,591</u>	<u>922,741</u>	<u>479,977</u>	<u>351,940</u>	<u>5,324,884</u>	<u>942,376</u>	<u>145,611</u>	<u>6,412,871</u>
<b>Collection expenditures - not capitalized</b>											
Materials	-	1,209,670	-	-	-	527,213	-	1,736,883	-	-	1,736,883
Preservation	-	24,760	-	-	-	-	-	24,760	-	-	24,760
	<u>-</u>	<u>1,234,430</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>527,213</u>	<u>-</u>	<u>1,761,643</u>	<u>-</u>	<u>-</u>	<u>1,761,643</u>
<b>Total</b>	<u>\$ 484,219</u>	<u>\$ 2,590,468</u>	<u>\$ 607,378</u>	<u>\$ 1,122,591</u>	<u>\$ 922,741</u>	<u>\$ 1,007,190</u>	<u>\$ 351,940</u>	<u>\$ 7,086,527</u>	<u>\$ 942,376</u>	<u>\$ 145,611</u>	<u>\$ 8,174,514</u>

**CENTER FOR RESEARCH LIBRARIES**  
**SCHEDULE OF PROGRAM REVENUE AND EXPENSES - GRANTS**  
YEAR ENDED JUNE 30, 2014

	Temporarily Restricted						Total	
	CSAL	Notre Dame	Mellon Foundation		CAORC Middle East	University of Arizona		
	South Asia Survey	Membership Benefits	GRN 2016	GRN 2014	Research Journals	Library Licensing	Govt Docs Digitization Project	
<b>REVENUE</b>								
Foundations and other	\$ -	\$ -	\$ 848,000	\$ -	\$ -	\$ 46,500	\$ -	\$ 894,500
<b>EXPENSES</b>								
Board/Council travel	-	1,878	-	-	-	-	4,705	6,583
FICA	-	-	3,125	3,074	-	-	261	6,460
Innovative	-	-	-	-	1,911	-	-	1,911
Medical insurance	-	-	4,670	5,066	-	-	494	10,230
Other business expense	-	-	214	52	-	-	21,005	21,271
Other library support	-	-	7,719	-	-	-	-	7,719
Outside professional services	-	-	564	26,500	-	2,000	5,000	34,064
Retirement	-	-	1,903	3,815	-	-	376	6,094
Salaries	-	-	43,141	42,671	-	-	3,626	89,438
SA Salaries	-	-	238	-	-	-	-	238
Travel	-	-	4,350	1,115	-	3,038	3,916	12,419
Workmen's compensation	-	-	571	562	-	-	48	1,181
Total expenses	-	1,878	66,495	82,855	1,911	5,038	39,431	197,608
<b>CHANGE IN NET ASSETS</b>	-	(1,878)	781,505	(82,855)	(1,911)	41,462	(39,431)	696,892
<b>NET ASSETS</b>								
Beginning of year	5,881	1,878	-	82,855	1,911	-	44,813	137,338
End of year	\$ 5,881	\$ -	\$ 781,505	\$ -	\$ -	\$ 41,462	\$ 5,382	\$ 834,230

**CENTER FOR RESEARCH LIBRARIES**  
**SCHEDULE OF PROGRAM REVENUE AND EXPENSES - GRANTS**  
YEAR ENDED JUNE 30, 2014

	Fee for Service Grants - Unrestricted		
	IMLS		
	ICON Phase 5	Print Archiving by Discipline	Total
<b>REVENUE</b>			
Federal grants	\$ 41,332	\$ 113,002	\$ 154,334
Foundations and other	-	-	-
Total revenue	<u>41,332</u>	<u>113,002</u>	<u>154,334</u>
<b>EXPENSES</b>			
Equipment purchase/non cap	271	-	271
FICA	2,046	1,789	3,835
Medical insurance	4,050	5,561	9,611
Other business expense	361	278	639
Other library support	-	7,625	7,625
Outside professional services	-	77,278	77,278
Retirement	1,959	1,531	3,490
SA salaries	4,036	8,175	12,211
Salaries	24,369	16,660	41,029
Travel	-	3,822	3,822
Workmen's compensation	428	219	647
Indirect expense allocation	<u>3,812</u>	<u>(9,936)</u>	<u>(6,124)</u>
Total expenses	<u>41,332</u>	<u>113,002</u>	<u>154,334</u>
CHANGE IN NET ASSETS	-	-	-
<b>NET ASSETS</b>			
Beginning of year	-	-	-
End of year	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>